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4-27-00

101341929

Docket No. 839-894
17TU-8953

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- A) Frederick G. Bally
B) Lawrence E. Rentz
C) Klaus M. Retschaff
D) Norman A. Tarnquist

2. Name and address of receiving party(ies):

General Electric Company
1 River Road, Bldg. 37 - 3
Schenectady, NY 12345

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
Other _____

Execution Date: 4/17/00



4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/491,598

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard G. Besha
Nixon & Vanderhye P.C.
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... \$ 40.00
Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 07-0849
(Attach duplicate copy of this page if paying by deposit account.)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Lampe, Jr.
Attorney Name

Signature

24 APRIL 2000
Date

Total number of pages including cover sheet, attachments and document: 4

RCL/cas

PATENT
REEL: 010738 FRAME: 0602

839-694
17TU-5953

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Frederick G. Baily of 4982 Jockey Street, Ballston Spa, NY 12020 and Lawrence E. Rentz of 29 Gloucester Street, Clifton Park, NY 12065, and Klaus M. Retzlaff of 58 Crestwood Drive, Schenectady, NY 12306, and Norman A. Turquist of 94 East Main Street, Schenectady, NY 12304, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in BRUSH SEAL MOUNTING IN SUPPORTING GROOVE USING FLAT SPRING WITH BIFURCATED END invented by us, as described in the application for United States Patent, filed on January 25, 2000 as Serial Number: 09/491,598; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

PATENT
REEL: 010738 FRAME: 0603

839-694
17TU-5953

4 APRIL, 2000 Frederick G. Baily
Date Frederick G. Baily

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 4th day of April, 2000, before me personally came the above-named Frederick G. Baily, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/02
Registration No. 01SW5030952

Cynthia A. Swanson
Notary Public
My Commission Expires: _____

4/18, 2000 Lawrence E. Rentz
Date Lawrence E. Rentz

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 18th day of April, 2000 before me personally came the above-named Lawrence E. Rentz, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Cynthia A. Swanson
Notary Public
My Commission Expires: _____

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/02
Registration No. 01SW5030952

PATENT

REEL: 010738 FRAME: 0604

839-694
17TU-5953

April 7, 2000
Date

Klaus M. Retzlaff
Klaus M. Retzlaff

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 7th day of April, 2000 before me personally came the above-named Klaus M. Retzlaff, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/02
Registration No. 01SW5030952

Cynthia A. Swanson
Notary Public
My Commission Expires: _____

April 9, 2000
Date

Norman A. Turnquist
Norman A. Turnquist

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 19 day of April, 2000 before me personally came the above-named Norman A. Turnquist, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public
My Commission Expires 11/11/00

Susan M. Zampieri
Notary Public
My Commission Expires: 11/11/00

PATENT
REEL: 010738 FRAME: 0605

839-694
17TU-5953

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Frederick G. Baily of 4989 Jockey Street, Ballston Spa, NY 12020 and Lawrence E. Rentz of 29 Gloucester Street, Clifton Park, NY 12065, and Klaus M. Retzlaff of 58 Crestwood Drive, Schenectady, NY 12306, and Norman A. Turnquist of 84 East State Street, Schenectady, NY 12306, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in BRUSH SEAL MOUNTING IN SUPPORTING GROOVE USING FLAT SPRING WITH BIFURCATED END invented by us, as described in the application for United States Patent, filed on January 25, 2000 as Serial Number: 09/491,598; and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

NEW YORK
Schenectady, N.Y.
12306
JTB

PATENT
REEL: 010738 FRAME: 0606

839-694
17TU-5953

4 APRIL, 2000
Date


Frederick G. Baily

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 4th day of April, 2000, before me personally came the above-named Frederick G. Baily, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5030952


Notary Public
My Commission Expires: _____

4/18, 2000
Date



Lawrence E. Rentz

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 18th day of April, 2000 before me personally came the above-named Lawrence E. Rentz, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.


Notary Public
My Commission Expires: _____

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5030952

PATENT
REEL: 010738 FRAME: 0607

839-694
17TU-5953

April 7, 2000
Date

Klaus M. Retzlaff
Klaus M. Retzlaff

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 7th day of April, 2000 before me personally came the above-named Klaus M. Retzlaff, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

CYNTHIA A. SWANSON
Notary Public, State of New York
Registered in Schenectady County
My Commission Expires 07/25/00
Registration No. 01SW5030952

Cynthia A. Swanson
Notary Public
My Commission Expires: _____

April 19, 2000
Date

Norman A. Turnquist
Norman A. Turnquist

STATE OF New York)

) ss:

COUNTY OF Schenectady)

This 19 day of April, 2000 before me personally came the above-named Norman A. Turnquist, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

SUSAN ZAMPIER
Notary Public, State of New York
Qualified in Schenectady Co.
No. 012A5073047
My Commission Expires Nov. 11, 2000

Susan M. Zamper
Notary Public
My Commission Expires: 11/11/00

RECORDED: 04/27/2000

PATENT
REEL: 010738 FRAME: 0608