

04-26-2000

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SET

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To the Honorable Commissioner of Patents

101333920

and original documents or copy thereof.

1. Name of conveying party(ies): Christopher David McCullough, Kevin Barry Ray, Alan Stanley Victor Monk,

Additional name(s) of conveying party(ies) attached?  
☒ Yes ☐ No

2. Name and address of receiving party(ies)

Name: Kodak Polychrome Graphics LLC  
Address: 401 Merrit 7

City: Norwalk State: CT Zip: 06851

Additional name(s) and address(es) attached?  
☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: March 13, 2000

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No.(s)  
09/503,095

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Louis S. Sorell

BAKER BOTTS L.L.P.

Address: 30 Rockefeller Plaza - 44 FL

City: New York State: NY Zip: 10112

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

02-4377

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.*

Louis S. Sorell 32.439

Name of Person Signing

Signature

March 29, 2000

Date

Total number of pages including cover sheet, attachments and document: 7

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RECORDATION FORM COVER SHEET  
PATENTS ONLY

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

10. Name of conveying party(ies):

John David Riches, Anthony Paul Kitson, Gareth Rhodri Parsons, David Stephen Riley, Peter Andrew Reath Bennett and Richard David Hoare

11. Application number(s) or registration number(s):

A. Patent Application No.(s)

B. Patent Registration No.(s)

Page 2 of 2

OMB No. 0651-0011 (exp. 4/94)

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**ASSIGNMENT**

WHEREAS, WE, YONG-HANG ZHANG, SHANE JOHNSON, PHILIP DOWD and CHANG-ZHI GUO, whose post office addresses are: 7259 E. Cortez St., Scottsdale, Arizona, 85260, 742 E. Kesler Lane, Chandler, Arizona, 85225, c/o School of Electrical and Electronic Engineering, Nanyang Technological University, South Spine, Block S2, Nanyang Avenue, Singapore 639798, 1424 S. Jentilly Lane #207, Tempe, Arizona, 85281, respectively, have made an invention and made provisional patent application Serial No. 60/162,813 for Letters Patent of the United States entitled "LONG WAVELENGTH GAAS BASED PSEUDOMORPHIC INGAPASSB TYPE-I ACTIVE MATERIALS" filed November 1, 1999; and

WHEREAS, Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, a corporation organized and existing under the laws of the State of Arizona, and having an office for the transaction of business at Arizona State University, Tempe, AZ 85287-6006, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said provisional application for Letters Patent therefor, any non-provisional patent application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said YONG-HANG ZHANG, SHANE JOHNSON, PHILIP DOWD and CHANG-ZHI GUO, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to us in hand paid by said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, and other valuable considerations unto us moving from said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, at or before the sealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, the entire right, title and interest in and to the said invention for which we are about to make provisional application Serial No. 60/162,813 for Letters Patent of the United States entitled "LONG WAVELENGTH GaAs BASED PSEUDOMORPHIC InGaPAsSb TYPE-I ACTIVE MATERIALS" filed November 1, 1999, and any and all improvements thereon, and in and to said provisional application, any non-provisional patent application, and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said YONG-HANG ZHANG, SHANE JOHNSON, PHILIP DOWD and CHANG-ZHI GUO, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns;

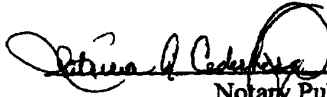
AND WE, the said YONG-HANG ZHANG, SHANE JOHNSON, PHILIP DOWD and CHANG-ZHI GUO, for the considerations aforesaid, do hereby covenant and agree to and with said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, may be required or necessary more effectively to secure to and vest in said Arizona Board of Regents, a body corporate, acting for and on behalf of the Arizona State University, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, WE, the said YONG-HANG ZHANG, SHANE JOHNSON, PHILIP DOWD and CHANG-ZHI GUO, have hereunto set our hands and seals on the date below written.

  
 YONG-HANG ZHANG

STATE OF *Arizona* )  
 : ss.:  
 COUNTY OF *Maricopa* )

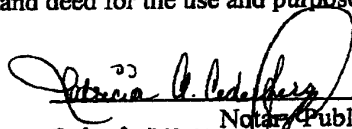
BE IT KNOWN, that on this 23 day of March, 2000, before me personally came YONG-HANG ZHANG, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

  
 Notary Public  
 My Commission Expires April 12, 2000

  
 SHANE JOHNSON

STATE OF *Arizona* )  
 : ss.:  
 COUNTY OF *Maricopa* )

BE IT KNOWN, that on this 27 day of March, 2000, before me personally came SHANE JOHNSON, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

  
 Notary Public  
 My Commission Expires April 12, 2000

\_\_\_\_\_  
PHILIP DOWD

Witness: Cao Changqi  
(Type Name)  
Prof. Cao Changqi, Dept. of Physics, Peking U., 100871

Witness: Chen Chenjia  
(Type Name)  
Prof. Chen Chenjia, Dept. of Physics, Peking U., 100871

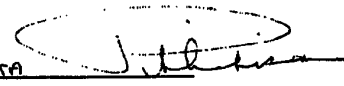
Chang Zhi Guo  
\_\_\_\_\_  
CHANG-ZHI GUO

STATE OF China )  
: ss.:  
COUNTY OF Beijing )

BE IT KNOWN, that on this 22 day of March, 2000, before me personally came CHANG-ZHI GUO, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public

  
\_\_\_\_\_  
PHILIP DOWD

Witness: KANTISARA PITA   
(Type Name)

Witness: X.C. YUAN  
(Type Name)

\_\_\_\_\_  
CHANG-ZHI GUO

STATE OF )  
                  : ss.:  
COUNTY OF )

BE IT KNOWN, that on this \_\_\_\_ day of \_\_\_\_\_, 2000, before me personally came CHANG-ZHI GUO, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and he acknowledged to me that he executed the same as his free act and deed for the use and purposes therein mentioned.

\_\_\_\_\_  
Notary Public