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To the Honorable Commiss

## 05-08-2000

101348006

	Case	Name:	Treichier	1-4/
HEET				

? attached documents	or copy thereof.

(1) Conveying Party(ies	<b>(1)</b>	Conveying	Party(ies):
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George D. Treichler Todd M. Wetherill

Title: System and Methods for Holding Components in an Optical Assembly Additional name(s) of conveying party(ies) attached? Yes (2) Receiving Party: Corning Incorporated Patent Dept.; SP-TI-03-1 Corning, NY 14831 (3) Nature of conveyance: Assignment executed: April 17, 2000; April 17, 2000 (4) Application number(s) and/or patent number(s) against which this assignment is to be recorded: A. Patent Application No.(s): B. Patent No.(s): Filing date: Filing date: If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on \_\_\_\_\_\_ (5) Name and address of party to whom correspondence concerning document should be mailed: Mary Y. Redman Name: Reg. No. 29,881 Corning Incorporated Patent Department SP-TI-03-1 Corning, NY 14831 Phone No.: (607) 974-9295 (6) Total Number of applications and/or patents involved: one (7) Total Fee = \$40.00Enclosed (Check No. ) Included with filing fee. Check No. Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page) To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages comprising cover sheet: 1

05/08/2000 DNGUYEN 00000077 033325 09552427 01 FC:581 40.00 CH

> PATENT REEL: 010757 FRAME: 0427

april 18, 2000

## **ASSIGNMENT**

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **System and Methods for Holding Components in an Optical Assembly**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE april 17, 2000 DATE april 17, 2000

respectively, patent application Serial No. «SN» filed by us in the United States on, «FILED» and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

PATENT REEL: 010757 FRAME: 0428 State of New York)

State of New York)

On the 17th day of accepted the foregoing instrument, and acknowledged that they executed the same.

State of New York)

State of New York)

On the 17th day of accepted the foregoing instrument, and acknowledged that they executed the same.

State of New York)

State of New York)

State of New York)

On the 17th day of accepted the foregoing instrument, and acknowledged that they executed the same.

State of New York)

On the 17th day of accepted the foregoing instrument, and acknowledged that they executed the same.

PATENT

Joyce LaPone Notary Public, State of New No. 01LA6030012 Qualified in Chemung Count

Commission Expires August 30, 20 02

REEL: 010757 FRAME: 0429

## **ASSIGNMENT**

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **System and Methods for Holding Components in an Optical Assembly**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE april 17, 2000 DATE april 17, 2000

respectively, patent application Serial No. «SN» filed by us in the United States on, «FILED» and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

PATENT REEL: 010757 FRAME: 0430

Case Name: Treichler 1-4A

Signed at Corning, NY, this

2000 2000

State of New York)

County of Steuben)

On the 17th day of Carril 2000, before me personally came George D. Treichler known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

State of New York)

County of Steuben)

Joyce LaPone Notary Public, State of New York No. 01LA6030012 Qualified in Chemung County Commission Expires August 30, 20

On the 11th day of 2000, before me personally came Todd M. Wetherill known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Joyce LaPone Notary Public, State of New York No. 0iLA6030012 Qualified in Chemung County Commission Expires August 30, 20 £2

RECORDED: 04/19/2000

**PATENT** REEL: 010757 FRAME: 0431