

05-03-2000

4.10.00 ASSIG



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To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)  
a) Khien DO  
b) David F. ARNONE  
c)

2. Name and address of receiving party(ies):  
a) Name: New Focus, Inc.  
Address: 2630 Walsh Avenue  
Santa Clara, CA 95051

3. Nature of conveyance  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other ☐ License Agreement

Execution Date: March 28, 2000 and March 29, 2000

4. Application Number(s): 09/443,950

The title of the (new) application is: MULTI-POSITION OPTIC MOUNT

5. Please send all correspondence concerning this (these) documents to:

Customer Number: 022434  
BEYER & WEAVER, LLP  
P.O. Box 130  
Mountain View, CA 94042-0130  
Telephone (650) 961-8300  
Fax (650) 961-8301

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☒ Any additional fees are authorized to be charged to Deposit Account No. 50-0388  
(Order No. NFC1P002)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: April 6, 2000

  
Charles C. Cary  
Registration No. 36,764

05/02/2000 DEBITED 00000219 09443950

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46.00 SP

Attorney Docket No. NFC1P002

(Revised 01/96)

**PATENT**  
**REEL: 010740 FRAME: 0955**

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OPR/FINANCE

# ASSIGNMENT OF PATENT APPLICATION

(Not Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

## MULTI-POSITION OPTIC MOUNT

for which I (we) have executed an application for a United States Letters Patent which was filed in the U.S. Patent and Trademark Office on November 19, 1999, and which bears the Application No. 09/443,950.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/We the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to New Focus, Inc., a California corporation having a place of business at 2630 Walsh Avenue, Santa Clara, CA 95051, (hereinafter referred to as ("ASSIGNEE")), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1. Inventor Signature: [Signature] Date: 3/28/00  
Khiem DO

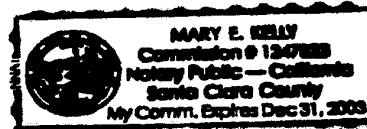
State of California }  
County of Santa Clara } ss.

On this 28 day of March, in the year 2000, before me, Mary E. Kelly, Notary Public, personally appeared Khiem DO, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Mary E. Kelly (SEAL)

Page 1 of 2



PATENT  
REEL: 010740 FRAME: 0956

2. Inventor Signature: David F. ARNONE  
David F. ARNONE

Date: 3/29/00

State of California )  
County of Santa Clara ) ss.

On this 21 day of March, in the year 2000, before me, Mary E. Kelly, Notary Public, personally appeared David F. ARNONE, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity(ies), and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature Mary E. Kelly (SEAL)

