

04-21-2000

Patent Case No. 20244

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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03/07/00

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TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:  
PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

## 1. Name(s) of Conveying party(ies):

Jianming Bao, Robert K. Baker, Frank Kayser, Shouwu Miao, William H. Parsons, and Kathleen M. Rupprecht

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Wash-  
ington, D.C. 20231, on the date appearing below.

MERCK &amp; CO., INC.

By J. Antonio Garcia-Rivas Date 3/7/00

## 3. Name and address of receiving party(ies):

Name: MERCK & Co., INC.Internal Address: RY60-30Street Address: P.O. Box 2000City & State: RAHWAY, NEW JERSEYZip/Postal Code: 07065-0907Execution Date: Feb 16, 1999Additional name(s) &  
addresses attached?☐ Yes☒ No

## 4. Application number(s) or patent number(s) are as follows:

(a) Patent Application No(s). 09/311,518, filed on May 13, 1999,

and titled:

IMMUNOSUPPRESSANT TRICYCLIC COMPOUNDS

(b) If this document is being filed together with a new application, the execution date of the application:

Additional numbers attached?

☐ Yes☒ No5. Name & address of party to whom correspondence  
concerning documents should be mailed:Name: J. Antonio Garcia-RivasInternal Address: PATENT DEPARTMENTMERCK & CO., INC.P.O. BOX 2000 -- RY60-30City & State: RAHWAY, N.J. Zip: 07065-0907

## 6. Total no. of applications &amp; patents involved: One

7. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ The Commissioner is hereby authorized to  
charge **deposit account number 13-2755** for any  
fees which may be required or to credit any  
overpayment.

DO NOT USE THIS SPACE

## 8. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document.J. Antonio Garcia-RivasReg. No. 42,567

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

04/21/2000 TT0N11 0000021 132755 09311518

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01 FD/581 document recorded with the required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT AND AGREEMENT

U.S. Serial No. 09/311,518  
Filing Date May 13, 1999

For value received, we, Jianming Bao, Robert K. Baker, Frank Kayser, Shouwu Miao, William H. Parsons, Kathleen M. Rupprecht

of 2299 Stocker Lane, Scotch Plains, NJ 07076; 92 Kenilworth Blvd., Cranford, NJ 07016; 1001 Clinton Street, Apt. 6B, Hoboken, NJ 07030; 295 Prestwick Way, Edison, NJ 08820; 29 Grayson Drive, Belle Mead, NJ 08502; and 710 Springfield Avenue, Cranford, NJ 07016; respectively,

hereby sell, assign and transfer to MERCK & CO., Inc., a corporation of the State of New Jersey, having an office at Lincoln Avenue, City of Rahway, State of New Jersey, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to Immunosuppressant Tricyclic Compounds

described in an application for Letters Patent of the United States, executed by each of us on even date herewith, or executed on the date shown in the Declaration and Power of Attorney relating to said application, and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property and related treaties, under any and all Letters Patents which may be granted in any foreign country, and under any and all extensions, divisionals, reissues and continuations of said Letters Patents.

We request that any and all Patents for said inventions be issued to said assignee, its successor, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.


We hereby authorize our attorney, Valerie J. Camara or an attorney with Power of Attorney in this application, of the said MERCK & CO., Inc., to insert **Serial No.**, and **Filing Date** of said application(s) when known.

Signed at Rahway, New Jersey


this 16<sup>th</sup> day of February, 1999

  
Jianming Bao

  
Robert K. Baker

  
Frank Kayser

  
Shouwu Miao

  
William H. Parsons

  
Kathleen M. Rupprecht

**ASSIGNMENT AND AGREEMENT**

Signed at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_

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\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

STATE OF NEW JERSEY

County of Union

SS.

Personally appeared before me the above-named Jianming Bao Robert K. Baker Frank Kayser Shouwu Miao William H.  
Parsons Kathleen M. Rupprecht

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their

free act and deed this 16<sup>th</sup> day of February, 1999.Joanne Ciraco

Notary Public

**JOANNE CIRACO**  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires January 20, 2000

County of

SS.

Personally appeared before me the above-named

to me known and known to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be their

free act and deed this \_\_\_\_\_ day of \_\_\_\_\_

\_\_\_\_\_  
Notary Public