

4/11/00

05-01-2000

FORM PTO-1595

RECORDAT



DEPARTMENT OF COMMERCE

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Dr. Earl O. Bergersen

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Ortho-Tain, Inc.

Internal Address: 950 Green Bay Road

Street Address: 950 Green Bay Road

City: Winnetka State: IL Zip: 60093

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date 04/04/2000

4. Application (number(s) or patent number(s): P 00,0503

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No. (s)

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

09/546685

5. Name and address of party to whom correspondence concerning document should be mailed:

Hill & Simpson, P.C.  
85th Floor Sears Tower  
233 S. Wacker Drive  
Chicago, IL 60606

6. Total number of applications and patents involved

1

7. Total Fee (37 CFR 3.41) ..... \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account any Additional fees

8. Deposit Account Number:

08-2290

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin W. Guynn

Name of Person Signing

Signature

April 4, 2000

Date

Total number of pages 2

Mail documents to be recorded and required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

## ASSIGNMENT

For a valuable consideration, the undersigned, **DR. EARL O. BERGERSEN**, residing at 950 Green Bay Road, Winnetka, IL 60093, hereby sells, assigns, and transfers unto **ORTHO TAIN, INC.** a corporation of the State of **Illinois**, and having its principle place of business at 950 Green Bay Road, Winnetka, IL 60093, the whole right, title and interest in and to a certain invention or improvement in

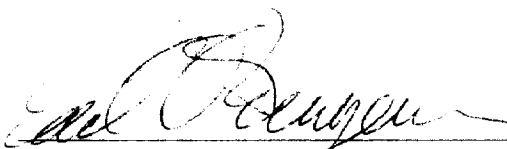
### **"SELF OPENING ORTHODONTIC APPLIANCE WITH OPENING ASSISTANCE"**

disclosed in an application for United States Letters Patent therefore, prepared by the firm of Hill & Simpson, A Professional Corporation, of Chicago, Illinois, and executed on even date herewith, said application being identified in the office records of said firm as Case No. **P 00,0503**, and in and to the United States Letters Patent therefor, when issued, together with all improvements thereon and betterments thereof, all divisions, continuations and reissues thereof and substitutions of or for said application, and all rights and privileges under the Letters Patent that may be granted therefor, including the right to claim the benefit of an earlier filing date for the same invention in a foreign country.

I hereby authorize and request the Commissioner of Patents to issue the Letters Patent that may be granted for said invention or improvements to said **Ortho-Tain, Inc.**

For the same considerations, I hereby agree that I will promptly communicate to the aforesaid assignee or its assigns full and complete information concerning said improvements or betterments of the inventions disclosed in said application, and will cooperate at any time upon request of said assignee or its assigns, at its expense, in the procurement of patent protection to cover the inventions herein assigned and to be assigned including the execution of new, divisional, continuing and reissue applications; will make all rightful oaths, will testify in any proceedings in the United States Patent Office or in the Courts, and generally will do everything lawfully possible to aid said assignee, its successors, assigns, and nominees to obtain, enjoy and enforce proper patent protection for the inventions embraced within the terms of this document.

Date: 4 April 2000

  
\_\_\_\_\_  
**Dr. Earl O. Bergersen**

**WITNESSES:**

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**PATENT**

**RECORDED: 04/11/2000**

**REEL: 010732 FRAME: 0806**