05-10-2000 U.S. Department of Commerce FORM PTO-1619A Expires 06/30199 Patent and Trademark Office OMB 0651-0027 **PATENT** 101352301 3/00 APR 17 AM 9: 5B OPR/FINANCERECORDATION FORM COVER SHEET PATENTS ONLY TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies) Submission Type Conveyance Type Assignment Security Agreement New Resubmission (Non-Recordation) License Change of Name Document ID# Correction of PTO Error Other Merger Frame # Reel# U.S. Government (For Use ONLY by U.S. Government Agencies) Corrective Document Reel# Frame # Departmental File Secret File Conveying Party(ies) Mark if additional names of conveying parties attached Execution Date Month Day Year Name (line 1) Liang-Shih Fan 02/07/2000 Name (line 2) Execution Date Month Day Year Second Party 02/14/2000 Himanshu Gupta Name (fine 1) Name (line 2) Receiving Party Mark if additional names of receiving parties attached If document to be recorded Name (line 1) The Ohio State University is an assignment and the receiving party is not domiciled in the United Name (line 2) States an appointment of a domestic representative is attached Address (line 1) 1960 Kenny Road (Designation must be a separate document from Address (line 2) Assignment Address (line 3) Columbus 43210-1063 State/Country Domestic Representative Name and Address Enter for the first Receiving Party only Name Address (line 1)

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FORM PTO- 1619B Expires 06/30/99 OMB 0651-0027		Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT					
Correspondent Name and Address Area Code and Telephone Number 614-792-5555								
Name Roger A. Gil	crest							
Address (line 1) Standley & C	ilcrest LLP							
Address (line 2) 495 Metro Place South								
Address (line 3) Suite 210								
Address (line 4) Dublin, Ohio	43017							
•	otal number of pages of than attachments.	ne attached conveyance docume	nt # 2					
Patent Application   [09/498,232	cation Number or the Patent Num tion Number(s)  gether with a new Patent Applicat ting inventor.	ber (DO NOT ENTER BOTH numbers for	Number					
Patent Cooperation Tr  Enter PCT appli  only if a U.S. Al has not been as	cation number  pplication Number PCT	PCT PCT	PCT PCT					
Number of Properties		per of properties involved. #						
Fee Amount	Fee Amount for Prop	perties Listed (37 CFR 3.41): $\$$	0.00					
Method of Payment: Deposit Account (Enter for payment by de	posit account or if additional fees Deposit A	can be charged to the account.) ccount Number: #[]	9-4076 Yes No					
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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document Charges to deposit account are authorized, as

indicated herein.

Roger	Α.	Gı	lcrest,	Reg.	No.	<u>31,954</u>

Name of Person Signing

Signature

April 13, 2000

Date

**REEL: 010764 FRAME: 0985** 

## ASSIGNMENT

This assignment is made by Liang-Shih Fan, residing at 2153 Shawnmont Court, City of Dublin, State of Ohio 43016and Himanshu Gupta, residing at 1000 King's Highland Drive South, City of Columbus, State of Ohio 43229 ("ASSIGNORS") to The Ohio State University, a non-profit organization organized under the laws of the State of Ohio and having an office at 1960 Kenny Road, City of Columbus, State of Ohio 43210-1063 ("ASSIGNEE").

FOR ONE U.S. DOLLAR (\$1.00) AND FOR OTHER GOOD AND VALUABLE

CONSIDERATION, the receipt of which is hereby acknowledged, ASSIGNORS do hereby sell, assign and transfer to ASSIGNEE the entire right, title and interest, together with all rights of priority, in and to our invention entitled METHOD FOR THE TREATMENT OF ACTIVATED CARBONACEOUS

MATERIAL CONTAINING ALKALI/ALKALINE EARTH METALS FOR THE REDUCTION OF NOx FROM FLUE GAS, as described and/or claimed in an application for patent of the United States of America, Serial No. 09/498,232 (If said number is not herein written, ASSIGNORS authorize said number to be supplied hereafter.) A Declaration for the application has been executed on 02/07 & 02/14/00 ASSIGNORS further do hereby sell, assign and transfer to ASSIGNEE the entire right, title and interest, together with all rights of priority, in and to ASSIGNOR'S invention as described and/or claimed in any and all applications for patents based on the invention, including divisionals, continuations, renewals, substitutes and reissues thereof, and all rights of priority resulting from any of these patent applications, as well as all foreign counterparts and extensions thereof, together with all patents issuing on any of these applications for the full terms of all of the patents which may be granted on the invention.

ASSIGNORS HEREBY AUTHORIZE ASSIGNEE to make applications for, to prosecute such applications, and to receive patents for the invention in the United States and any foreign countries, in ASSIGNEE's name.

ASSIGNORS HEREBY PROMISE AND AGREE that ASSIGNORS will execute or procure any further necessary assurance of title to the invention and any patents which may issue on the invention.

ASSIGNORS will, at any time, upon the request and without further consideration, but at the expense of

REEL: 010764 FRAME: 0986

ASSIGNEE, deliver any testimony in any legal proceedings and execute all papers and do all other things that may be necessary or desirable to perfect the title to the invention, or any patents which may be granted therefor, in ASSIGNEE, its successors, assigns, or other legal representatives. ASSIGNORS will, at any time, upon the request and at the expense of ASSIGNEE, execute any continuations, divisionals, reissues, or any other additional applications for patents for the invention or any part or parts thereof and any patents issuing thereon are hereby assigned to ASSIGNEE. ASSIGNORS will make all rightful oaths, and do all lawful acts required for procuring and enforcing any of the patents, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

ASSIGNORS HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for the invention, resulting from any of the aforesaid applications to the ASSIGNEE.

*Liang-Shih Van*, ASSIGNOR

STATE OF OHIO

**COUNTY OF FRANKLIN** 

SS:

Before me personally appeared *Liang-Shih Fan*, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this \*\* of February, 2000.



Jared W. Wade Notary Public, State of Ohio My commission does not expire.

Himanshu Gupta, ASSIGNOR

STATE OF OHIO

**COUNTY OF FRANKLIN** 

SS:

Before me personally appeared Himanshu Gupta, to me known to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Sworn to before me and subscribed in my presence this 14 for February, 2000.

DONNA J. FUDGE NOTARY PUBLIC, STATE OF OHIO MY COMMISSION EXPIRES MARCH 31, 2004

RECORDED: 04/17/2000

REEL: 010764 FRAME: 0987