 	12-2000
4-17-00 RECOR	
To the Honorable Commissioner of Patents and Traddocuments or copy thereof.	354659 cord the attached original
1. Name of conveying party(ies): Stephen O'Brien	2. Name and address of receiving party(ies):
John W. Stayt, Jr. Scott L. Broutin	Lucent Technologies, Inc., a corporation of the State of Delaware, R 600 Mountain Avenue P.O. Box 636 Murray Hill, NJ 07974-0636 Additional name(s) and address(es) attached? Yes No
Additional name(s) of conveying party(ies) attached? □Yes ■No	Murray Hill, NJ 07974-0636
3. Nature of conveyance:	Additional name(s) and address(es) attached? □ Yes ■ No
■ Assignment □ Merger □ Security Agreement □ Change of Name □ Other	
Execution Date: March 24, 2000	
4. Application number(s) or patent number(s): 09/535,417	
If this document is being filed together with a new application	, the execution date of the application is:
A. Patent Application No.(s) B. Patent No.(s)	
Additional numbers at	tached? □ Yes ■ No
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
IP Department	7. Total fee (37 CFR 3.41) \$40.00
Schnader Harrison Segal & Lewis 1600 Market Street, 36th Floor Philadelphia, PA 19103	☐ Enclosed ☐ Authorized to be charged to deposit account
	8. Deposit Account No. 12-2325 (Attach duplicate copy of this page if paying by deposit account)
DO NOT USE	THIS SPACE
9. Statement and signature.	
To the best of my knowledge and belief, the foregoing informa of the original document.	tion is true and correct and any attached copy is a true copy
Paul A. Taufer	April 13, 2000
Name of Person Signing Signature	Date
	of pages including cover sheet, attachments and document: 5
5/10/2000 DMGUYEN 00000414 122325 09535417 1 FC:581 40.00 CH	

PATENT REEL: 010768 FRAME: 0750

ASSIGNMENT AND AGREEMENT

For value received, we, Stephen O'Brien of 1328 Wynewood Road, Orefield, PA 18069, John W. Stayt, Jr. of 4091 Kistler Road, Schnecksville, PA 18078, Scott L. Broutin of 361 Grim Road, Kutztown, PA 19530, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to METHOD AND APPARATUS FOR CHARACTERIZING LASER MODULES described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. may designate.

PATENT REEL: 010768 FRAME: 0751

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

United States of America

State of Rennsylvania)ss.:

County of Lehigh

On this 34 day of March, 2000, before me personally came Stephen O'Brien, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Dane L Hypes

NOTARIAL SEAL
DIANE L. HUGHES, Notary Public
Breinigsville, Lehigh County
My Commission Expires Jan. 6, 2003

Date: 3/24/2000

United States of America

State of Pennsylvania

County of Lehigh

On this 24 th

personally came John W. Stayt, Jr., to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

John W. Stayt, Jr.	
)	
) ss .:	
)	
y of March	, 2000, before me

Diane L Hyphen Notary Public

> NOTARIAL SEAL DIANE L. HUGHES, Notary Public Breinigsville, Lehigh County My Commission Expires Jan. 6, 2003

United States of America

State of Pennsylvania

) **s**s.:

County of Lehigh

On this 344h March day of personally came Scott L. Broutin, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public

Dane LHythes

NOTARIAL SEAL DIANE L. HUGHES, Notary Public Breinigsville, Lehigh County
My Commission Expires Jan. 6, 2003

Lucent Technologies Inc. 600 Mountain Avenue (Room 3C-512) P. O. Box 636 Murray Hill, New Jersey 07974-0636

RECORDED: 04/17/2000

PATENT REEL: 010768 FRAME: 0754