

04-21-2000

JET U.S. DEPT. OF COMMERCE
Patent and Trademark Office

TSMC99-566

To the Honorable Commissioner of Patents a.

101327334

documents or copy thereof.

1. Name of conveying party(ies):

CHIA-TA HSIEH, YAI-FEN LIN, HUNG-CHENG
SUNG, JACK YEH, WEN-TING CHU AND DI-SON
KUOAdditional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ other _____

Execution Date: 2/23/00

2. Name and address of receiving party(ies):

Name: Taiwan Semiconductor Manufacturing
Company

Internal Address:

Street Address:

121 Park Ave. 3
Science-Based Industrial Park
City: Hsin-Chu Zip:
Country: Taiwan, R.O.C.

Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 2/23/00A. Patent Application No(s)B. Patent No(s)Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:Name: GEORGE O. SAILE

Internal Address: _____

Street Address: 20 MCINTOSH DRIVECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit acct.8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy
of the original documentSTEPHEN B. ACKERMAN

Name of Person Signing

Signature

March 22 2000

Date

04/20/2000 TTOW11 00000218 190033 09534160

01 FC:581

40.00 CH

Total number of pages comprising cover sheet: 3

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Chia-Ta Hsieh
Type or print name of ASSIGNOR

No. 7, Lane 133, Tung-Fung Rd.,
Address

Taiwan Taiwan

Republic of China
Nationality

Chia-Ta Hsieh
Type or print name of ASSIGNOR

No. 7, Lane 133, Tung-Fung Rd.,
Address

Taiwan Taiwan

Republic of China
Nationality

HUNG-CHENG SUNG
Type or print name of ASSIGNOR

No. 21, Alley 1, Lane 3, Fu-Chung St.,
Address

Hsinchu, Taiwan

Republic of China
Nationality

ASSIGNEE:

Taiwan Semiconductor Manufacturing Co. Ltd.

121 Park Ave. 3

Science-Based Industrial Park

Hsin-Chu, Taiwan, R.O.C.

Taiwan, R.O.C.

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

A Method To Improve The Control Of Bird's Beak Profile Of Poly In Split Gate Flash

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Jack Yeh
Type or print name of ASSIGNOR
No 98-1-1, Fm. 1012
Address
Tainan, China, Republic of China
Nationality

Di-San Kuo
Type or print name of ASSIGNOR
P.O. Box 19-296
Address
Hsinchu, Taiwan
Nationality

WEN-TING CHU
Type or print name of ASSIGNOR
#668, Chung Cheng Rd, Tainan Country
Address
Kaohsiung County, Taiwan
Nationality

ASSIGNEE:

Taiwan Semiconductor Manufacturing Co. Ltd.
121 Park Ave. 3
Science-Based Industrial Park
Hsin-Chu, Taiwan, R.O.C.
Taiwan, R.O.C.
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest for the United States and its territorial possessions
(check the following box if foreign rights are also to be assigned)
☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

A Method To Improve The Control Of Bird's Beak Profile Of Poly In Split Gate Flash

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. application serial no. _____ filed on _____
(d) ☐ U.S. patent no. _____ issued _____
(e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority
(also check (e) if foreign application(s) is also being assigned)

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this
2/23/2000 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Chia-Ta Hoich
Signature of ASSIGNOR(S)

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on
behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

___ Notarization of Legalization Page Added.

and which is found in

- (a) ☒ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____
- (c) ☐ U.S. application serial no. _____ filed on _____
- (d) ☐ U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- (e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this

2/23/2000 (Date of signing)

WARNING Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Wen-Ting Chin
Signature of ASSIGNOR(S)
Dr. S. K.

If ASSIGNOR is a legal entity complete the following information

Type or print the name of the above person authorized to sign on
behalf of ASSIGNOR

Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

____Notarization of Legalization Page Added.