


Attorney Docket No. 017942-001400US

FORM PTO-1595 (Rev. 6-93)		Recordation Form Cover Sheet (Patents Only)		U.S. Department of Commerce Patent and Trademark Office	
To the Honorable Asst. Commissioner for Patents. Please record the attached original documents or copy thereof					
1. Name of conveying party(ies): Kristien Mortelmans Leslie A. Hokama Additional name(s) of conveying parties attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No.			2. Name and address of receiving party(ies) Name: SRI International Internal Address: Street Address: 333 Ravenswood Avenue City: Menlo Park State: CA ZIP: 94025 Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 6/21/00 and 6/16/00					
4. Application Number(s) or Patent Numbers. If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s): 09/318,195 B. Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Ellen Lauver Weber TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 th Floor San Francisco, California 94111-3834 (415) 576-0200			6. Total number of applications and patents involved 1 7. Total fee (37 CFR 3.41): ----- \$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge Fees to Deposit Account <input checked="" type="checkbox"/> Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8. Deposit account number: 20-1430		
DO NOT USE THIS SPACE					
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i> <div style="display: flex; justify-content: space-between;"> <div> <u>Ellen Lauver Weber</u> Name of Person Signing Atty Reg. No. 32,762 </div> <div style="text-align: center;">  Signature </div> <div style="text-align: right;"> <u>6/27/00</u> Date </div> </div> Total number of pages including cover sheet, attachments and document 4					
10. Change Correspondence Address to that of Part 5? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					
OMB No. 0651-0011 (exp. 4/94)					
Mail documents to be recorded with required cover to: Do not detach this portion Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231					

SF 150911 v1
SF 150911 v1
SF #1109613 v1

700001298

PATENT
REEL: 010771 FRAME: 0534

Attorney Docket No.: 17942-001400US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Kristien Mortelmans of 12388 Priscilla Lane, Los Altos Hills, CA 94022 and Le Jie A. Hokama of 126 Higgins Avenue, Los Altos, CA 94022, hereinafter referred to as "Assignors," are two of the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: SELF-PRESERVING MULTIPURPOSE OPHTHALMIC
SOLUTIONS INCORPORATING A POLYPEPTIDE
ANTIMICROBIAL

Filing Date: May 25, 1999

Application No.: 09/318,195; and

WHEREAS, SRI International, a California corporation located at 333 Ravenswood Avenue, Menlo Park, CA 94025, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

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IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 6-21-00

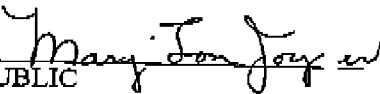

Kristien Mortelmans

STATE OF CALIFORNIA)
COUNTY OF)

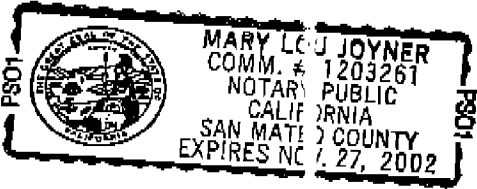
ss.

On June 21, 2000, before me, Mary Lou Joyner, personally appeared Kristien Mortelmans, personally known to me ~~(or proved to me on the basis of satisfactory evidence)~~ to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


NOTARY PUBLIC

My Commission Expires: 11-27-02



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Dated: 16 June 2000


Leslie A. Hokama

STATE OF CALIFORNIA)
COUNTY OF San Mateo)

ss.

On June 16, 2000, before me, Mary Lou Joyner, personally appeared Leslie A. Hokama, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

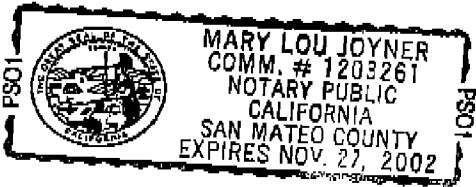
WITNESS my hand and official seal.

Mary Lou Joyner

NOTARY PUBLIC

My Commission Expires: 11/27/02

SF 1102251 v1



PTO FAX NO.: (703) 306-5995

Atty Docket No. 017942-001400US

ATTENTION: Box: Assignment

OFFICIAL COMMUNICATION**Box: Assignments****CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following Form PTO-1595 - Recordation Form Cover Sheet including ASSIGNMENT OF PATENT APPLICATION from

Kristien Mortelmans and Leslie A. Hokama to SRI International,
in re Application of Daniel Tusé, Serial No. 09/318,195 filed May 25, 1999, for SELF-PRESERVING MULTIPURPOSE OPHTHALMIC SOLUTIONS INCORPORATING A POLYPEPTIDE ANTIMICROBIAL is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Number of pages being transmitted, including this page: 5

Dated: July 6, 2000



Mary Green

*PLEASE CONFIRM RECEIPT OF THIS PAPER BY
RETURN FACSIMILE AT (415) 576-0300*

TOWNSEND and TOWNSEND and CREW LLP
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SF 150004 v1
SF 1112161 v1
SF #1112161 v1

RECORDED: 07/06/2000

PATENT *06274*
REEL: 010771 FRAME: 0538