

04-19-2000

OVER SHEET

U.S. Department of Commerce
Patent and Trademark Office



To the Honorable Commissi

101325773

and the attached original documents or copy thereof

1. Name of conveying party(ies):

James M. Wilson
Krishna J. Fisher
Shu-Jen Chen

Additional name(s) of conveying party(ies) attached?
☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Effective Date: August 8, 1997
July 8, 1997
June 20, 1997

2. Name and address of receiving party(ies):

Name: The Trustees of the University of Pennsylvania

Internal Address: _____

Street Address: 3700 Market Street

Suite 300

City: Philadelphia State: PA Zip: 19104-3147

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/427,048

Additional numbers attached: ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mary E. Bak, Esquire

Howson and Howson

Internal Address: _____

Street Address: Spring House Corporate Center,

Box 457

City: Spring House State: PA Zip: 19477

6. Total number of applications and

Patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-3040

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mary E. Bak

Name of Person Signing

Mary E. Bak
Signature

Aug 1, 2000

Date

Total number of pages, including cover sheet, attachments, and document: 4

Mail documents to be recorded with required coversheet information to:
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Washington, DC 20231

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U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

James M. Wilson, Krishna J. Fisher, Shu-Jen Chen & Matthew Weitzman

Additional name(s) of conveying party(ies) attached?
☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Dates: August 8, 1997, July 8, 1997, & June 20, 1997

2. Name and address of receiving party(ies)

Name: The Trustees of the University of Pennsylvania

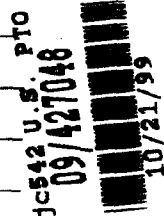
Internal Address: _____

Street Address: 3700 Market Street

Suite 300

City: Philadelphia State: PA Zip: 19104

Additional name(s) & address(es) attached? ☐ yes ☒ no



4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: Herewith

A. Patent Application No.(s)

B. Patent No.(s)

This is a continuation of US Patent Application No. 08/836,022, filed August 25, 1997, which is a national phase filing, pursuant to 35 USC 371, of PCT/US95/14017, filed October 27, 1995, which claimed priority of US Patent Application No. 08/331,381, filed October 28, 1994.

Additional numbers attached: ☐ yes ☒ no

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathy A. Kodroff, Esq.

HOWSON AND HOWSON

Internal Address: Box 457

Street Address: Spring House Corporate Center
321 Norristown Road

City: Spring House State: PA Zip: 19477

6. Total number of applications and Patents involved:.....

1

7. Total fee (37 CFR 3.41).....\$ 40.00

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8. Deposit account number:

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Cathy A. Kodroff
Name of Person Signing

Cathy A. Kodroff
Signature

10/21/99
Date

Total number of pages, including cover sheet, attachments, and document: 4

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PATENT
REEL: 010698 FRAME: 0855

ASSIGNMENT

WHEREAS, James M. Wilson, Krishna J. Fisher, Shu-Jen Chen, and Matthew Weitzman, hereinafter referred to as ASSIGNORS, have invented and are the owners of an invention entitled RECOMBINANT ADENOVIRUS AND METHODS OF USE THEREOF said invention being fully set forth and described in the application for Letters Patent of the United States which has been prepared in connection with said invention, and which has been filed on April 25, 1997 and given Application Number 08/836,022.

WHEREAS, The Trustees of the University of Pennsylvania, a corporation organized and existing under and by virtue of the laws of the Commonwealth of Pennsylvania and engaged in business at 3700 Market Street, Suite 300, Philadelphia, Pennsylvania 19104-3147 hereinafter referred to as ASSIGNEE, is desirous of acquiring the said invention and any and all applications for Letters Patent and any and all Letters Patent of the United States and foreign countries which may be issued therefor;

NOW, THEREFORE, THIS IS TO WITNESS that in consideration of the sum of One Dollar (\$1.00) paid to ASSIGNORS by ASSIGNEE, the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNORS have sold, assigned and set over, and hereby do sell, assign and set over, unto ASSIGNEE, its successors and assigns, the entire right, title and interest for, to and within the United States and all foreign countries, in an to the aforesaid invention and in and to any application for Letters Patent which assignee at its expense may cause to be filed in the United States, under an international treaty, or in any foreign country, and in any United States or foreign patent which may be granted on said invention, including any extensions, divisions, reissues, continuations or continuations-in-part.

And ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States to ASSIGNEE, its successors and assigns, as the owner of all right, title and interest therein.

And for said consideration, it is hereby covenanted and agreed that at the request and expense of ASSIGNEE, its successors and assigns, ASSIGNORS will execute any further papers and do such other acts and things as may be necessary and proper to procure Letters

Patent for said invention in the United States and in such foreign countries as ASSIGNEE, its successors and assigns may elect, and vest the full title thereto in ASSIGNEE, its successors and assigns.

IN WITNESS WHEREOF, this assignment has been executed this _____ day of _____ 1997.

Date 8-8-97

James M. Wilson (SEAL)

Date 7-8-97

Krishna J. Fisher (SEAL)

Date 6/20/97

Shu-Jen Chen (SEAL)

Date _____

Matthew Weitzman (SEAL)

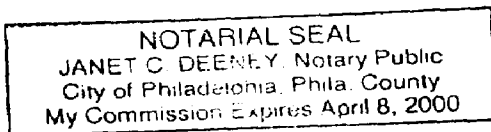
NOTARIAL CERTIFICATE

State of Pa :
County of Phila : ss.:
:

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 8th day of August 1997, personally appeared **James M. Wilson** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Janet C. Deeney
Notary Public



NOTARIAL CERTIFICATE

State of Pa :
County of Phila : ss.:
:

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 8th day of July 1997, personally appeared **Krishna J. Fisher** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Janet C. Deeney
Notary Public

NOTARIAL CERTIFICATE

State of Pa :
County of Phila : ss.:
:

Before me, the undersigned, a notary public, in and for the county aforesaid, on this 24th day of Sept 1997, personally appeared **Shu-Jen Chen** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Janet C. Deeney
Notary Public

NOTARIAL CERTIFICATE

State of :
County of : ss.:
:

Before me, the undersigned, a notary public, in and for the county aforesaid, on this ____ day of ____ 1997, personally appeared **Matthew Weitzman** to me known to be the person described in and who executed the foregoing instrument and who acknowledged the execution of the same as a free act and deed and desired the same to be recorded as such.

(SEAL)

Notary Public

NOTARIAL SEAL
JANET C. DEENEY, Notary Public
City of Philadelphia, Phila. County
My Commission Expires April 8, 2000

NOTARIAL SEAL
JANET C. DEENEY, Notary Public
City of Philadelphia, Phila. County
My Commission Expires April 8, 2000