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04-14-2000



Form PTO-1592 (modified)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copies thereof:

**1. Name of Conveying Party or Parties:**

Scott Walsh,  
Ronald Rubenstein,  
Pam Zeitlin and  
Kam W. Leong

Additional Names of conveying party or parties attached?

☐ Yes ☒ No

**2. Name and address of receiving party or parties:**

The Johns Hopkins University  
720 Rutland Avenue  
Baltimore, Maryland 21205

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

**3. Nature of Conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: August 4, 6 and 11, 1998

**4. Application Number(s) or Patent Number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

**A. Patent Application Number(s):**

09/095,882

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

**B. Patent Number(s):**

**5. Name and address of party to whom correspondence concerning the document should be mailed:**

Banner & Witcoff, Ltd.  
1001 G Street, N.W., Suite 1100  
Washington, D.C. 20001-4597

**6. Total Number of Applications and patents involved:**

**7. Total fee (37 CFR 3.41) ..... \$ 40.00**

- Enclosed  
☐ Authorized to be charged to deposit account\*  
☒ Please charge or credit our deposit account for any additional or refunded fees associated with recording this assignment

**8. Deposit Account No.:**

19-0733 (in the event additional fees are required)

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**9. Statement and Signature:**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Sarah A. Kagan, Reg. No. 32,141  
Name of Person Signing

Signature

March 13, 2000  
Date

Total Number of Pages comprising Cover Sheet: 7

## ASSIGNMENT

**WHEREAS**, WE, Scott WALSH citizen of the United States, residing at 5-J Trolod Ct., Owings Mills, Maryland 21117, Ronald RUBENSTEIN citizen of the United States, residing at 135 W Montgomery Street, Baltimore, Maryland 21230, Pam ZEITLIN citizen of the United States, residing at 1808 South Road, Baltimore, Maryland 21209 and Kam W. LEONG citizen of the United States, residing at 10242 Breconshire Road, Ellicott City, Maryland 21043, have invented certain new and useful improvements in "THERAPEUTIC NANOSPHERES" for which an application for Letters Patent of the United States was filed on June 11, 1998, and accorded U.S. Serial No. 09/095,882; and

**WHEREAS**, The Johns Hopkins University, a corporation duly organized under the laws of the State of Maryland having a place of business in Baltimore, Maryland, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention and in and to any Letters Patent of the United States or any foreign country which May be granted therefor;


**NOW THEREFORE**, for good and valuable consideration, we, the said Scott WALSH, Ronald RUBENSTEIN, Pam ZEITLIN and Kam W. LEONG do sell, assign, and transfer unto The Johns Hopkins University, its successors, legal representatives and assigns, the full and exclusive right to the said invention as described in the said application, and the entire right, title and interest in and to any and all Letters Patent which May be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations and extensions thereof;

**AND WE HEREBY** authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said assignee;

**AND WE HEREBY** warrant and covenant that we have full right to convey the entire interest herein assigned and that we have not executed and will not execute any instrument or assignment in conflict herewith;

**AND WE HEREBY** agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to execute all divisional, continuation, reissue and foreign applications, sign all lawful documents and make all rightful oaths relating to said invention, and to testify in any judicial or administrative proceeding and generally do everything possible to aid the said assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said assignee.

**IN WITNESS WHEREOF**, we have hereunto set our hand and seal.

Date: 8/4/98 Inventor:   
Scott Walsh

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_  
Ronald Rubenstein

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_  
Pam Zeitlin

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_  
Kam W. Leong

## ASSIGNMENT

*for* **WHEREAS**, WE, Scott WALSH citizen of the United States, residing at 5-I Trold Ct., Owings Mills, Maryland 21117, Ronald RUBENSTEIN citizen of the United States, residing at ~~135 W. Montgomery Street, Baltimore, Maryland 21201~~ <sup>72 St. Pauls Rd.</sup> *POK* ~~135 W. Montgomery Street, Baltimore, Maryland 21201~~, Pam ZEITLIN citizen of the United States, residing at 1808 South Road, Baltimore, Maryland 21209 and Kam W. LEONG citizen of the United States, residing at 10242 Breconshire Road, Ellicott City, Maryland 21043, have invented certain new and useful improvements in "THERAPEUTIC NANOSPHERES" for which an application for Letters Patent of the United States was filed on June 11, 1998, and accorded U.S. Serial No. 09/095,882; and

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Date: \_\_\_\_\_ Inventor: \_\_\_\_\_

Scott Walsh

Date: 8/6/98 Inventor: *Ronald R. Rubenstein*

Ronald Rubenstein

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_

Pam Zeitlin

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_

Kam W. Leong

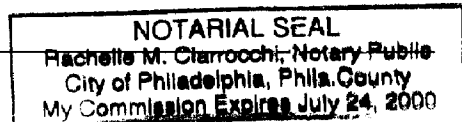
STATE OF )  
 ) ss  
COUNTY OF )

On this 7 day of August, 1998, before me a Notary Public in and for the County and State aforesaid, personally appeared Ronald Rubenstein to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be their free act and deed.

(SEAL)

  
Notary Public

My Commission Expires: \_\_\_\_\_



## ASSIGNMENT

**WHEREAS**, WE, Scott WALSH citizen of the United States, residing at 5-J Trolod Ct., Owings Mills, Maryland 21117, Ronald RUBENSTEIN citizen of the United States, residing at 135 W Montgomery Street, Baltimore, Maryland 21230, Pam ZEITLIN citizen of the United States, residing at 1808 South Road, Baltimore, Maryland 21209 and Kam W. LEONG citizen of the United States, residing at 10242 Breconshire Road, Ellicott City, Maryland 21043, have invented certain new and useful improvements in "THERAPEUTIC NANOSPHERES" for which an application for Letters Patent of the United States was filed on June 11, 1998, and accorded U.S. Serial No. 09/095,882; and

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Scott Walsh

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_  
Ronald Rubenstein

Date: 8-11-98 Inventor: Pamela Zeitlin  
Pam Zeitlin

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_  
Kam W. Leong

STATE OF Maryland )  
 ) ss  
COUNTY OF Baltimore )  
 City

On this 11<sup>th</sup> day of August, 1998, before me a Notary Public in and for the County and State aforesaid, personally appeared Pam Zeitlin to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and acknowledged the same to be their free act and deed.

(SEAL)

Monica M. Casella  
Notary Public  
" MONICA M. CASELLA  
NOTARY PUBLIC STATE OF MARYLAND  
~~My Commission Expires July 19, 1999~~

My Commission Expires:

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Date: \_\_\_\_\_ Inventor: \_\_\_\_\_  
Ronald Rubenstein

Date: \_\_\_\_\_ Inventor: \_\_\_\_\_  
Pam Zeitlin

Date: 8/11/98 Inventor: Kam W. Leong  
Kam W. Leong