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FORM PTO-1595

Record

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OMD No. 0651-0011 (exp. 4/94)



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1. Name of conveying party(ies):

Val N. Morozov; Haining Fan; Long Yang

2. Name and address of receiving party(ies):

Name: ETEK DYNAMICS

Internal Address: _____

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other _____

Street Address: 1865 Lundy Avenue

City: San Jose State: CA ZIP: 95131

Execution Date: 03/02/00, 03/02/00, 03/02/00

Additional name(s) & address(es) attached? ☐ No ☐ Yes

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 03/03/00

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blakely, Sokoloff, Taylor & Zafman

Internal Address: _____

Street Address: 12400 Wilshire Boulevard, 7th Floor

City: Los Angeles State: CA ZIP: 90025

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

04/10/2000 JSHABAZZ 00000335 09518322

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Assistant Commissioner of Patents, Box Assignments

Washington, D.C. 20231

Attorney Docket No. 003705.P038
(5/27/97)PATENT
REEL: 010681 FRAME: 0283

ASSIGNMENT
(For Execution Prior To Filing Patent
Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned:

Val N. Morozov; Haining Fan; Long Yang

hereby sell, assign, and transfer to:

ETEK DYNAMICS

a corporation of California, having a principal place of business at 1865 Lundy Avenue, San Jose, CA 95131, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that has been executed by the undersigned prior hereto or concurrently herewith on the dates indicated below and is entitled:

VARIABLE OPTICAL ATTENUATOR WITH THERMO-OPTIC CONTROL

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

Each inventor: Please also list the date that you signed the accompanying **DECLARATION & POWER OF ATTORNEY**

| | |
|-----------------|------------------------------|
| <u>3/2/00</u> | <u><i>Val N. Morozov</i></u> |
| Date | Name: Val N. Morozov |
| <u>3/2/00</u> | <u><i>Haining Fan</i></u> |
| Date | Name: Haining Fan |
| <u>3/2/2000</u> | <u><i>Long Yang</i></u> |
| Date | Name: Long Yang |
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