

04-21-2000

et No.: 0887.019

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies): Nippon Light Metal Company Ltd. 3.17.00

2. Name and address of receiving party(ies):

Name: Alcan International Limited

Address: 1188 Sherbrook Street West

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Montreal

State/Prov.: Quebec

Country: Canada

ZIP: H3A 3G2

Execution Date: March 1, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/341,589

July 13, 1999

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeff Rothenberg, Esq.

Registration No. 26,429

Address: Heslin & Rothenberg, P.C.

5 Columbia Circle

04/20/2000 JSHABAZZ 00000095 09341589

01 FC:581

40.00 DP

City: Albany

State/Prov.: New York

Country: U.S.A.

ZIP: 12203

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1935

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeff Rothenberg

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

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REEL: 010705 FRAME: 0153

ASSIGNMENT

WHEREAS, Toshihiro Suzuki, a citizen of Japan residing at 488-25, Shiroganecho, Numazu-shi, Shizuoka-ken, Japan; Tsunehiko Tanaka, a citizen of Japan residing at 1017-6, Matsuoka, Fuji-shi, Shizuoka-ken, Japan; Meitoku Ogasawara, a citizen of Japan residing at 14-4, Nagadori, Fuji-shi, Shizuoka-ken, Japan; and Yoshito Oki, a citizen of Japan residing at 1-8-15-5, Kunikubo, Fuji-shi, Shizuoka-ken 417 Japan; (collectively INVENTORS) have invented certain new and useful improvements in HEAT EXCHANGER CORE BASED ON ALUMINUM AND PROCESS FOR PRODUCING THE SAME for which an application for Letters Patent of the United States, Application No. 09/341,589, was filed with the United States Patent and Trademark Office on July 13, 1999, as the United States nation phase entry of international application PCT/JP98/00203 filed January 21, 1998; and

WHEREAS, **Nippon Light Metal Company Ltd.** having offices at 2-20, Higashishinagawa 2-chome, Shinagawa-ku, Tokyo, Japan, holds an undivided half interest in the entire right, title and interest in, to and under the said improvements, said application for Letters Patent of the United States, any resulting patents, as well as in counterpart applications and patents throughout the world, by virtue of an Assignment executed by the INVENTORS on June 24/25, 1999 and recorded at reel 010145/frame 0647 in the records of the Assignment Division of the United States Patent and Trademark Office; and

WHEREAS, **Nippon Light Metal Company Ltd.** is desirous of assigning said undivided half interest to **Alcan International Limited**, having offices at 1188 Sherbrook Street West, Montreal, Quebec H3A 3G2, Canada, and said **Alcan International Limited** is desirous of obtaining said undivided half interest.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, **Nippon Light Metal Company Ltd.** has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over unto the said **Alcan International Limited**, its successors, legal representatives and assigns, said undivided half interest in the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and **Nippon Light Metal Company Ltd.** hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as

aforesaid, to issue all Letters Patent for said improvements to the said **Alcan International Limited**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

IN TESTIMONY WHEREOF, **Nippon Light Metal Company Ltd.** has caused this Instrument to be executed by a duly authorized officer and its seal to be affixed hereto on this 1st day of March, 2000.

NIPPON LIGHT METAL COMPANY LTD.

By: Kazuo Matsui
Name: Kazuo Matsui
Title: President and C.E.O.

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