

RE

05-03-2000

1 SHEET

To the Honorable Commissioner of Patents

101342994

Attached original documents or copy thereof

1. Name of conveying party(ies):

**Shyh-Mei Ho
Holger Juschke**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **International Business Machines Corporation**

Internal Address:

Street Address: **New Orchard Road**

City: **Armonk** State/Country: **New York** Zip: **10504**

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **April 11, 2000**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **April 19, 2000**

A. Patent Application No.(s)

B. Patent No.(s)

09/552636

05/01/2000 SDAVIS 00000029 09552636

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Kory D. Christensen, MADSON & METCALF**

Internal Address:

Street Address: **15 West South Temple, Suite 900**

City: **Salt Lake City** State: **Utah** ZIP: **84101**

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41) \$ **40.00**

- ☒ Enclosed
☒ Authorized to be charged to deposit account (any deficiencies)

8. Deposit account number:

13-0763

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kory D. Christensen
Name of Person Signing

Signature

April 19, 2000
Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 010741 FRAME: 0760

ASSIGNMENT

Whereas, we

INVENTOR
AND CITY(1) Shyh-Mei Ho
County of Santa Clara
and (2) Holger Juschkewitz
County of _____of Cupertino
and State of California
of Stuttgart, Federal Republic of Germany

have invented certain improvements in

REPRESENTING IMS TRANSACTION DEFINITIONS AS XML DOCUMENTS

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on

(1) April 11 2000, (2) _____ 2000

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE(1) at San Jose
on April 11, 2000

Shyh-Mei F. Ho SIGNATURE
Shyh-Mei Ho INVENTOR

CITY
DATEand (2) at _____
on _____, 2000

Holger Juschkewitz SIGNATURE
INVENTOR

ASSIGNMENT

Whereas, we

INVENTOR
AND CITY(1) Shyh-Mei Ho
County of Santa Clara
and (2) Holger Juschke
County of _____of Cupertino
and State of California
of Stuttgart, Federal Republic of Germany

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(1) _____ 2000, (2) April 20, 2000

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE(1) at _____
on _____, 2000_____
Shyh-Mei Ho SIGNATURE
INVENTORCITY
DATEand (2) at Stuttgart
on 4/11, 2000_____
Holger Juschke SIGNATURE
INVENTOR