

02/29/00

04-11-2000

Docket No.: EN999029

FORM PTO-1595 (Modified)  
(Rev. 6-73)  
OMB No. 0651-0011 (exp. 4/94)  
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SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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101317291

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ken Chan  
Fredrick K. P. Klassen  
Robert M. Silverman

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Execution Date: 2/11/00, 2/11/00, 2/18/00

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 2/11/00, 2/11/00, 2/18/00

A. Patent Application No.(s)

09/452,403

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Shelley M. Beckstrand

Internal Address: \_\_\_\_\_

Street Address: 314 Main Street

City: Owego State: NY ZIP: 13827

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0457

04/10/2000 TT0111 00000526 090457 09452403

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9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John R. Pivnichny Reg. No: 43,001

Name of Person Signing

John R. Pivnichny

Signature

02/29/00

3

Date

Total number of pages including cover sheet, attachments, and document:

PATENT  
REEL: 010686 FRAME: 0489

ASSIGNMENT

Whereas, we

(1)	KEN CHAN	City of	VANCOUVER
		Province of	BRITISH COLUMBIA
		and Country of	CANADA
(2)	FREDRICK K. P. KLASSEN	City of	COQUITLAM
		Province of	BRITISH COLUMBIA
		and Country of	CANADA
and (3)	ROBERT M. SILVERMAN	City of	WESTFIELD
		County of	Union
		and State of	NEW JERSEY

have invented certain improvements in

SYSTEM AND METHOD FOR MONITORING PERFORMANCE, ANALYZING CAPACITY AND UTILIZATION, AND PLANNING CAPACITY FOR NETWORKS AND INTELLIGENT, NETWORK CONNECTED PROCESSES

and have executed, respectively, a United States patent application therefor on

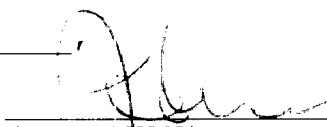
(1) Feb 11, <sup>2000</sup>~~19~~, (2) Feb 11, <sup>2000</sup>~~19~~, and (3) Feb 18, <sup>2000</sup>~~19~~

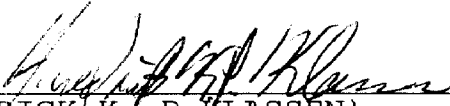
And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

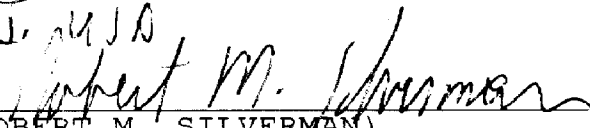
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Signed at Vancouver BC

on Feb 11, 2000

  
(KEN CHAN)

(2) Signed at Vancouver BC,  
 on Feb 11, 2000   
 (FREDRICK K. P. KLASSEN)

(3) Signed at Coventry NJ, USA  
 on Feb 15, 2000   
 (ROBERT M. SILVERMAN)

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