

05-03-2000

U.S. Department of Commerce
Patent and Trademark Office

PATENT



101342483

RECORDATION FORM COVER SHEET
PATENTS ONLY

4-17-00

TO: The Commissioner of Patents and Trademarks : Please record the attached original document(s) or copy(ies).

Submission Type

- ☐ New
☐ Resubmission (Non-Recordation)
Document ID # _____
☒ Correction of PTC Error
Reel # 010112 Frame # 0481
☐ Corrective Document
Reel # _____ Frame # _____

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other _____

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File ☐ Secret File

Attorney Docket No: 081862.P122

Conveying Party (ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year

Name (1st party) Jerome A. Mouton, Jr.

07.02.1999

Name (2nd party) Alex Van Troung

07.02.1999

Name (3rd party) William P. Buckley

07.08.1999

Name (4th party) _____

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Cisco Technology, Inc.

Name (line 2) a Corporation of California

Address (line 1) 170 West Tasman Drive

Address (line 2) _____

Address (line 3) San Jose

California

95134

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

(Complete only if receiving party is not domiciled in the United States)

Enter for the first Receiving Party only.

Name _____

Address (line 1) _____

Address (line 2) _____

Address (line 3) _____

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027. Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
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PATENT

REEL: 010740 FRAME: 0435

Correspondent Name and Address

Area Code and Telephone Number (408) 720-8300

Name Lester J. Vincent
Address (line 1) BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
Address (line 2) 12400 Wilshire Boulevard, Seventh Floor
Address (line 3) _____
Address (line 4) Los Angeles, CA 90025-1026

Pages Enter the total number of pages of the attached conveyance document. # 2

Application Number (s) or Patent Number (s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number (s)			Patent Number (s)		
<u>09/295690</u>	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a
U.S. Application Number has not been
assigned.

PCT	_____	PCT	_____	PCT	_____
PCT	_____	PCT	_____	PCT	_____

Number of Properties

Enter the total number of properties involved. # 1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$ _____

Method of Payment:
Deposit Account

Enclosed ☐

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 02-2666

Authorization to charge additional fees: Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

<u>Lester J. Vincent</u>	<u>31,460</u>	<u>[Signature]</u>	<u>April 11, 2000</u>
Name of Person Signing	Registration No.	Signature	Date

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PATENT
REEL: 010740 FRAME: 0436

07-27-1999



101104254

 Recordation Room Cover Sheet
 PATENTS ONLY

 U.S. DEPARTMENT OF COMMERCE
 Patent and Trademark Office

mms 7-19-99

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Jerome A. Mouton, Jr.; Alex Van Truong; William P. Buckley	2. Name and address of receiving party(ies): Name: Cisco Technology, Inc. Internal Address: _____ _____ _____ Street Address: 170 West Tasman Drive _____ City: San Jose State: Cali ZIP: 95134
Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes	Execution Date: 07/02/99, 07/02/99, 07/08/99 Additional name(s) & address(es) attached? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____	4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 09/295,690 B. Patent No.(s) _____
Additional numbers attached?	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Blakely, Sokoloff, Taylor & Zafman Internal Address: _____ Street Address: 12400 Wilshire Boulevard, 7th Floor _____ City: Los Angeles State: CA ZIP: 90025	6. Total number of applications and patents involved: 1 7. Total Fee (37 CFR 3.41).....\$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account
8. Deposit Account Number: 02-2666 (Attach duplicate copy of this page if paying by deposit account)	
DO NOT USE THIS SPACE	
9. Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.	
Farzad E. Amini; Reg. No. 42,261 Name of Person Signing	 Signature
Total number of pages including cover sheet, attachments, and document: 4	

Mail documents to be recorded with required cover sheet information to:
 Assistant Commissioner of Patents, Box Assignments
 Washington, D.C. 20231

Attorney Docket No. **081862.P122**
 (5/27/97)

PATENT
REEL: 010740 FRAME: 0437

ASSIGNMENT
(For Execution After Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned:

Jerome A. Mouton, Jr.; Alex V. Truong; William P. Buckley

hereby sell, assign, and transfer to:

CISCO TECHNOLOGY, INC.

a corporation of California, having a principal place of business at 170 West Tasman Drive, San Jose, California 95134, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that was filed April 21, 1999, and is assigned Application No. 09/295,690 and is entitled:

**METHOD AND APPARATUS FOR UPGRADING A DATABASE
IN A REDUNDANT ENVIRONMENT BY RELEASE CHAINING**

and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please sign and have notarized.

Date: July 2, 1999

Jerome A. Mouton, Jr.
Name: **Jerome A. Mouton, Jr.**

State of California
County of Santa Clara S:

On this 2 day of July, 1999 before me, Peggy Lambdin, Notary Public, the undersigned Notary Public, personally appeared Jerome A. Mouton, Jr.

 personally known to me **OR**

✓ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Peggy Lambdin
Notary's Signature



Date: July 2, 1999

Alex V. Truong
Name: **Alex V. Truong**

State of California
County of Santa Clara S:

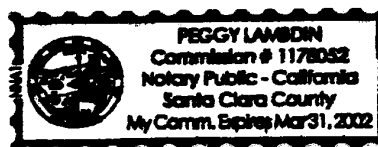
On this 2 day of July, 1999 before me, Peggy Lambdin, Notary Public, the undersigned Notary Public, personally appeared Alex V. Truong

 personally known to me **OR**

✓ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Peggy Lambdin
Notary's Signature



Date: July 8, 1999

Name: William P. Buckley

State of California } SS

County of Santa Clara

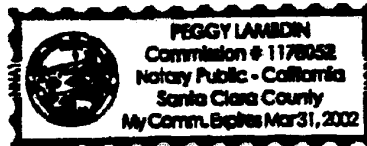
On this 8 day of July, 1999 before me, Peggy Lambdin, the undersigned Notary Public, personally appeared William P. Buckley

✓ personally known to me OR

✓ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Peggy Lambdin
Notary's Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **4-17-00**)

Jerome A. Mouton Jr., et al.)

Serial No.: 09/295,690)

Filing Date: April 21, 1999)

For: METHOD AND APPARATUS FOR
UPGRADING A DATABASE IN A
REDUNDANT ENVIRONMENT BY
RELEASE CHAINING)

Examiner: Not yet assigned

Art Unit: 2771

APR 1 / AM 10:00
OPR/FINANCE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20591

on April 12, 2000
Date of Deposit
CHRISTOPHER P. MARSHALL
Name of Person Mailing Correspondence
C.P. Marshall 4/12/00
Signature Date

U.S. Patent and Trademark Office
Assignment Division
Box Assignments
Washington, D.C. 20231

REQUEST TO CORRECT NOTICE OF RECORDATION OF
ASSIGNMENT DOCUMENT DUE TO PTO ERROR

Sir:

The United States Patent and Trademark Office Notice of Recordation of Assignment Document dated September 24, 1999, contains an error. One of the assignor's name has been incorrectly spelled.

The Notice of Recordation of Assignment Document currently reads in part as follows:

"Assignor:
Mouton, Jerome A., Jr."
Van Troung, Lex
Buckley, William P.

Please correct the Notice of Recordation of Assignment Document so that it reads in part as follows:

**PATENT
REEL: 010740 FRAME: 0441**

"Assignors:

Mouton, Jerome A., Jr.

Van Troung, Alex

Buckley, William P."

Enclosed please find the following:

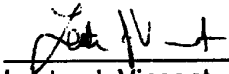
- (1) Corrective Recordation Form Cover Sheet;
- (2) Copy of the Notice of Recordation of Assignment Document;
- (3) Copy of the originally submitted Recordation Form Cover Sheet; and
- (4) Copy of the executed Assignment;

Because this is believed to be a PTO error, no fee is due. If there are any charges, however, please charge our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Date: Apr 12, 2000



Lester J. Vincent
Reg. No.: 31,460

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

PATENT
REEL: 010740 FRAME: 0442



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 24, 1999

PTA
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
FARZAD E. AMINI
12400 WILSHIRE BOULEVARD, 7TH FLOOR
LOS ANGELES CA 90025



BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

CISCO TECHNOLOGY, INC.

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

LJV

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/19/1999

REEL/FRAME: 010112/0481
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
MOUTON, JEROME A., JR.

DOC DATE: 07/02/1999

ASSIGNOR:
VAN TRUONG, LEX

DOC DATE: 07/02/1999

ASSIGNOR:
BUCKLEY WILLIAM P

DOC DATE: 07/03/1999

ASSIGNEE:
CISCO TECHNOLOGY, INC.
170 WEST TASMAN DRIVE
SAN JOSE, CALIFORNIA 95134

SERIAL NUMBER: 09295690
PATENT NUMBER:

FILING DATE: 04/21/1999
ISSUE DATE:

STATUS REPORT

RECORDED: 04/17/2000

PATENT
REEL: 010740 FRAME: 0443