

04-27-2000

Docket No. 36360/MAH/B583

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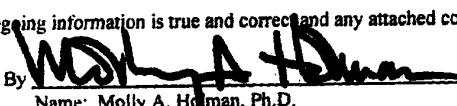
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Box Assignment  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068

Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

<b>1. Name of conveying party(ies):</b> <b>J. David Rozzell, Jr.</b> <b>Peter Bui</b>  Additional name(s) of conveying party(ies) attached: <b>no</b>		<b>2. Name and address of receiving party(ies):</b> Jan 31 <b>Name: BioCatalytics, Inc.</b> <b>Street Address:</b> <b>437 South Sparks Street, Burbank, California 91506</b>					
<b>3. Name of conveyance</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other:  Execution Date: <b>31 January 2000</b>		Additional name(s) & address(es) attached? <b>no</b>					
<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is: <b>31 January 2000</b>  <table border="1"> <tr> <td><b>A. Patent Application No.(s)</b></td> <td><b>B. Patent No.(s)</b></td> </tr> <tr> <td colspan="2" style="text-align: center;">Additional numbers attached? <b>no</b></td> </tr> </table>				<b>A. Patent Application No.(s)</b>	<b>B. Patent No.(s)</b>	Additional numbers attached? <b>no</b>	
<b>A. Patent Application No.(s)</b>	<b>B. Patent No.(s)</b>						
Additional numbers attached? <b>no</b>							
<b>5. Please return the recorded document and address all correspondence to:</b>  <b>CHRISTIE, PARKER &amp; HALE, LLP</b> <b>P.O. Box 7068</b> <b>Pasadena, CA 91109-7068</b>  Attention: <b>Molly A. Holman, Ph.D.</b>		<b>6. Total number of applications and patents involved.....</b> 1					
<b>10. <input type="checkbox"/> Explanatory letter is enclosed.</b>		<b>7. <input checked="" type="checkbox"/> Total fee enclosed (37 CFR 3.41): \$ 40.00</b> <b>8. <input checked="" type="checkbox"/> Any deficiency or overpayment of fees should be charged or credited to Deposit Account No. 03-1728, except for payment of issue fees required under 37 CFR § 1.18. Please show our docket number with any credit or charge to our Deposit Account.</b>					
<b>9. Statement and signature</b> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Date: <b>January 31, 2000</b> By:  Name: <b>Molly A. Holman, Ph.D.</b> <b>626/795-9900</b>  <i>This paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 Mailing Label No. EL521378967US</i>							
4/26/2000 DNGUYEN 00000343 J. DAVI ROZZELL FC:581 (0.00 DP)		<b>Total number of pages including cover sheet, attachments, and document:</b> 3					

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**PATENT**  
**REEL: 010724 FRAME: 0386**

**ASSIGNMENT**

**WHEREAS, WE, J. DAVID ROZZELL, JR., and PETER BUI**, residing at 437 S. Sparks Street, Burbank, California 91506; and 4328 Crossvale Avenue, El Monte, California 91732, respectively, have invented certain new and useful improvements disclosed in an application for United States Letters Patent entitled **SYNTHETIC GENES FOR ENHANCED EXPRESSION**, and executed by us on even date herewith;

**AND WHEREAS BioCatalytics, Inc.**, a California corporation, having a place of business at 437 South Sparks Street, Burbank, California 91506 (hereafter, together with any successors, legal representatives or assigns thereof, called "ASSIGNEE") wants to acquire the entire right, title and interest in and to said improvements and application.

**NOW, THEREFORE**, for good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over, and do hereby sell, assign, transfer and set over to ASSIGNEE the entire right, title and interest in and to said improvements, and said application and all divisions and continuations thereof, and all United States Letters Patents which may be granted thereon and all reissues, reexaminations and extensions thereof, and all priority rights under all available International Agreements, Treaties and Conventions for the protection of intellectual property in its various forms in every participating country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all continuations, divisions, extensions, renewals, substitutes, and reissues thereof) granted for said improvements in any foreign countries; and we hereby authorize and request the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all patents for said improvements to ASSIGNEE in accordance with the terms of this Assignment;

**AND WE HEREBY** covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith;

**AND WE HEREBY** further covenant and agree that we will communicate to ASSIGNEE any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and generally do everything possible to aid ASSIGNEE to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand this 31<sup>st</sup> day of January, 2000.

J. David Rozzell, Jr.  
J. David Rozzell, Jr.

STATE OF California  
COUNTY OF Los Angeles

On January 31, 2000, before me Sheila R. Sherman, Notary Public, personally appeared J. David Rozzell, Jr. ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Sheila R. Sherman  
Signature of Notary

\*\*\*\*\*

IN TESTIMONY WHEREOF, I hereunto set my hand this 31<sup>st</sup> day of January, 2000.

Peter Hoang Bui  
Peter Bui

STATE OF California  
COUNTY OF Los Angeles

On January 31, 2000, before me Sheila R. Sherman, Notary Public, personally appeared Peter Bui ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Sheila R. Sherman  
Signature of Notary

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