

RECORDA

05-08-2000

S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
RLC-73

101348812

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Alexander Filippenko, Scott Brown and
Alan NealAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 6, 2000

2. Name and address of receiving party(ies)

Name: Square D Company

Internal Address: Executive Plaza

Street Address: 1415 S. Roselle Road

City: Palatine State: IL Zip: 60067

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/477,044

If this document is being filed together with a new application, the execution date of the application is: N/A

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning documents should
be mailed:

Name: Square D Company

Internal Address: Executive Plaza

Street Address: 1415 S. Roselle Road

City: Palatine State: IL Zip: 60067

6. Total number of applications and patents
Involved:

1

7. Total fee (37 CFR 3.41) \$ \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

19-3875 (RLC-73)

(Attach duplicate copy of this page if paying by deposit account)

05/08/2000 R008TES 00000095 193875 09477044

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40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Stacey

Name of Person Signing

Signature

April 11, 2000

Date

Total number of pages including cover sheet, attachments, and document:

6

Mail documents to be recorded with required cover sheet information to:
Box Assignments, U.S. Patent and Trademark Office, Crystal Gateway 4, Room 335
Washington, D.C. 20231

PATENT
REEL: 010759 FRAME: 0379

ASSIGNMENT

WHEREAS, we, **Alexander Filippenko, Alan Neal and Scott Brown**, have made an invention pertaining to **Vibration Analysis for the Predictive Maintenance of Rotating Machines** as disclosed in a United States patent application (Attorney docket no. RLC-73) executed by us on the date set forth below;

WHEREAS, the **SQUARE D COMPANY**, a corporation of the State of Delaware having its principal business at Palatine, Illinois, is our employer on whose commission, time and resources the above-noted invention was developed, and desirous of formally acquiring the entire right, title, and interest in and to said invention and any United States and foreign Letters Patent which may issue thereon;

NOW, THEREFORE, in consideration of our employment with Square D Company both at the time and since the subject invention was developed, and other good and valuable consideration, receipt of which we hereby acknowledge, we assign to the **SQUARE D COMPANY**, its successors and assigns, our entire right, title and interest in and to said invention, said application, any continuation, continuation-in-part, or divisional application based on said application, any Letters Patent granted on such applications, any reissue applications based on such Letters Patent, and all reissued Letters Patent granted on such reissue applications, and all our foreign rights in and to said invention; and agree that we will communicate to the **SQUARE D COMPANY**, or its representatives, all facts known to us respecting said invention, whenever requested, and testify in any legal proceeding, sign all lawful papers, make all rightful oaths, and generally do everything possible to aid the **SQUARE D COMPANY**, its successors, assigns and nominees, to obtain and enforce proper patent protection for said invention in all countries.

FURTHER, we hereby request the Commissioner of Patents to issue any original or reissued Letters Patent which may be granted on such applications to the **SQUARE D COMPANY**, its successors and assigns, in accordance with this Agreement.

IN WITNESS WHEREOF, we have hereunto subscribed our names on the date set forth opposite our signatures.


Name: Alexander Filippenko

04/06/00
Date


Name: Alan Neal

4/6/00
Date



Name: Scott Brown

4/6/2000
Date

State of North Carolina

County of Wake

Signed or attested before me on April 6th, 2000 by the above "


NOTARY PUBLIC

My commission expires Feb. 6, 2001.

State of North Carolina

County of Wake

Signed or attested before me on April 6th, 2000 by the above.

Joyce A. Holbrook
NOTARY PUBLIC

My commission expires Feb. 6, 2001

State of North Carolina

County of Wake

Signed or attested before me on April 6, 2000 by the above.

Joyce A. Holbrook
NOTARY PUBLIC

My commission expires Feb. 6, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
 Alexander Filippenko) Attorney Docket: RLC-73
 Scott Brown)
 Alan Neal)
)
 Serial No. 09/477,044)
)
 Filed: December 31, 1999) Group Art:
) Batch No.
 Title: Vibration Analysis for the) Examiner:
 Predictive Maintenance of)
 Rotating Machines)

ASSIGNMENT TRANSMITTAL

Box Assignments
 U.S. Patent and Trademark Office
 Crystal Gateway 4, Room 335
 Washington, D.C. 20231

Dear Sir:

Enclosed for recording is an Assignment from Alexander Filippenko, Scott Brown and Alan Neal, the inventors of record for the above-identified patent application, to the Square D Company.

Please have this document recorded officially and returned to us. The requisite Recordation Form Coversheet is enclosed.

Signed at Palatine, County of Cook, Illinois, this 11th day of April, 2000.

U.S. MAILING (7-700-100)	
I hereby certify that this paper, along with any attachments, is being submitted or enclosed and being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.	
Date:	<u>4/11/00</u>
<u>Dixie Filippenko</u> (Type or print name of person mailing paper)	

Respectfully submitted,

David R. Stacey
 David R. Stacey

Registration No. 33,794
 Attorney for Applicant
 Square D Company
 1415 South Roselle Road
 Palatine, IL 60067
 Telephone: (847) 925-3458