

04-27-2000

Docket No.: 05890001AA

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

101335328

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
F. Hoffmann-La Roche AG

MRD
4.1.00

2. Name and address of receiving party(ies):

Name: Setanta Therapeutics, Inc.

Internal Address: _____

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: _____

City: Richmond State: VA ZIP: _____

Execution Date: January 25, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

09/446,867

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael E. Whitham

Internal Address: Whitham, Curtis & Whitham

04/26/2000 JSHABAZZ 00000235 09446867

01 FC:581

40.00 DP

Street Address: 11800 Sunrise Valley Drive, Suite e900

City: Reston State: VA ZIP: 20191

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-1951

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael E. Whitham

Name of Person Signing

Signature

April 7, 2000

Date

Total number of pages including cover sheet, attachments, and document: 1

PATENT

REEL: 010722 FRAME: 0546

ASSIGNMENT OF INVENTION

WHEREAS, We, F. Hoffmann-La Roche AG, have invented certain new and useful improvements in for MULTINUCLEAR CATIONIC PLATINUM COMPLEXES WITH ANTITUMOR ACTIVITY which a patent application has been executed.

AND WHEREAS, Setanta Therapeutics, Inc., having a place of business in Richmond, Virginia, USA, referred to as Assignee, is desirous of acquiring an entire interest in said invention and in any Letters Patent which may be granted therefor.

NOW THIS INDENTURE WITNESSETH, That for a valuable consideration, the receipt of which is hereby acknowledged, we hereby assign, sell and transfer unto the said assignee, the entire right, title and interest in and to inventions disclosed in said application and in and to any Letters Patent of the United States and in and to any Letters Patent or Inventor's Certificates of any and all foreign countries which may be granted therefor, and in and to any and all priority and/or Convention rights or benefits accruing or to accrue to us with respect to the filing or securing of patents in the United States and/or securing of patents or inventor's certificates in any and all countries foreign thereto.

AND we hereby grant to assignee the right to apply in its own name for patents or inventor's certificates corresponding to the above invention in countries foreign to the United States.

AND we hereby further agree to sign and properly execute such necessary and lawful papers for application for foreign patents and inventor's certificates for filing applications for United States, foreign patents and inventor's certificates for subdivisions of any applications for patent or inventor's certificate and/or for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention and to perform such further acts as may be required to carry out the intent of this agreement as the assignee thereof shall hereafter require and prepare at assignee's expense.

WITNESSETH, my hand this 25th day of January, 2000.

F. Hoffmann-La Roche AG

Fridolin Klausner
Holder of procuration

Florence Gurth
Mandatory

RECORDED: 04/07/2000

PATENT
REEL: 010722 FRAME: 0547