

05-01-2000

Case Name: Li 7-2
UMENT ID NO.: 101239222

4.14.00 Re PAT



101339866

ET

To the Honorable Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

- (1) Conveying Party(ies): Ming-Jun Li
Mark J. Soulliere

Title: Four-Fiber Ring Optical Cross Connect System Using 4x4 Switch Matrices

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

- (2) Receiving Party: Corning Incorporated
Patent Department
SP-TI-3-1
Corning, NY 14831

RECD
MAR 14 PM 12:19
OPR/FINANCE

- (3) Nature of conveyance: Assignment executed: 12/14/99 and 12/15/99.

- (4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 09/465,066
Filing date: 12/16/99

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on _____.

- (5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Philip G. Alden
Reg. No. 32,189
Corning Incorporated
Patent Department
SP-TI-03-1
Corning, NY 14831
Phone No.: (607) 974-8803

- (6) Total Number of applications and/or patents involved: one

- (7) Total Fee = \$40.00

☐ Enclosed (Check No.)
☐ Included with filing fee. Check No. ____
☒ Authorization given by Corning Incorporated to charge deposit account number
03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip G. Alden

Date

Total number of pages comprising cover sheet: 3

PATENT
REEL: 010733 FRAME: 0071

01-07-2000

Case Name: Li 7-2

PATENT A

MID 12-16-99

101239222

To the Honorable Commissioner of Patents a.

- (1) Conveying Party(ies): Ming-Jun Li
Mark J. Soulliere

Title: Four-Fiber Ring Optical Cross Connect System Using 4x4 Switch Matrices

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

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- (3) Nature of conveyance: Assignment executed: 12-14-99 and 12-15-99.

- (4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

09/465066

A. Patent Application No.(s):
Filing date:

B. Patent No.(s):
Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on 12-14-99 and 12-15-99.

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COPY

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Enclosed (Check No.)

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Philip G. Alden

Date

01/04/2000 600KSTL 010733 0072 09/465066
03 FC:581 40.00 CH

PATENT
REEL: 010733 FRAME: 0072

ASSIGNMENT

FOR VALUE RECEIVED, We, as a below name inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Four-Fiber Ring Optical Cross Connect System Using 4x4 Switch Matrices**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 11/11/87
DATE 11/11/87

respectively, and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

We request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

We agree that, when requested, we will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

We authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

We covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

PATENT
REEL: 010733 FRAME: 0073

Case Name: L.I 7-2

Signed at Corning, NY, this

12/14

1999

Ming-Jun Li
Ming-Jun Li12/15

1999

Mark J. Soulliere
Mark J. Soulliere

State of New York)

: ss.

(County of Steuben)

On the 14th day of December 1999, before me personally came Ming-Jun Li known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Tammie J. Porter
Notary Public

TAMMIE J. PORTER
Notary Public, State of New York
No. 01PO5086309
Qualified in Steuben County
Commission Expires October 14, 2001

State of New York)

: ss.

(County of Steuben)

On the 15th day of December 1999, before me personally came Mark J. Soulliere known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Deanna M. Kowal
Notary Public

DEANNA M. KOWAL
Notary Public in the State of New York
Steuben County
Reg. No. 01K05086828
Commission Expires 9/30/ 2000

RECORDED: 12/16/1999

PATENT
REEL: 010733 FRAME: 0074