

RECORDATION

04-13-2000

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PATENT



101319877

To The Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

GORDON JAMES SMITH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: INTERNATIONAL BUSINESS MACHINES CORPORATION

Street Address: New Orchard Road

City: Armouk State: New York Zip: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other -

Execution Date: March 24, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: March 30, 2000

A. Patent Application No.(s)

Unknown

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence
concerning document should be mailed:

Name: Freling E. Baker

Street Address: BAKER & MAXHAM
Symphony Towers, 750 "B" Street, Suite 3100

City: San Diego, State: CA Zip: 92101-8196

6. Total number of applications and patents
involved: 1

7. Total fee (37 C.F.R. 3.41).....\$ 40.00

☒ Enclosed
☐ Authorized to be charged to deposit account8. Deposit account number(for credit or extra
charge):

09-0465

(Attached duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Freling E. Baker

Name of Person Signing

Signature

March 30, 2000

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
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WASHINGTON, D.C. 20231

PATENT
REEL: 10680 FRAME: 0599

JC625 U.S. PTO
09/539155

03/30/00

ASSIGNMENT

Whereas, I

(1) Gordon James Smith,
County of Olmsted

City of Rochester,
and State of Minnesota,

have invented certain improvements in

ENHANCED DASD WITH SMALLER SUPPLEMENTARY DASD

and have executed, respectively, a United States patent application therefor on

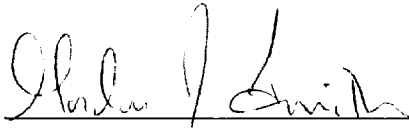
Dates
Inventors (1) March 24, 2000.
Signed
Declaration

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

Inventor at Rochester, Minnesota
(1) on March 24, 2000.


(Gordon J. Smith)
First Name Initial Last Name

Inventor
Signature