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FORM PTO-1595 RECORDA (Rev. 6-93)	5-04 0000 Patent and Trademark Office
OMB No. 0651-0011 (exp. 4/94)	5-04-2000 Patent and Trademark Office
	MI MI # III III
To the Honorable Commissioner of Patents . 1	01344531 original documents or copy thereof.
Name of conveying party(ies):	2. Name and address of receiving party(ies)
(A) John A. Ryals, (B)Terrence P. Delaney,	Name: Novartis Finance Corporation
(C) Leslie B. Friedrich, (D)Kristianna B. Weymann,	
(E) Kay A. Lawton, (F) Daniel M. Ellis, (G)	Internal Address:
Scott J. Uknes, (H) Taco P. Jesse, (I) Pieter Vos	<u></u>
Additional name(s) of conveying party(ies) attached?   Yes   No	4-10-00
Nature of conveyance:	
	Street Address: 608 5th Avenue
Security Agreement Change of Name	
Other	City: New York State: NY ZIP: 10020
Execution Date: August 28, 1997 (A. C. E. F. G);	Additional name(s) & address(es) attached?   Yes   No
September 3, 1997 (B, D); September 10, 1997 (H,I)	
4. Application number(s) or patent number(s):	
If this document is being filed together with a new application	, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s)
08/880,179	. ,
Additional numbers atta	ached?
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	Total number of applications and patents involved:
Name: Larry W. Stults	7. Total fee (37 CFR 3.41) \$
laddress: Novartis Agribusiness Biotechnology Research	☐ Enclosed
Inc. Patent and Trademark Dept.	Authorized to be charged to deposit account and any other additional fees required.
Street Address: 3054 Cornwallis Road	Deposit account number:
City: Durham State: NC ZIP: 27709-2257	19-0134 (in the name of Novartis Corporation)
03/2000 DNGUYEN 00000041 190134 08880179	(Attach duplicate copy of this page if paying by deposit account)  JSE THIS SPACE
FC:581 / 40.00 CH ) DO NOT C	JSE INIS SPACE
9. Statement and signature.	( 1. 1/1 )
	information is true and correct and any attached copy is a true
J. Timothy Meigs	mittly / lergy April 5, 2000
Name of Person Signing Reg. No. 38,241	Signature Date
□ Certificate of the control of the contro	mailing on reverse side ver sheet, attachments, and document: 2
i otal number of pages including cov	ver sheet, attachments, and document: 2

05. 01

# **ASSIGNMENT**

I/We,

John Andrew Ryals	residing at	303 Brittany Place Cary, North Carolina 27511
Terrence Patrick Delaney	residing at	18 Bean Hill Lane Ithaca, New York 14850
Leslie Bethards Friedrich	residing at	4208 Wyckford Place Apex, North Carolina 27502
Kristianna Baldwin Weymann	residing at	7033 SE 34 <sup>th</sup> Street Portland, Oregon 97202
Kay Ann Lawton	residing at	8016 Crooked Chute Court Raleigh, North Carolina 27612
Daniel Murray Ellis	residing at	201 Medcon Court Cary, North Carolina 27511
Scott Joseph Uknes	residing at	1003 Pinedale Drive Apex, North Carolina 27502
Taco Peter Jesse	residing at	Johan Fabriciuskade 10 6708 SG, The Netherlands
Pieter Vos	residing at	Dorpstraat 22 3927 BD Renswoude, The Netherlands

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to Novartis Finance Corporation, a New York corporation, of 608 Fifth Avenue, New York, New York 10020 its successors, assigns and legal representatives all my right, title and interest, in the United States, its territories and possessions, and in any and all countries foreign to the United States, in and to the invention entitled:

Gene Encoding a Protein Involved in the Signal Transduction Cascade Leading to Systemic Acquired Resistance in Plants

	executed on even date herewith
$\boxtimes$	Serial No. 08/880,179 filed June 20,1997
legal p division assigned internation invents States be grain interest when a assigned Patent that we Finance Novart proceed all right Corport	ng (i) authorization to file corresponding applications for patents or other similar rotection and continuations, continuations-in-part, supplementary disclosures, ns or extensions thereof in any country foreign to the United States in which an ee can apply for a patent or similar legal protection, (ii) any and all rights under ational treaties, conventions, agreements, charters and laws in respect of the said on, especially the right to claim priority based on the said application for United Letters Patent, (iii) any and all Letters Patent or similar legal protection, which may need therefore, and all divisions, reissues, continuations and extensions thereof, said to being the entire ownership of the said Letters Patent or similar legal protection granted, to be held and enjoyed by Novartis Finance Corporation, its successors, as or other legal representatives, to the full end of the term for which said Letters or similar legal protection may be granted, and hereby further covenant and agree ewill at any time upon request, without charge to, but at the expense of Novartis e Corporation, execute such additional assignments and other lawful papers as its Finance Corporation, may deem necessary or desirable, testify in any legal ding, execute all divisional, continuing, reissue and extension applications, make atful oaths, and generally do everything possible to aid Novartis Finance ration, its successors, assigns, and legal representatives, to obtain and enforce patent protection for said invention.
Signed	at Research Triangle Park, North Carolina, this 28th day of August, 1997.  John Andrew Ryals
	Leslie Bethards Friedrich
	Kay Ann Lawton  (Kay Ann Lawton)
	Daniel Murray Ellis  Scott Joseph Uknes

invented by us and described in the application for United States Letters Patent

Signed at Ithaca, New York, this	day c	f , 1997.	
	T	errence Patrick Delaney	
Signed at Portland, Oregon, this	day o	f , 1997.	
	K	ristianna Baldwin Weymann	
Signed at	this	day of	, 1997.
	Ī	aco Peter Jesse	
Signed at	this	day of	, 1997.
	_ P	lieter Vos	

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Gene Encoding a Protein Involved in the Signal Transduction Cascade Leading to
Systemic Acquired Resistance in Plants

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Serial No. 08/880,179 filed June 20,1997  including (i) authorization to file corresponding applications for patents legal protection and continuations, continuations-in-part, supplementary divisions or extensions thereof in any country foreign to the United State assignee can apply for a patent or similar legal protection, (ii) any and a international treaties, conventions, agreements, charters and laws in response invention, especially the right to claim priority based on the said applications. States Letters Patent, (iii) any and all Letters Patent or similar legal protection granted therefore, and all divisions, reissues, continuations and extensinterest being the entire ownership of the said Letters Patent or similar lawhen granted, to be held and enjoyed by Novartis Finance Corporation, assigns or other legal representatives, to the full end of the term for white Patent or similar legal protection may be granted, and hereby further conthat we will at any time upon request, without charge to, but at the experimence Corporation, execute such additional assignments and other law Novartis Finance Corporation, may deem necessary or desirable, testify proceeding, execute all divisional, continuing, reissue and extension apail rightful oaths, and generally do everything possible to aid Novartis Finance Corporation, its successors, assigns, and legal representatives, to obtain proper patent protection for said invention.  Signed at Research Triangle Park, North Carolina, this day of the said the same continuation in the same continuation	Serial No. 08/880,179 filed June 20,1997	
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	gal protection and continuations, continuations visions or extensions thereof in any country for signee can apply for a patent or similar legal protectional treaties, conventions, agreements, convention, especially the right to claim priority batters. Letters Patent, (iii) any and all Letters Paters granted therefore, and all divisions, reissues, of terest being the entire ownership of the said Lethen granted, to be held and enjoyed by Novartissigns or other legal representatives, to the full entent or similar legal protection may be granted at we will at any time upon request, without channee Corporation, execute such additional associated from the continuity, and generally do everything poorporation, its successors, assigns, and legal representations.	reign to the United States in which an rotection, (ii) any and all rights under tharters and laws in respect of the said ased on the said application for United ent or similar legal protection, which may continuations and extensions thereof, said etters Patent or similar legal protection is Finance Corporation, its successors, end of the term for which said Letters is, and hereby further covenant and agree harge to, but at the expense of Novartis signments and other lawful papers as any or desirable, testify in any legal eissue and extension applications, make assible to aid Novartis Finance
	igned at Research Triangle Park, North Carolin	a, this day of August, 1997.
John Andrew Ryals		John Andrew Ryals
Leslie Bethards Friedr		Leslie Bethards Friedrich
Kay Ann Lawton		
		Kay Ann Lawton
Daniel Murray Ellis		

-2-

Signed at Ithaca, New York, this	day of	, 19	997.
		nce Patrick Delaney	
Signed at Portland, Oregon, this 3rd	day of	September, 19	97.
Signed at Portland, Oregon, this3rd	<u>Lus</u> Kristi	tianing Baldur anna Baldwin Wey	Westvar- mann
Signed at	this	day of	, 1997.
	Taco	Peter Jesse	
Signed at	this	day of	, 1997.
	Piete	r Vos	

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I/We,

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Pieter Vos	residing at	Dorpstraat 22 3927 BD Renswoude, The Netherlands

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Gene Encoding a Protein Involved in the Signal Transduction Cascade Leading to
Systemic Acquired Resistance in Plants

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executed on even date herewith	
Serial No. 08/880,179 filed June 20,199	77
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Signed at Research Triangle Park, North Caroli	na, this uay of August, 1997.
	John Andrew Ryals
·	Leslie Bethards Friedrich
	Kay Ann Lawton
	Daniel Murray Ellis
	Scott Joseph Uknes

Signed at Ithaca, New York, this	_day of	, 1997.	
	Terren	ce Patrick Delaney	
Signed at Portland, Oregon, this		, 1997.	
	Kristia	nna Baldwin Weymann	
Signed at	this	day of	, 1997.
		Peter Jesse	
Signed at Wageningen	this 6	H day of Sephenber ekros	, 1997. —

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Signed at Research Triangle Park, North Car	rolina, this day of August, 1997.
	John Andrew Ryals
	Leslie Bethards Friedrich
	Kay Ann Lawton
	Daniel Murray Ellis
	Scott Joseph Uknes

-2-

Signed at Ithaca, New York, this	_day o	of	,	1997.	
	T	Terrence Patrick Delaney			
Signed at Portland, Oregon, this	day of	f	,	1997.	
	K	ristiann	a Baldwin We	eymann	
Signed at Waseningen		lo aco Pete	day of Je	otember 2	·, 1997.
Signed at	this		day of		, 1997.
	— Pi	ieter Vo	S		<del></del>

## <u>ASSIGNMENT</u>

I/We,

John Andrew Ryals	residing at	303 Brittany Place Cary, North Carolina 27511
Terrence Patrick Delaney	residing at	18 Bean Hill Lane Ithaca, New York 14850
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Signe	d at Research Triangle Park, North Caro	lina, this day of August, 1997.				
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		Leslie Bethards Friedrich				
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		Daniel Murray Ellis				
		Scott Joseph Uknes				

Signed at Ithaca, New York, this	day of	Siptember	, 1997.
	Terrer	neut 1 ad	YICK Delavery
Signed at Portland, Oregon, this	day of .		, 1997.
	Kristi	anna Baldwin V	Weymann
Signed at	this	day of	, 1997.
	Taco		
Signed at	this 	day of	, 1997.
	Pieter	r Vos	