

04-27-2000

BU9-99-144

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101335602

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1) James W. Adkisson, 2) Arne W. Ballantine, 3) Matthew D. Gallagher, 4) Peter J. Geiss, 5) Jeffrey D. Gilbert, 6) Shwu-Jen Jeng, 7) Donna K. Johnson, 8) Robb A. Johnson, 9) Glen L. Miles, 10) Kirk D. Peterson, 11) James J. Toomey, and 12) Tina Wagner

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504

Additional name(s) & address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of name☐ Other

Execution date:

3/31/2000

3.31.00

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is.

3/31/2000

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No.

5. Name and address of party to whom correspondence concerning document should be mailed:

Eric J. Franklin, Reg. No. 37,134  
Pollock, Vande Sande & Aronick  
Suite 300  
1900 M Street, N.W.  
Washington, D.C. 20036-3425

6. Total Number of applications and patents involved 1

7. Total fee (37 C.F.R. 3.41)....\$40

☐ Enclosed☒ Authorized to be charged to deposit account☒ Charge deficiencies/credit overpayments to Deposit

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8. Deposit Account No. 09-0456

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*William D. Subo*  
William D. Subo, Reg. No. 27,465

Date: 3/31/2000

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04/26/2000 TTM11 00000259 090456 09541091

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PATENT  
REEL: 010723 FRAME: 0001

## ASSIGNMENT

Whereas, We,

|  |   |
|--|---|
| James W. Adkisson<br>County of Chittenden    | City of Jericho<br>and state of Vermont           |
| Arne W. Ballantine<br>County of Cold Spring  | City of Cold Spring<br>and state of New York      |
| Matthew D. Gallagher<br>County of Chittenden | City of Burlington<br>and state of Vermont        |
| Peter J. Geiss<br>County of Chittenden       | City of Underhill<br>and state of Vermont         |
| Jeffrey D. Gilbert<br>County of Chittenden   | City of Burlington<br>and state of Vermont        |
| Shwu-Jen Jeng<br>County of Dutchess          | City of Wappingers Falls<br>and state of New York |
| Donna K. Johnson<br>County of Chittenden     | City of Underhill<br>and state of Vermont         |
| Robb A. Johnson<br>County of Chittenden      | City of South Burlington<br>and state of Vermont  |
| Glen L. Miles<br>County of Chittenden        | City of Essex Junction<br>and state of Vermont    |
| Kirk D. Peterson<br>County of Chittenden     | City of Essex Junction<br>and state of Vermont    |
| James J. Toomey<br>County of Dutchess        | City of Poughkeepsie<br>and state of New York     |
| Tina Wagner<br>County of Orange              | City of Newburgh<br>and state of New York         |

have invented certain improvements in

**STRUCTURE AND METHOD FOR FORMATION OF A BLOCKED SILICIDE  
RESISTOR**

and have executed, respectively, a United States patent application therefore on

- (1) March 31, 2000
- (2) March 31, 2000
- (3) March 31, 2000
- (4) March 31, 2000
- (5) March 31, 2000
- (6) \_\_\_\_\_
- (7) March 31, 2000
- (8) \_\_\_\_\_
- (9) March 31, 2000
- (10) March 31, 2000
- (11) \_\_\_\_\_
- (12) \_\_\_\_\_

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, We the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said

IBM Docket No: BU9-99-144

and have executed, respectively, a United States patent application therefore on

- (1) March 31, 2000
- (2) March 31, 2000
- (3) March 31, 2000
- (4) \_\_\_\_\_
- (5) March 31, 2000
- (6) \_\_\_\_\_
- (7) March 31, 2000
- (8) MARCH 31, 2000
- (9) March 31, 2000
- (10) \_\_\_\_\_
- (11) \_\_\_\_\_
- (12) \_\_\_\_\_

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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2.

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PATENT PAGE.009  
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IBM Docket No: BU9-99-144

and have executed, respectively, a United States patent application therefore on

- (1) \_\_\_\_\_
- (2) \_\_\_\_\_
- (3) \_\_\_\_\_
- (4) \_\_\_\_\_
- (5) \_\_\_\_\_
- (6) 2/21/00
- (7) \_\_\_\_\_
- (8) \_\_\_\_\_
- (9) \_\_\_\_\_
- (10) \_\_\_\_\_
- (11) 3/31/2000
- (12) 3/31/00


And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, We the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said

United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns, and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

## SIGNED

(1) signed at *Essex Junction, VT*  
on *3/31/60*

  
James W. Adkisson

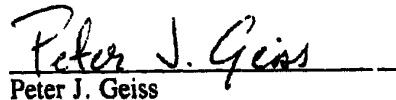
(2) signed at *Essex Junction, VT*  
on *3/31/2000*

  
Arne W. Ballantine

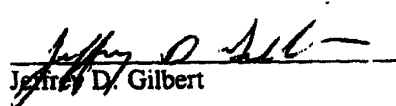
(3) signed at *Essex Junction, VT*  
on *3/31/2000*

  
Matthew D. Gallagher

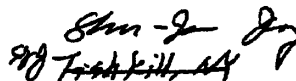
(4) signed at *Underhill, VT*  
on *3/31/2000*

  
Peter J. Geiss

(5) signed at *Essex Junction, Vermont*  
on *3/31/2000*

  
Jeffrey D. Gilbert

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(6) signed at  
onFishkill, NY  
3/31/00  
Shwu-Jen Jeng(7) signed at  
on

Donna K. Johnson

(8) signed at  
on


Robb A. Johnson

(9) signed at  
on

Glen L. Miles

(10) signed at  
on

Kirk D. Peterson

(11) signed at Fishkill, N.Y.  
on 3/31/2000  
James J. Dooney(12) signed at East Fishkill, NY  
on 3/31/00  
Tina Wagner

(6) signed at  
on

Shwu-Jen Jeng

(7) signed at Essex Junction, VT  
on 3/31/00

Donna K. Johnson

(8) signed at  
on

Robb A. Johnson

(9) signed at Essex Junction VT  
on 3/31/2000

Glen L. Miles

(10) signed at Essex Junction VT  
on 3/31/2000

Kirk D. Peterson

(11) signed at  
on

James J. Toomey

(12) signed at  
on

Tina Wagner



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(6) signed at  
on

Shwu-Jen Jeng

(7) signed at Essex Jctn, VT  
on 3/31/00

Donna K. Johnson  
Donna K. Johnson

(8) signed at Essex Junction, VT  
on 3/31/2000

Robb A. Johnson  
Robb A. Johnson

(9) signed at  
on  
3/31/2000

Glen L. Milcs  
Glen L. Milcs

(10) signed at  
on

Kirk D. Peterson

(11) signed at  
on

James J. Toomey

(12) signed at  
on

Tina Wagner

4.

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REEL: 010723 FRAME: 0009

Matthew D. Gallagher  
Matthew D. Gallagher

3/31/2000

Peter J. Geiss  
Peter J. Geiss

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Jeffrey D. Gilbert  
Jeffrey D. Gilbert

3/31/2000

Shwu-Jen Jeng  
Shwu-Jen Jeng

Donna K. Johnson  
Donna K. Johnson

3/31/2000

Robb A. Johnson  
Robb A. Johnson

Glen L. Miles  
Glen L. Miles

3/31/2000

Kirk D. Peterson  
Kirk D. Peterson

3/31/2000

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