

Attorney Docket No. 8500-0238
SRI No. US-3934

Form PTO-1595 (Modified)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Mark A. Petrie Jeffrey C. Bottaro Robert J. Schmitt Paul E. Penwell David C. Bomberger</p> <p>Additional name(s) of conveying party(ies) attached? ___ Yes <u>X</u> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: SRI International</p> <p>Internal Address:</p> <p>Street Address: 333 Ravenswood Avenue</p> <p>City: Menlo Park State: CA ZIP: 94025</p> <p>Additional name(s) & address(es) attached? ___ Yes <u>X</u> No</p>
<p>3. Nature of conveyance: <u>X</u> Assignment ___ Merger ___ Security Agreement ___ Change of Name ___ Other:</p> <p>Execution Date: 6/12/00, 8/4/99 and 8/5/99</p>	

4. Application numbers(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:

<p>A. Patent Application No.(s) 09/334,359 filed 6/16/99.</p>	<p>B. Patent No.(s)</p>
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<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: Dianne E. Reed Street Address: REED & ASSOCIATES 3282 Alpine Road Portola Valley, California 94028 (650) 851-8501 Telephone (650) 851-8539 Facsimile</p>	<p>6. Total number of applications and patents involved: <u>1</u></p>
	<p>7. Total fee (37 CFR 3.41): \$40.00</p> <p>___ Enclosed</p> <p><u>X</u> Authorized to be charged to deposit account</p>
	<p>8. Deposit Account No. 18-0580</p> <p>The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580 with regards to this correspondence.</p>

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p><u>Dianne E. Reed, Reg. No. 31,292</u> Name of Person Signing</p>	<p><u>Dianne E. Reed</u> Signature</p>	<p><u>6/13/00</u> Date</p>
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Total number of pages including cover sheet 7

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ASSIGNMENT

JOINT

THIS ASSIGNMENT, by Mark A. Petrie, Jeffrey C. Bottaro, Robert J. Schmitt, Paul E. Penwell and David C. Bomberger (hereinafter referred to as the assignors), residing at Cupertino, California, Mountain View, California, Palo Alto, California, Palo Alto, California and Belmont, California respectively, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in PREPARATION OF ALUMINUM HYDRIDE POLYMORPHIS, PARTICULARLY STABILIZED ALPHA-ALH₃ set forth in an application for Letters Patent of the United States, _ having an oath or declaration executed on even date herewith; X bearing Serial No. 09/334,359 and filed on June 16, 1999; and

WHEREAS, SRI International, a corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 333 Ravenswood Avenue, Menlo Park, California 94025 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behoof and the use and behoof of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for

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said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behoof of said assignee, its successors, legal representatives and assigns.

Date 6/12/00 Name of Inventor Mark A. Petrie
Mark A. Petrie

Date 8/4/99 Name of Inventor Jeffrey C. Bottaro
Jeffrey C. Bottaro

Date 8/4/99 Name of Inventor Robert J. Schmitt
Robert J. Schmitt

Date 8/4/99 Name of Inventor Paul E. Penwell
Paul E. Penwell

Date 8/5/99 Name of Inventor David C. Bomberger
David C. Bomberger

Read Docket No. 8500-0238
SRI Docket No. US3934-2

STATE OF CALIFORNIA)
)
COUNTY OF SAN MATEO)

On June 12, ²⁰⁰⁰ ~~1999~~ ^{mlj}, before me, Mary Lou Joyner, personally appeared Mark E. Petrie, personally known to me ~~(or proved to me on the basis of satisfactory evidence)~~ ^{mlj} to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou Joyner
Notary Public

STATE OF CALIFORNIA)
)
COUNTY OF SAN MATEO)

On August 4, 1999, before me, ^{Kellyanne Y. Ebisui} ~~Mary Lou Joyner~~, personally appeared Jeffrey C. Bottaro, personally known to me ~~(or proved to me on the basis of satisfactory evidence)~~ to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui
Notary Public

Reed Docket No. 8500-0238
SRI Docket No. US3934-2

STATE OF CALIFORNIA)
)
COUNTY OF SAN MATEO)

On August 4, 1999, before me, ^{Kellyanne Y. Ebisui} ~~Mary Lou Joyner~~, personally appeared Robert J. Schmitt, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Kellyanne Y. Ebisui
Notary Public

STATE OF CALIFORNIA)
)
COUNTY OF SAN MATEO)

On August 4, 1999, before me, ^{Kellyanne Y. Ebisui} ~~Mary Lou Joyner~~, personally appeared Paul E. Penwell, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



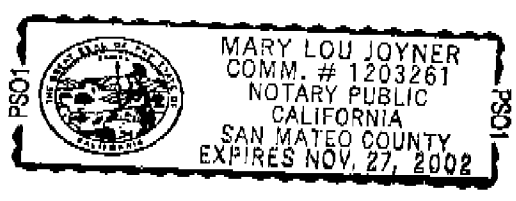
Kellyanne Y. Ebisui
Notary Public

Reed Docket No. 8500-0238
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STATE OF CALIFORNIA)
)
COUNTY OF SAN MATEO)

On August 5, 1999, before me, Mary Lou Joyner, personally appeared David C. Bomberger, personally known to me ~~(or proved to me on the~~ ^{only} ~~basis of satisfactory evidence)~~ to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou Joyner
Notary Public