

Record As of 11.23.99

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Form PTO-195

RECORDATION FOR
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1. Name of conveying party(ies):

Dong-Kyoon Han; Hyoun-Soo Kim

Additional name (s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 10, 1999

2. Name and address of receiving party (ies):

Name: SAMSUNG ELECTRONICS CO., LTD.

Internal Address: 416, Maetan-dong, Paldal-gu,

Suwon City, Kyungki-do,

Republic of Korea

Additional name (s) & address (es) attached? ☐ Yes ☒ No

4. Application number (s) or patent numbers (s)

If this document is being filed together with a new application,
the execution date of the application is:

A. Patent Application No. (s) 09,411,931
Atty. Docket No.: P992032

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: Steve S. Cha

Street Address: Cha & Reiter
67 Wall Street #2411

City: New York State: NY Zip: 10005-3198
Tel: (212)968-7101

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
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Steve S. Cha
Registration No. 44,069
Name of person Signing

Signature

March 16, 2000
Date

Total number of pages including cover sheet, attachments and documents: 3

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12-01-1999



101211422

Docket No. P992032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Dong Kyoou Han, Hyoun Soo Kim

Serial No: 09411931

Examiner: Not yet assigned.

Filed: October 4, 1999

Group: Not yet assigned.

For: OPTICAL WAVELENGTH MULTIPLEXER/DEMULTIPLEXER WITH
UNIFORM LOSS

Box Missing parts:
Commissioner for Patents and Trademarks:
Washington, DC 20231

RESPONSE TO NOTICE TO MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application (Filing Date
Granted) mailed October 26, 1999, please find enclosed (those items checked):

- ☒ A duly executed Declaration and Power of Attorney with respect to the above-referenced patent application.
- ☐ A check* in the amount of \$130.00 (large entity) or \$65.00 (small entity)
- ☒ A copy of the Notice to File Missing Parts of Application.
- ☐ A Petition for 2 month extension of the time under 37 CFR § 1.136(a).
- ☐ A check * in the amount of \$ in payment of the extension of time fee;
- ☐ A check* in the amount of \$ (large entity) or \$ (small entity) for payment of the filing fee (including the Assignment Recordation Fee).
- ☐ A small entity declaration is included herewith.
- ☒ An executed assignment, recordation sheet and fee are included herewith.
- ☒ A single check *1088 in the amount of \$ 170 is included herewith to cover all fees checked off above.

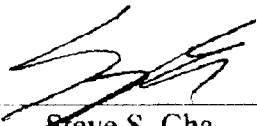
11/26/1999 MARMOL 00000050 0941193

02 02:38

40.00 OF

Should the enclosed check become lost or detached from the file, Assistant Commissioner is authorized to charge our Deposit Account No. 50-1005 and advise the undersigned attorney accordingly. Also, should the enclosed check be deemed to be deficient or excessive in payment, the Commissioner is authorized to charge or credit our deposit account and notify the undersigned attorney of any such transaction.

Respectfully submitted,



Steve S. Cha
Attorney for the Applicant
Registration No.: 44,069


Steve Cha, Esq.
67 Wall Street #2411
New York, NY 10005-3198
(212)968-7101

Date: November 19, 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First class in an envelope addressed to : Commissioner of Patents and Trademarks, Washington, D.C. 20231 on November 19, 1999.

Signed:


Steve S. Cha

Assignment of Invention and Patent Application

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in consideration of TEN (\$10.00) DOLLAR,

Dong-Kyoon HAN of
Samboo APT. #7-13, Youido-dong, Yongdungpo-gu, Seoul, Korea

Hyeon-Soo KIM of
Jinheung APT. #801-1002, Imae-dong, Puntang-gu, Songnam-shi, Kyonggi-do, Korea

of

(hereinafter **Assignor**) hereby sells, assigns, transfers, and sets over unto:

SAMSUNG ELECTRONIC CO., LTD. of
416, MAETAN-DONG, PALDAL-GU, SUWON-CITY, KYUNGKI-DO, KOREA
REPUBLIC OF KOREA

(hereinafter **Assignee**) the entire right, title and interest for the United States Of America as defined in 35 U.S.C. § 1.00, in and to the invention entitled as:

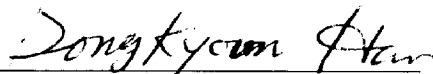
OPTICAL WAVELENGTH MULTIPLEXER/DEMULTIPLEXER WITH UNIFORM
LOSS

invented by **Assignor**; the application for United States patent therefor, invented by **Assignor**; any patent or reissues of any patent that may be granted thereon; and any applications which are continuations, continuation-in-part, substitutes, or divisions of said application. **Assignor** authorizes **Assignee** to enter the date of signature and/or Serial Number and Filing Date in the above. **Assignor** also authorizes and requests the Commissioner of Patents and Trademarks to issue any resulting patent(s) to the said **Assignee**, for its interests as **Assignee**, its successors, assigns and legal representatives. The undersigned agrees that the attorney of record in said application shall hereafter act on behalf of said **Assignee**; and **Assignor** further conveys to

Assignee all priority rights resulting from the above-identified application for United States Patent. **Assignor** agrees to execute all papers, give any required testimony and perform other lawful acts, as deemed essential by **Assignee** to perfect **Assignee's** interest in any resulting patent of the United States, and to acquire, hold, enforce, convey, and uphold the validity of said patent and reissues and extensions thereof, and **Assignee's** interest therein.

SIGNED ON THE DATES(S) INDICATED BESIDE MY/OUR SIGNATURE(S):

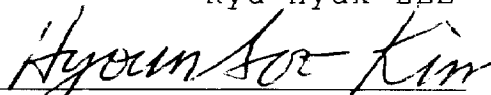
Name of First Inventor: Dong-Kyoon HAN


Signature of inventor

Dated: November 10, 1999

WITNESSED: Kyu-Hyuk, Lee
Kyu-Hyuk LEE

Name of Second Inventor: Hyoun-Soo KIM


Signature of inventor

Dated: November 10, 1999

WITNESSED: Kyu-Hyuk, Lee
Kyu-Hyuk LEE

Name of Third Inventor:

Signature of inventor

Dated: _____

WITNESSED: _____