

05-05-2000

FORM PTO-1595

U.S. Department of Commerce
Patent and Trademark Office

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PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Names of conveying party(ies)

Carter F. Hand, Bruce Jenket,
Jan-Willem Pieterse, Mark Wippich and
Khiem Do

2. Name and address of receiving party(ies)

Name: New Focus, Inc.

Internal Address: _____

Additional names (s) of conveying party(ies) attached () yes () no

3. Nature of conveyance:

☒ (X) Assignment ☐ () Merger
☐ () Security Agreement ☐ () Change of Name
☐ () Other _____Street Address: 2630 Walsh AvenueCity: Santa Clara State: CA Zip: 95051Execution Date: March 1, 2000

Additional names (s) & address(es) attached? () Yes (X) No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of application is _____

A. Patent Application No.(s)

09/494,615

B. Patent No.(s)

Additional numbers attached? () Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sierra Patent Group, Ltd.

Internal Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37CFR 3.41).....\$40.00

☒ (X) Enclosed☐ () Authorized to be charged to deposit account8. Deposit account Number: 50-0612Address: P.O. Box 6149City: Stateline State: NV Zip: 89449

(Attached duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Teri Muir

Teri Muir

4-10-00

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents 4

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents, Box Assignment, Washington, D.C. 20231

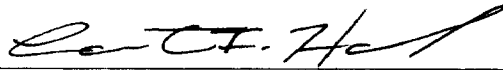
PATENT
REEL: 010751 FRAME: 0514

ASSIGNMENT

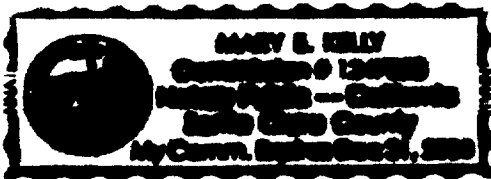
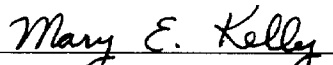
WHEREAS, We, Carter F. Hand, a citizen of the United States, residing at 2257 Washington Street, San Francisco, California 94115, Bruce Jenket, a citizen of the United States, residing at 4861 Cheryl Court, Union City, California 94587, Jan-Willem Pieterse, a citizen of The Netherlands, residing at 4992 Augusta Way, San Jose, California 95129, Mark Wippich, a citizen of the United States, residing at 1706 Ebbets Drive, Campbell, California 95008, Khiem Do, a citizen of the United States, residing at 770 Brentwood Drive, San Jose, California 95129, have invented a "EXTERNAL CAVITY LASER APPARATUS" for which we have executed application papers for a U.S. patent thereon which was filed on January 31, 2000; and

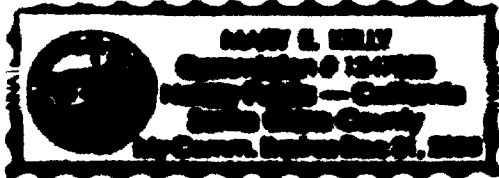
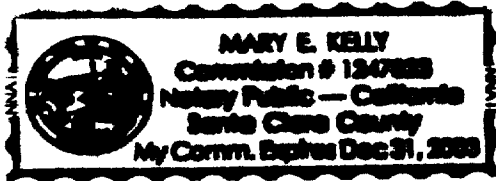
WHEREAS, New Focus, Inc., a California corporation, having a place of business at 2630 Walsh Avenue, Santa Clara, California 95051, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefore in the United States of America and its territories and possessions, and all countries foreign thereto;

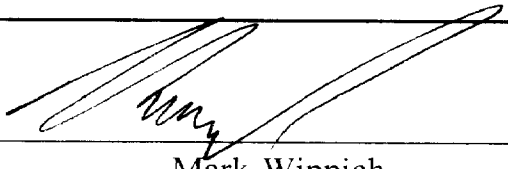
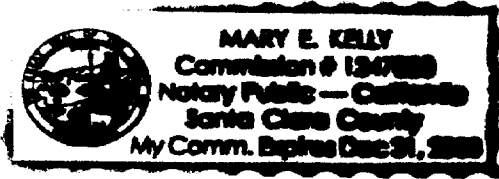
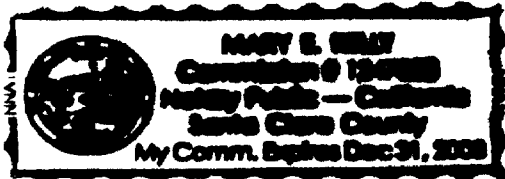
NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Carter F. Hand, Bruce Jenket, Jan-Willem Pieterse, Mark Wippich, Khiem Do, do sell, assign, transfer and set over unto the said New Focus, Inc., its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said New Focus, Inc., its successors and assigns, in accordance with this Assignment.

Date: 2/29/2000

Carter F. Hand

State: CaliforniaCounty: Santa ClaraSubscribed and sworn to before me on the 29th day of February, ~~1999~~ ^{2000 MK}

 Notary Public

Date: 3/1/00Bruce Jenket
Bruce JenketState: California County: Santa ClaraSubscribed and sworn to before me on the 1st day of March, ~~1999~~ ^{2000 MK}Mary E. Kelly
Notary PublicDate: 1 March 2000Jan-Willem Pieterse
Jan-Willem PieterseState: California County: Santa ClaraSubscribed and sworn to before me on the 1st day of March, ~~1999~~ ^{2000 MK}Mary E. Kelly
Notary Public

Date: 2-29-2000
Mark WippichState: California County: Santa ClaraSubscribed and sworn to before me on the 29th day of February, ~~1999~~ ²⁰⁰⁰ MKMary E. Kelly
Notary PublicDate: 2/29/2000
Khiem DoState: California County: Santa ClaraSubscribed and sworn to before me on the 29th day of February, ~~1999~~ ²⁰⁰⁰ MKMary E. Kelly
Notary Public