

04-24-2000



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

ET

Attorney's Docket No. 004501-049

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ABB Research Ltd.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: 3/23/2000

2. Name and address of receiving party(ies):

Name: ABB ALSTOM POWER (Switzerland) Ltd

Address: Haselstrasse 16/699

CH-5401 Baden

Switzerland

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/111,778

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert S. Swecker

Address: BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404

Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR § 3.41): \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account, if necessary

8. Deposit account number:

02-4800

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Swecker, #19,885
Name of Person Signing

Signature

March 29, 2000
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

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PATENT
REEL: 010713 FRAME: 0475

(09/99)

ASSIGNMENT

THIS ASSIGNMENT is effective as of the first day of September, 1999.

WHEREAS, ABB Research Ltd., having its principal place of business at Affolternstr. 52, CH-8050 Zurich, Switzerland, is the owner of pending United States Patent Application Serial No. 09/111,778 filed July 8, 1998 ; and

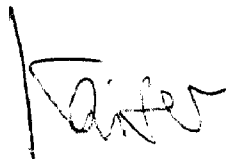
WHEREAS, ABB ALSTOM POWER (Switzerland) Ltd, having its principal place of business at Haselstrasse 16/699, CH-5401 Baden, Switzerland, is desirous of acquiring the entire right, title and interest in and to the patent application identified above, including any reissues, reexamined patents, divisions and continuations of the above-identified patent application;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ABB Research Ltd. has sold, assigned and transferred, and nunc pro tunc as of September 1, 1999, hereby sells, assigns and transfers to ABB ALSTOM POWER (Switzerland) Ltd the entire right, title and interest in and to the said patent application listed above, including any reissues, reexamined patents, continuations and divisions of said patent application, said patent application to be held and enjoyed by ABB ALSTOM POWER (Switzerland) Ltd for its own use and for the use of its legal representatives, successors and assigns, to the full end of the term for which the said patent

application is granted, as fully and entirely as the same would have been held by ABB Research Ltd. had this assignment not been made, together with all claims for past infringement of said patent application listed above and with the right to sue for and to collect damages for such infringement.

2000-03-23

Date


Name: Dr. Helmut KAISER
Title: Authorized representative
Company: ABB Research Ltd.