

05-01-2000

U.S. Department of Commerce  
Patent and Trademark Office  
PATENT



REC

101339148

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

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**Conveyance Type**

Assignment  
 License  
 Security Agreement  
 Change of Name

Merger

Other  Notice of Grant of Security Interest  
**U.S. Government**

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Mark if additional names of conveying parties attached

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Month Day Year

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT**  
**REEL: 010731 FRAME: 0072**

00000001 0690567 100.00 00  
05/07/2000 11:58:11

**Correspondent Name and Address** Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**  Mark if additional numbers attached  
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)			Patent Number(s)		
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="5,890,567"/>	<input type="text" value="4,380,276"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="5,858,127"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text" value="4,399,894"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties** Enter the total number of properties involved. #

**Fee Amount** Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment: Enclosed  Deposit Account   
Deposit Account (Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #   
Authorization to charge additional fees: Yes  No

**Statement and Signature**  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Steven D. Thomas Steven D. Thomas 5/27/04  
Name of Person Signing Signature Date Signed

**Schedule A**

**Gunite Corporation**

**U.S. Patents**

<b>Patent No.</b>	<b>Filed</b>	<b>Description</b>
5,890,567	1/12/98	Brake Drum Mounting
5,858,127	6/12/97	Metal Alloys and Brake Drums Made from Such Alloys
4,399,894	8/18/81	Push Rod Slack Adjuster
4,380,276	7/21/80	Slack Adjuster for Vehicle Brakes

DUR1253726\_1

**PATENT**  
**REEL: 010731 FRAME: 0074**

NOTICE  
OF  
GRANT OF SECURITY INTEREST  
IN  
PATENTS

United States Patent and Trademark Office

Ladies and Gentlemen:

Please be advised that pursuant to the Security Agreement dated as of March 9, 2000 (the "Security Agreement") by and among the obligors party thereto (each an "Obligor" and collectively, the "Obligors") and First Union National Bank, a national banking association, as Administrative Agent (the "Administrative Agent") for the Lenders referenced therein (the "Lenders"), the undersigned Obligor has granted a continuing security interest in and continuing lien upon, the patents shown below to the Administrative Agent for the ratable benefit of the Lenders:

PATENTS

<u>Patent No.</u>	Description of Patent <u>Item</u>	Date of <u>Patent</u>
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See attached Schedule A

DUR1253726\_1

**PATENT**  
**REEL: 010731 FRAME: 0075**

The Obligor and the Administrative Agent, on behalf of the Lenders, hereby acknowledge and agree that the security interest in the foregoing patents (i) may only be terminated in accordance with the terms of the Security Agreement and (ii) is not to be construed as an assignment of any patent or patent application.

Very truly yours,

GUNITE CORPORATION

By: [Signature]

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Acknowledged and Accepted:

FIRST UNION NATIONAL BANK, as Administrative Agent

By: [Signature]

Name: KENT DAUS

Title: VP

DUR1253726\_1

**PATENT**  
**REEL: 010731 FRAME: 0076**

# MOORE & VAN ALLEN, PLLC

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March 27, 2000

**Via Certified Mail**  
**Z 339 549 449**

Commissioner of Patents and Trademarks  
BOX ASSIGNMENTS  
Washington, D.C. 20231

**Re: Recordation of Notice of Grant of Security Interest in Patents.**

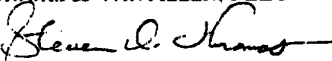
Dear Sir/Madam:

Please find enclosed the following documents for recording with the Patent and Trademark Office.

1. Recordation Form Cover Sheet - Patents;
2. Notice of Grant of Security Interest in Patents, executed March 9, 2000, between Gunite Corporation and First Union National Bank, as Administrative Agent;
3. Check No. 29783 in the amount of One Hundred Sixty Dollars (\$160.00);
4. Postcard acknowledgment of filed Notice of Grant of Security Interest in Patents documents.

Sincerely yours,

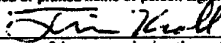
MOORE & VAN ALLEN, PLLC

  
Steven D. Thomas

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, BOX ASSIGNMENTS, Washington, DC 20231.

TIM KROU  
(Typed or printed name of person signing the certificate)

  
(Signature of the person signing the certificate)

3/27/00  
(Date of Signature)

RECORDED: 04/03/2000

**PATENT**  
**REEL: 010731 FRAME: 0077**