

04-28-2000

SUBSTITUTE REC
FORM PTO-1595

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Patent and Trademark Office



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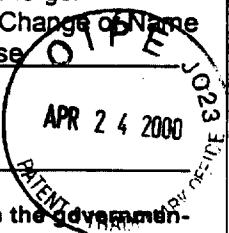
101338395

To the Honorable Commissioner of Patents and Trademarks Attached original documents or copy thereof.

1. Name of conveying party(ies):
General Electric Co., Sub to
Maxwell Laboratories, Inc.
F29601-92-C-0137
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):
Name: United States Air Force
Internal Address: 377 ABW/JAN
Street Address: 2251 Maxwell Ave., SE
City: Kirtland AFB
State: NM Zip: 87117-5773
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other Confirmatory License
Execution Date(s): 17 Dec 97
 This instrument should be recorded on the governmental register in accordance with 37 CFR 7.1(e)(1).



4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: _____
A. Patent Application No.(s):
08/900,141
Filed: 07/28/97
B. Patent No.(s):
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Kenneth E. Callahan
Internal Address: 377 ABW/JAN
Street Address: 2251 Maxwell Ave., SE
City: Kirtland AFB
State: NM ZIP: 87117-5773

6. Total number of applications and patents involved:
1
7. Total fee (37 CFR 3.41)\$ 0
 Enclosed Not applicable
The instrument to be recorded is not an instrument affecting title (37 CFR 7.1(e)(2) and (j)).
8. Deposit account number:
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Kenneth E. Callahan Kenneth E. Callahan 16 Sep 99
Name of person signing Signature
Total number of pages including cover sheet, attachments, and document: **2**

CONTRACTOR'S CONFIRMATORY LICENSE TO GOVERNMENT

Pursuant to, and in confirmation of, the provisions thereof contained in Contract Number F29601-92-C-0137, the undersigned **GENERAL ELECTRIC COMPANY** does hereby grant and convey to **THE UNITED STATES OF AMERICA** an irrevocable, nonexclusive, nontransferable and royalty-free license to practice, and cause to be practiced for the Government throughout the world, in the manufacture, use, and disposition according to the law, of any article or material embodying any and all inventions covered by the following Letters Patent and applications for Letters Patent, **TO WIT:**

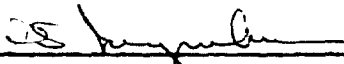
INVENTOR'S NAME	SERIAL NUMBER	FILING DATE	TITLE OF INVENTION
Richard J. Saia Kevin M. Durocher James W. Rose	08/900,141	07/28/97	AMORPHOUS HYDROGENATED CARBON HERMETIC STRUCTURE AND FABRICATION METHOD

Any license granted herein shall not convey any right to the Government to manufacture, have manufactured, or use any subject invention for the purpose of providing services or supplies to the general public in competition with the contractor or the contractor's commercial licensees in the licensed fields.

The acceptance of this license shall not constitute any acknowledgement of the validity or scope of any patent, and the UNITED STATES shall have and retain the rights to terminate this license at any time by mailing notice of its intention to do so to the undersigned at the address set forth below.

IN WITNESS WHEREOF the undersigned has executed this instrument this 17th day of December 19 97.

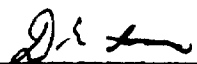
WITNESSES:



 DS Ingraham, Attesting Secretary

GENERAL ELECTRIC COMPANY

LICENSOR



 Douglas E. Stoner, Counsel
 Patent and Legal Operation
 Corporate and Research & Development
 PO Box 8, Schdv, NY 12301

 ADDRESS OF LICENSOR

REPORT OF INVENTIONS AND SUBCONTRACTS
(Pursuant to "Patent Rights" Contract Clause) (See Instructions on Reverse Side.)

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Washington Headquarters Service, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (5030-0055), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO EITHER OF THESE ADDRESSES. RETURN COMPLETED FORM TO THE CONTRACTING OFFICER.

NAME OF CONTRACTOR / SUBCONTRACTOR General Electric Company	6. CONTRACT NUMBER BC / Nr. 940213	2a. NAME OF GOVERNMENT PRIME CONTRACTOR Maxwell Technologies Inc.	3. TYPE OF REPORT (X one) a. INTERIM <input checked="" type="checkbox"/> b. FINAL
	4. REPORTING PERIOD (Y/M/D) a. FROM: 960221 b. TO: 980309		
ADDRESS (include ZIP Code) Corporate Research & Dev PO Box 8, Schdy, NY 12301	4. AWARD DATE (Y/M/D) 960221	4. AWARD NUMBER P29601-92-C-0137	4. REPORTING PERIOD (Y/M/D) a. FROM: 960221 b. TO: 980309

SECTION I - SUBJECT INVENTIONS

SUBJECT INVENTIONS - REQUIRED TO BE REPORTED BY CONTRACTOR / SUBCONTRACTOR (If "None", so state)	TITLE OF INVENTION(S)	DISCLOSURE NUMBER, PATENT APPLICATION NUMBER OR PATENT NUMBER	ELECTION TO FILE PATENT APPLICATIONS				CONTRACTOR'S ASSIGNMENT INSTRUMENT TO CONTRACTING OFFICER	
			(1) UNITED STATES	(2) FOREIGN	(a) YES	(b) NO	(1) YES	(2) NO
NAME(S) OF INVENTOR(S) (Last, First, MI) Wojnarowski, Robert J	b Flexible Interface Structures for Electronic Devices	c RD-25 349 08/922,018	(a) YES <input checked="" type="checkbox"/>	(b) NO <input type="checkbox"/>	(a) YES <input checked="" type="checkbox"/>	(b) NO <input type="checkbox"/>	(1) YES <input checked="" type="checkbox"/>	(2) NO <input type="checkbox"/>

EMPLOYER OF INVENTOR(S) NOT EMPLOYED BY CONTRACTOR / SUBCONTRACTOR

1) (a) NAME OF INVENTOR (Last, First, MI)	(2) (a) NAME OF INVENTOR (Last, First, MI)	9. ELECTED FOREIGN COUNTRIES IN WHICH A PATENT APPLICATION WILL BE FILED	(2) FOREIGN COUNTRIES OF PATENT APPLICATION

NAME OF EMPLOYER

(b) NAME OF EMPLOYER	(c) ADDRESS OF EMPLOYER (include ZIP Code)

ADDRESS OF EMPLOYER (include ZIP Code)

(c) ADDRESS OF EMPLOYER (include ZIP Code)

SECTION II - SUBCONTRACTS (Containing a "Patent Rights" clause)

NAME OF SUBCONTRACTOR(S)	ADDRESS (include ZIP Code)	SUBCONTRACT NUMBER(S)	DEBAR "PATENT RIGHTS"		DESCRIPTION OF WORK TO BE PERFORMED UNDER SUBCONTRACT(S)	SUBCONTRACT DATES (Y/M/D)	
			(1) CLAUSE NUMBER	(2) DATE (Y/M/D)		(1) AWARD	(2) ESTIMATED COMPLETION
Lockheed-Martin Gov't Electronic Syst.	199 Borton Landing Rd. Moorestown, NJ 08057-0927	A02-14074	PAR 52.227-12	8906	For Chip MCM Development	960719	971115

SECTION III - CERTIFICATION

7. CERTIFICATION OF REPORT BY CONTRACTOR / SUBCONTRACTOR. (Not required if 8. NAME OF AUTHORIZED CONTRACTOR / SUBCONTRACTOR OFFICIAL (Last, First, MI) Snyder, Marvin

c. I certify that the reporting party has procedures for prompt identification and timely disclosure of "Subject Inventions," that such procedures have been followed and that all "Subject Inventions" have been reported.

9. TITLE Patent Counsel - Electrical, Mechanical & Information Technology

d. SIGNATURE *Marvin Snyder*

e. DATE SIGNED 980309

REPORT OF INVENTIONS AND SUBCONTRACTS
(Pursuant to "Patent Rights" Contract Clause) (See Instructions on Reverse Side.)

Form Approved
Date 09/04/99
EPA Form No. 36, 1994

Public reporting burden for this collection of information is estimated to average 3 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Service, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0187), Washington, DC 20503.

1a. NAME OF CONTRACTOR/SUBCONTRACTOR General Electric Company	1b. NAME OF GOVERNMENT PRIME CONTRACTOR Maxwell Laboratories, Inc.	1c. CONTRACT NUMBER F29601-92-C-0137	1d. TYPE OF REPORT (Form) X <input type="checkbox"/> INITIAL <input type="checkbox"/> FINAL
2a. ADDRESS (Include ZIP Code) Corporate Research & Dev. PO Box 8, Schdy, NY 12301	2b. ADDRESS (Include ZIP Code) 8244 Balboa Avenue San Diego, CA 92123	3. AWARD DATE (YYMMDD) 960221	4. REPORTING PERIOD (YYMMDD) a. FROM: 960721 b. TO: 961106

SECTION I - SUBJECT INVENTIONS

5. "SUBJECT INVENTIONS" REQUIRED TO BE REPORTED BY CONTRACTOR/SUBCONTRACTOR (If "None," so state)	a. NAME(S) OF INVENTION(S) (incl. First All)	b. TITLE OF INVENTION(S)	c. Docket No., PATENT APPLICATION SERIAL NO OR PATENT NO	4. ELECTION TO FILE PATENT APPLICATIONS				6. COMBINATORY ASSIGNMENT / ORNAMED TO CONTRACTING OFFICE	
				(1) United States	(2) Foreign	(1) Yes	(2) No	(1) Yes	(2) No
	Richard J. Saja Durocher, Kevin M Rose, James W	Structure and Method of Fabricating a DLG Hermetic Barrier <i>Now in Progress High Temperature</i>	RD-25302	X	X			Will forward when available.	
1. NAME OF ORIGIN OR INVENTION(S) NOT EMPLOYED BY CONTRACTOR/SUBCONTRACTOR	(1)(a) Name of Inventor (Last, First All)	(2)(a) Name of Inventor (Last, First All)	(1) Title of Invention	9. ELECTED FOREIGN COUNTRIES IN WHICH A PATENT APPLICATION WILL BE FILED				(2) Foreign Countries of Patent Application	
			+ Conf. License + Rec'd Order Award + Adding Best Practice						

SECTION II - SUBCONTRACTS (Containing a "Patent Rights" clause)

6. SUBCONTRACTS AWARDED BY CONTRACTOR/SUBCONTRACTOR (If "None," so state)	a. NAME OF SUBCONTRACTOR(S)	b. ADDRESS (Include ZIP Code)	c. SUBCONTRACT NO(S)	4. DFRS "PATENT RIGHTS"		5. DESCRIPTION OF WORK TO BE PERFORMED UNDER SUBCONTRACT(S)	7. SUBCONTRACT DATES (YYMMDD)	
				(1) Clause Number	(2) Date (YYMMDD)		(1) Award	(2) Estimated Completion
	None.							

SECTION III - CERTIFICATION

1. I certify that the reporting party has procedures for prompt identification and timely disclosure of "Subject Inventions" that such procedures have been followed and that all "Subject Inventions" have been reported.

2. SIGNATURE: *Marvin Snyder*

3. DATE (MM/DD): 961106