

04-10-2000

Docket No.: 1275/190

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Ernest V. Groman  
Kenneth G. Paul  
Timothy B. Frigo  
Howard Bengale  
Jerome M. Lewis

## 2. Name and address of receiving party(ies):

Name: Advanced Magnetics, Inc.

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherStreet Address: 61 Mooney StreetCity: CambridgeState: MAZIP: 02138Execution Date: March 3, 2000 and March 6, 2000Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

09521264 3.8.00If this document is being filed together with a new application, the execution date of the application is: March 8, 2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sonia K. GutermanInternal Address: BROMBERG & SUNSTEIN LLPStreet Address: 125 Summer StreetCity: BostonState: MAZIP: 021106. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

19-4972

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sonia K. Guterman

March 8, 2000

Name of Person Signing

Signature

6

Date

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 010682 FRAME: 0276

ASSIGNMENT

ASSIGNOR: Ernest V. Groman  
Kenneth G. Paul  
Timothy B. Frigo  
Howard Bengele  
Jerome M. Lewis

ASSIGNEE: Advanced Magnetics, Inc.  
61 Mooney Street  
Cambridge, MA 02138

STATE OF INCORPORATION OF ASSIGNEE: Delaware

INVENTION: HEAT STABLE COLLOIDAL IRON OXIDES COATED WITH  
REDUCED CARBOHYDRATES AND CARBOHYDRATE  
DERIVATIVES

ATTORNEY DOCKET: 1275/190

SERIAL NO: Not Yet Assigned

FILED: Herewith

Assignor is the sole inventor (if only one inventor is listed above) or a joint inventor (if more than one inventor is listed above) of the above invention (the "Invention") described in a United States patent application (the "Application") bearing the above attorney docket number and having as a title the above name for the Invention. The Application has a Patent and Trademark Office filing date and serial number as indicated above, or if no filing date and serial number are shown, has a Declaration executed by Assignor contemporaneously with this Assignment.

For valuable consideration, receipt of which is acknowledged, each Assignor hereby assigns to Assignee (which term shall include Assignee's successors and assigns), all of Assignor's right, title and interest in the Invention, all improvements therein, the Application and

all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application, any divisional, continuation-in-whole, or substitute United States application which is based on the Application; or any continuation-in-part United States application (including divisions, continuations-in-whole or -in-part, and substitutions thereof or therefor) based in-part on any of the above described applications.

Each Assignor further agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Each Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

IN WITNESS WHEREOF, each Assignor hereby executes this instrument on the date set

forth below.

Date: 6 MARCH 2000

Ernest V. Groman  
Ernest V. Groman, Assignor

STATE OF Mass )  
 ) ss.  
COUNTY OF Middlesex )

Before me, a notary public in and for said county and state, personally appeared Ernest V. Groman, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Jessamine E. Parvian  
Notary Public  
My Commission Expires: May 18, 2001

(seal)

Date: 3/3/00

Kenneth G. Paul  
Kenneth G. Paul, Assignor

STATE OF MASSACHUSETTS )  
 ) ss.  
COUNTY OF MIDDLESEX )

Before me, a notary public in and for said county and state, personally appeared Kenneth G. Paul, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Betty E. Plakorn  
Notary Public  
My Commission Expires: **MY COMMISSION EXPIRES 2-5-2004**

(seal)

Date: 3-3-00

Timothy B. Frigo  
Timothy B. Frigo, Assignor

STATE OF MASSACHUSETTS )  
 ) ss.  
COUNTY OF MIDDLESEX )

Before me, a notary public in and for said county and state, personally appeared Timothy B. Frigo, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Marlene Kaplan Goldstone  
Notary Public  
My Commission Expires: 4/7/00

(seal)

Date: 3-3-00

Jerome M. Lewis  
Jerome M. Lewis, Assignor

STATE OF MASSACHUSETTS )  
 ) ss.  
COUNTY OF MIDDLESEX )

Before me, a notary public in and for said county and state, personally appeared Jerome M. Lewis, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.

Marlene Kaplan Goldstone  
Notary Public  
My Commission Expires: 4/7/00


(seal)

Date: 3-3-00

Howard H. Bengel  
Howard H. Bengel, Assignor

STATE OF MASSACHUSETTS )  
 ) ss.  
COUNTY OF MIDDLESEX )

Before me, a notary public in and for said county and state, personally appeared Howard H. Bengel, to be known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.



Notary Public  
My Commission Expires: 4/7/00

(seal)