

04-28-2000



DOCKET: DE980051US1

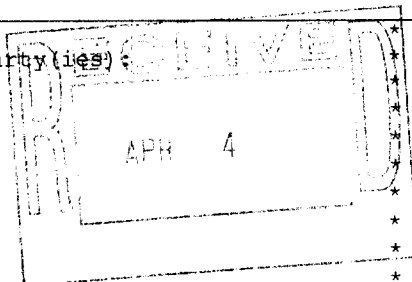
US Department of Commerce  
Patent & Trademark Office

44.00

101338479

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Heinz Baur  
Ralf Bluethner  
Hans Buchberger  
Klaus Goedicke  
Michael Junghaehnel  
Karl-Heinz Lehnert  
Manfred Mueller  
Hans-Herrmann Schneider  
Torsten Winkler

## 2. Name and address of receiving party(ies):

Name: **International Business  
Machines Corporation**Street Address: **New Orchard Road**  
City: **Armonk** State: **NY** ZIP: **10504**Additional name(s) of conveying  
party(ies) attached Yes XX No\* Additional name(s) & address(es) attached?  
\* Yes XX No

## 3. Nature of conveyance:

XX Assignment

Execution Date: 2/2/2000; 2/2/2000; 2/2/2000  
2/2/2000; 2/3/2000; 2/07/200;  
2/07/2000; 2/3/2000; 2/3/2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**09/434,590**

\* B. Patent No.(s)

\* Additional numbers attached? Yes XX No5. Name and address of party to whom  
correspondence concerning document  
should be mailed:Name: **IBM Corporation**  
Internal  
Address: **Intellectual Property Law**  
Street  
Address: **5600 Cottle Road (L2PA/0142)**  
**San Jose, CA 95193**6. Total Number of applications and patents  
involved: **One**\* 7. Total fee (37 CFR 3.41).....\$ **40.00**  
\* Enclosed  
\* XX Authorized to be charged to  
\* deposit account\* 8. Deposit Account Number: **09-0466**  
\*

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

  
G. Marlin KnightMarch 24, 2000  
Date

Total number of pages including cover sheet, attachments, and document: /\_5\_/

04/27/2000 TT011 00000257 090466 09434590

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PATENT  
REEL: 010741 FRAME: 0415

03/02/00

11:26

NR. 086

002

ASSIGNMENT

Docket No. DE9800517S1

INVENTOR  
AND CITY

Whereas, we

- (1) Heinz Baur  
 (2) Ralf Bluethner  
 (3) Hans Buchberger  
 (4) Klaus Goedicke  
 (5) Michael Junghaehnel  
 (6) Karl-Heinz Lehnert  
 (7) Manfred Mueller  
 (8) Hans-Herrmann Schneider  
 (9) Torsten Winkler

of Mainz, Germany  
 of Radebeul, Germany  
 of Kronberg, Germany  
 of Dresden, Germany  
 of Dresden, Germany  
 of Mainz, Germany  
 of Essenheim, Germany  
 of Heidesheim, Germany  
 of Radeberg, Germany

have invented certain improvements in

TITLE "Thin Film Magnetic Disk"

DATES THAT  
INVENTORS  
SIGNED THE  
DECLARATION

and executed, respectively, a United States patent application therefor on

- (1) \_\_\_\_\_, 2000, (2) February 2, 2000, (3) \_\_\_\_\_, 2000,  
 (4) February 2, 2000, (5) February 2, 2000, (6) \_\_\_\_\_, 2000,  
 (7) \_\_\_\_\_, 2000, (8) \_\_\_\_\_, 2000, (9) Feb. 02, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desire to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY

(1) at \_\_\_\_\_

SIGNATURE

DATE

on \_\_\_\_\_, 2000,

HEINZ BAUR

INVENTOR

CITY

and (2) at Dresden

SIGNATURE

DATE

on February 2, 2000,

RALF BLUETHNER

INVENTOR

CITY

and (3) at \_\_\_\_\_

SIGNATURE

DATE

on \_\_\_\_\_, 2000,

HANS BUCHBERGER

INVENTOR

03/02/00

11:26

NR. 086 003

CITY	and (4) at <u>Dresden</u>	<u>Klaus Goedicke</u>	SIGNATURE
DATE	on <u>Febr. 2</u> , 2000,	KLAUS GOEDICKE	INVENTOR
CITY	and (5) at <u>Dresden</u>	<u>Michael Junghehn</u>	SIGNATURE
DATE	on <u>February 2</u> , 2000,	MICHAEL JUNGHEHNEL	INVENTOR
CITY	and (6) at _____	_____	SIGNATURE
DATE	on _____, 2000,	KARL-HEINZ LEHNERT	INVENTOR
CITY	and (7) at _____	_____	SIGNATURE
DATE	on _____, 2000,	MANFRED MUELLER	INVENTOR
CITY	and (8) at _____	_____	SIGNATURE
DATE	on _____, 2000,	HANS-HERRMANN SCHNEIDER	INVENTOR
CITY	and (9) at <u>Dresden</u>	<u>Torsten Winkler</u>	SIGNATURE
DATE	on <u>February 02</u> , 2000,	TORSTEN WINKLER	INVENTOR

Whereas, we

INVENTOR  
AND CITY

(1) Heinz Baur  
(2) Ralf Bluethner  
(3) Hans Rachberger  
(4) Klaus Goedicke  
(5) Michael Jungphaehnel  
(6) Karl-Heinz Lehnert  
(7) Manfred Mueller  
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and executed, respectively, a United States patent application therefor on

(1) Feb. 6, 2000, (2) Feb. 6, 2000, (3) Feb. 6, 2000,  
(4) Feb. 6, 2000, (5) Feb. 6, 2000, (6) Feb. 6,  
3/2/00 (7) Manfred Mueller, 2000, (8) Karl-Heinz Lehnert, 2000, (9) Torsten Winkler, 2000.

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Signed and sealed

CITY (1) at Mainz  
DATE on Feb. 6, 2000,

Heinz Baur  
HEINZ BAUR SIGNATURE  
INVENTOR

CITY and (2) at  
DATE on Feb. 6, 2000,

Ralf Bluethner  
RALF BLUETHNER SIGNATURE  
INVENTOR

CITY and (3) at  
DATE on Feb. 6, 2000,

Hans Buchberger  
HANS BUCHBERGER SIGNATURE  
INVENTOR

CITY and (4) at  
DATE on Feb. 6, 2000,

Klaus Goedicke  
KLAUS GOEDICKE SIGNATURE  
INVENTOR

CITY and (5) at  
DATE on Feb. 6, 2000,

Michael Jungphaehnel  
MICHAEL JUNGPHAEHNEL SIGNATURE  
INVENTOR

CITY and (6) at  
DATE on Feb. 6, 2000,

Karl-Heinz Lehnert  
KARL-HEINZ LEHNERT SIGNATURE  
INVENTOR

CITY  
DATE


and (7) at Mainz  
on 2/3, 2000,

CITY  
DATE

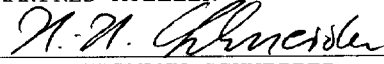
and (8) at MAINZ  
on 2/3, 2000,

CITY  
DATE

and (9) at \_\_\_\_\_  
on \_\_\_\_\_, 2000,

  
MANFRED MUELLER

SIGNATURE  
INVENTOR

  
HANS-HERRMANN SCHNEIDER

SIGNATURE  
INVENTOR

TORSTEN WINKLER

SIGNATURE  
INVENTOR