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10 April 2000



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U.S. Department of Commerce  
Patent and Trademark Office

## PATENTS ONLY

4-10-00

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copies thereof.

## 1. Name of Conveying Party(ies):

Christian SCHMITZ  
Theo BURCHARD

## 2. Name and Address of Receiving Party(ies):

Name: Glesecke &amp; Devrient GmbH

Internal Address:

Street Address: Prinzregentenstr. 159

City, State, Zip: D-81677 Munchen, Germany

☐ Additional names of conveying parties attached.

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: 17 March 2000

☐ Additional name(s) and address(es) attached.

## 4. (A) Patent Application Number(s):

09/462,495

## 4. (B) Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is:

☐ Additional Numbers Attached.

## 5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: J. Ernest Kenney  
 Address: Bacon & Thomas, PLLC  
 625 Slaters Lane Fourth Floor  
 Alexandria, VA 22314

Total Number of Applications and  
Patents Involved:

1

7. Total Fee:  
(37 CFR 3.41)

\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-0200

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## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

J. Ernest Kenney

10 April 2000

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 3

04/17/2000 PUELPE 00000121 09462495

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PATENT  
 REEL: 010725 FRAME: 0140

**ASSIGNMENT**

WHEREAS, I (we), **Christian SCHMITZ and Theo BURCHARD**  
whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful  
improvements in **DOCUMENT OF INHERENT VALUE**

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

☐ executed on even date herewith

☐ executed on:

☒ filed on: **24 July 1998**

Serial No.: **09/462,495**

☒ established by PCT International Patent Application No.: filed:  
designating the United States of America

WHEREAS, **Giesecke & Devrient GmbH**  
whose post office address is **Prinzregentenstr. 159, D-81677 München, Germany**  
hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

|                 |                           |                    |  |
|-----------------|---------------------------|--------------------|--|
| Assignor Name   | <b>Christian SCHMITZ</b>  | Address            | <b>Zieglerstrasse 5d, D-83727 Schliersee Germany</b> |
| Where Signed    | <b>Gmund a. Tegernsee</b> | Signature          |  |
| Date            | <b>03/17/00</b>           | Witness (optional) |  |
| Print/Type Name |                           | Print/Type Name    |  |
| Signature       |                           | Signature          |  |

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# ASSIGNMENT

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|                                       |  |
|---------------------------------------|--|
| Assignor Name<br><b>Theo BURCHARD</b> | Address<br><b>Buchleiten 1, D-83703 Gmund, Germany</b> |
| Where Signed<br><b>Gmund</b>          | Signature<br><i>[Signature]</i>                        |
| Date<br><b>03/20/00</b>               | Witness (optional)                                     |
| Print/Type Name                       | Print/Type Name  |
| Signature                             | Signature  |

|                    |                    |
|--------------------|--------------------|
| Assignor Name      | Address            |
| Where Signed       |                    |
| Date               | Signature          |
| Witness (optional) | Witness (optional) |
| Print/Type Name    | Print/Type Name    |
| Signature          | Signature          |

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