

05-01-2000

Form PTO-1595

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SHEET U.S. Department of Commerce Patent and Trademark Office

To the Honorable Comm	issioner of Pate	IOTOS	original documents or copy thereof				
Name of conv	eying party(ie	es):	Name and address of receiving party(ies):				
		allah Ougazzaden, Lentz and Gleb E.	Name: Lucent Technologies, Inc. Internal Address:				
Additional name(s) of conveying party(ies) attached? Yes x No			Street Address: 600 Mountain Avenue				
3. Nature of Conv	/eyance:	·	FIENT & TRADUME				
x Assignment		Merger	City: Murray Hill				
Security Agree	ement	Change of Name	State: New Jersey Zip: 07974-0636				
Other			Country: USA				
Execution Date(s 3/23/00.): 1/24/00; 2/	/1/00; 3/17/00 and	Additional name(s) & Yes address(es) attached? × No				
4. Application nu	mber(s) or pa	atent number(s):					
If this document is being filed together with a new application, the Execution date(s) of the new application is (are):							
A. Patent Applic 09/515,100			B. Patent No.(s):				
			Additional numbers attached? Yes X No				
5. Name and add concerning docum	ress of party to nent should be	whom correspondence mailed:	Total number of applications and 1 patents involved:				
Name: DICKSTEIN	SHAPIRO MOF	RIN & OSHINSKY LLP	7. Total fee (37 C.F.R 3.41) \$ \$40.00				
Internal Address:	Atty. Dkt.: Ch	nakrabarti 31-24-6-7-10	Enclosed				
Attı	n: Thomas J. [)'Amico	x Authorized to be charged to deposit account				
Street Address:	2101 L Stre	et NW	Credit any overpayment or debit any underpayment				
City: Washington	State: DC	Zip: 20037 - 1526	8. Deposit account number: 12 - 2325				
DO NOT USE THIS SPACE							
9. Statement an	d signature.						
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.							
Thomas J. D'Amico March 29, 2000							
		Signature	Date				
Reg. No. 28,371							
Total number of pages including cover sheet, attachments, and document: 7							
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Docket No.: Chakrabarti 31-24-0-7-10 Our Reference No.: L7480.0178/P178

ASSIGNMENT AND AGREEMENT

For value received, we, Gleb E. Shtengel of Hanover, Maryland, Utpal Kumar Chakrabarti, Charles William Lentz, Abdallah Ougazzaden all of Breinigsville, Pennsylvania, and Charles H. Joyner of Holmdel, New Jersey in the County of _____ and States of Maryland, Pennsylvania and New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to A METHOD AND DEVICE FOR PREVENTING ZINC/IRON INTERACTION IN A SEMICONDUCTOR LASER described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

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PATENT REEL: 010732 FRAME: 0314

Docket No.: Chakrabarti 31-24-6-7-10 Our Reference No.: L7480.0178/P178

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We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

March 17,2000

Date:

United States of America

Tillmenreev-	16. Shtengel /
Gleb E. Shtengel	

State of Many (AB)ss.:

County of Are ARUNDEL) On this personally came Gleb E. Shtengel , to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

1098840 v1; NJVC: 11 DOC

Docket No.: Shtengel-118970 Our Reference No.: L7480.0178

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> PATENT REEL: 010732 FRAME: 0316

Docket No.: Shtengel-118970 Our Reference No.: L7480.0178

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Charles William Ventz

Date: United States of America State of)ss.: County of day of January On this

personally came Charles William Lentz , to me known to be the individual described in and who executed the foregoing instrument, and acknowledged

execution of the same.

NOTARIAL SEAL DIANE L. HUGHES, Notary Public Breinigsville, Lehigh County My Commission Expires Jan. 5, 2003

Docket No.: Chakrabarti 31-24-6-7-10 Our Reference No.: L7480.0178/P178

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		<u>Cha</u> Charles	H. Joyner	
Date:	2/23/00			
United States of A	America)		
State of)ss.:		
County of)		
On this	33 day of	March	, 2000	, before me
personally came	Charles H. Joynei	•	, to me know	n to be the
individual describ	ed in and who exect	ited the foregoing i	nstrument, and ackno	owledged
execution of the s	ame.	Che	ryl A. Pars	ish
		•	Notary Public	

My Commission Explose 06-04-8004

1098840 v1, NJVC01 .DOC

PATENT REEL: 010732 FRAME: 0318

Docket No.: Shtengel-118970 Our Reference No.: L7480.0178

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Abdallah Ougazzaden

Date: 124 00

United States of America)
State of Pennsylvania)ss.

County of Lehigh

On this 3447 day of

huary, 2000, before me

personally came Abdallah Ougazzaden , to me known to be the individual described in and who executed the foregoing instrument, and acknowledged

execution of the same.

Notary Public

NOTARIAL SEAL
DIANE L. HUGHES, Notary Public
Breinigsville. Lehigh County
My Commission Expires Jan. 6, 2003

PATENT REEL: 010732 FRAME: 0319

RECORDED: 03/29/2000