

05-10-2000

FORM PTO-1595

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark

To the Honorable Commissioner of Patents and Trademark

101351977

its or copy thereof.

## 1. Name of conveying party(ies):

John Charles KATH, Norma Jacqueline TOM,  
Eric David COX, Samit Kumar BHATTACHARYAAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: April 3, 2000 (John Charles KATH),  
April 3, 2000 (Norma Jacqueline TOM), April 3, 2000 (Eric  
David COX), April 10, 2000 (Samit Kumar  
BHATTACHARYA)

## 2. Name and address of receiving party(ies):

Name: Pfizer IncStreet Address: 235 East 42nd Street, 20th FloorCity: New York State: New York Zip: 10017-5755

and

Name: Pfizer Products Inc.Internal Address: Bldg. 188S, 3rd FloorStreet Address: Eastern Point RoadCity: Groton State: Connecticut Zip: 06340Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application

A. Patent Application No.(s)

B. Patent No. (s)

09/488,378

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed

Name: Paul H. GinsburgInternal Address: Pfizer IncStreet Address: 235 East 42nd Street, 20th FloorCity: New York State: New York ZIP: 10017-5755

## 6. Total number of pages including cover sheet, attachments and document: 5

## 7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

16-1445

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached document is the original or a true copy of the original document.*

Adrian G. Looney (Reg No. 41,406)

Name of Person Signing

Adrian G. Looney

Signature

April 13, 2000

Date

Total number of pages including cover sheet: 5

OMB No. 0651-0011 (exp. 4/94)

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CONFORMS WITH FORM PTO-1595

05/10/2000 DNGUYEN 00000174 161445 09488378

ASSIGNMENT RECORDAL CVR SHEET (GROTON) TO COMMIS (FILED PREV).DOT. 3/99

01 FC:581

40.00 CH

**PATENT**  
**REEL: 010767 FRAME: 0610**

A S S I G N M E N T

For valuable consideration, the receipt and adequacy of which is hereby acknowledged, we, **John Charles KATH, Norma Jacqueline TOM, Eric David COX and Samit Kumar BHATTACHARYA** of **252 Shore Road, Waterford, Connecticut 06385, United States Of America; 43 Yorkshire Drive, Waterford, Connecticut 06385, United States Of America; 372 Meridian Street Extension, Unit 58, Groton 06340, United States Of America and 200 Michelle Lane, Apt. 112, Groton 06340, United States Of America** respectively, hereby sell, assign and transfer unto **PFIZER INC.**, a corporation organized and existing under the laws of the State of Delaware, United States of America, and having its principal place of business at 235 East 42nd Street, New York, New York 10017, United States of America, our entire right, title and interest, except as limited hereinbelow, in and to patent application of the United States of America, having **PFIZER INC. Docket No. PC 10297A**, entitled **HETEROAROMATIC BICYCLIC DERIVATIVES USEFUL AS ANTICANCER AGENTS**; filed in the United States Patent and Trademark Office on **January 20, 2000** and assigned application number **09/488,378**; and our entire right, title and interest, in the United States of America, in and to all our inventions, whether joint or sole, disclosed in said patent application; and our entire right, title and interest in and to all applications filed in the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted in the United States of America on the foregoing applications;

and we hereby sell, assign and transfer unto **PFIZER PRODUCTS INC.**, a corporation organized and existing under the laws of the State of Connecticut, United States of America, and having its place of business at Eastern Point Road, Groton, Connecticut 06340, United States of America, our entire right, title and interest, in all countries of the world except the United States of America, in and to all our inventions, whether joint or sole, disclosed in said patent application; and our entire right, title and interest in and to all patent applications filed outside the United States of America for Letters Patent for any or all of said inventions; and our entire right, title and interest in and to all Letters Patent granted outside the United States of America on said patent

ASSIGNMENT (Non Provisional)PFIZER INC - PPI JOINT.DOT. 10/99

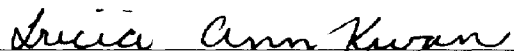
applications filed outside the United States of America; and the right to claim priority from said patent application under the Paris Convention for the Protection of Industrial Property, and under any and all other such treaties and agreements to which the United States of America is a party and which afford similar priority-claiming privileges, in all countries of the world except the United States of America;

and we hereby agree, whenever requested, to communicate to said PFIZER INC. and said PFIZER PRODUCTS INC., and their successors and assigns, any facts known to us respecting said inventions, to testify in any legal proceeding respecting said inventions, and to execute all applications or papers necessary to obtain and maintain proper patent protection on said inventions in all countries of the world.

Signed and witnessed this 3<sup>RD</sup> Day of APRIL Year 2000  
at Groton, Connecticut, USA

  
John Charles KATH

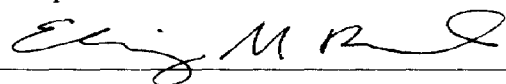
In the presence of:

  
TRICIA ANN KWAN  
(Typed or Printed Name of Witness)

Signed and witnessed this 3<sup>rd</sup> Day of April Year 2000  
at Groton, Connecticut, USA

  
Norma Jacqueline TOM

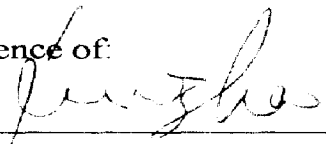
In the presence of:

  
ELIZABETH M. RUEL  
(Typed or Printed Name of Witness)

Signed and witnessed this 3<sup>rd</sup> Day of April Year **2000**  
at **Groton, Connecticut, USA**

  
**Eric David COX**


In the presence of:

  
XUMIA ZHANG  
(Typed or Printed Name of Witness)

Signed and witnessed this 10<sup>th</sup> Day of April Year **2000**  
at **Groton, Connecticut, USA**

  
**Samit Kumar BHATTACHARYA**

In the presence of:

  
P. Bosio  
(Typed or Printed Name of Witness)