

05-15-2000

Docket No.: Filas 20-5-13

FORM PTO-1595 (Modified)

(Rev. 6-93)

OMB No. 0651-0011 (exp 4/94)

Copyright 1994-97 LegalStar

P08/REV02

4/28/00



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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101356729

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Robert William Filas  
Wilton Wayt King  
William Roger Lambert

## 2. Name and address of receiving party(ies):

Name: LUCENT TECHNOLOGIES INC.

Internal Address:

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 600 MOUNTAIN AVENUE

City: MURRAY HILL State: NJ ZIP: 07974

Execution Date: APRIL 5, 12, 19, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No (s)

09/007,134

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

Internal Address: MATHEWS, COLLINS,

SHEPHERD &amp; GOULD, P.A.

Street Address: 100 THANET CIRCLE, SUITE 306

City: PRINCETON State: NJ ZIP: 08540

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

12-2325

05/11/2000 DNGUYEN 00000468 122325 09007134

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

ANASTASIA P. WINSLOW

Name of Person Signing

Signature

5

Date

Total number of pages including cover sheet, attachments, and document:

PATENT

REEL: 010771 FRAME: 0661

## ASSIGNMENT AND AGREEMENT

For value received, we, Robert William Filas of Basking Ridge in the County of Somerset and State of New Jersey, Wilton Wayt King of Chamblee in the County of DeKalb and State of Georgia, and William Roger Lambert of Chester in the County of Morris and State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **IMPROVED OPTICAL FIBER ATTENUATOR DEVICE** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Robert William Filas

Robert William Filas

Date: April 5 2000

United States of America )

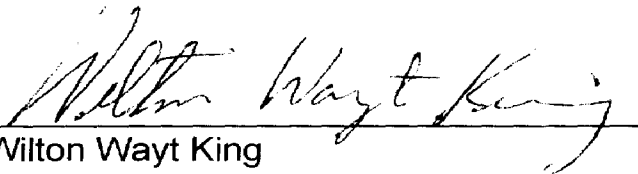
State of New Jersey ) ss.:

City of Princeton )

On this 4<sup>th</sup> day of April, 19 2000, before me personally came ROBERT WILLIAM FILAS, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Angelina Cagliostro  
Notary Public

ANGELINA CAGLIOSTRO  
A NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires 1/21/2004

  
Wilton Wayt King


Date: 4/12/00

United States of America )

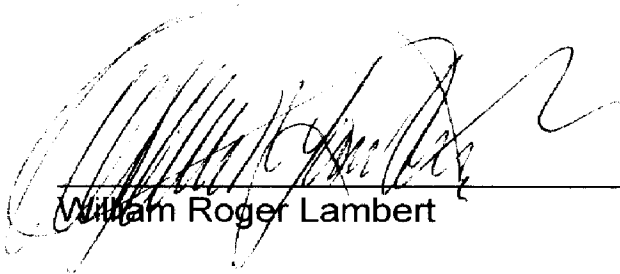
State of Georgia ) ss.:

County of Fulton )

On this 12th day of April, 192000, before me personally came WILTON WAYT KING, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
Notary Public

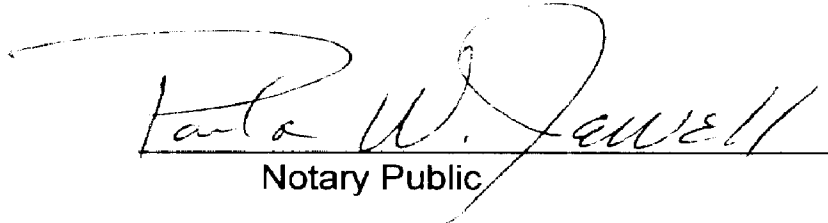
Notary Public, DeKalb County, Georgia  
My Commission Expires April 4, 2003

  
\_\_\_\_\_  
William Roger LambertDate: April 14, 2000

United States of America )

State of NEW HAMPSHIRE ) ss.:County of SHERBURN )

On this 19<sup>th</sup> day of APRIL, 192000, before me personally came WILLIAM ROGER LAMBERT, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

  
\_\_\_\_\_  
Notary Public

PAULA W. JEWELL, Notary Public  
Commission Expires 03/31/2003

Lucent Technologies Inc.  
600 Mountain Avenue (Room 3C-512)  
P. O. Box 636  
Murray Hill, New Jersey 07974-0636