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Expires 06/30/99  
OMB 0651-0027

05-15-2000



101355751

U.S. Department of Commerce  
Patent and Trademark Office

PATENT

26

### RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

#### Submission Type

New

Resubmission (Non-Recordation)  
Document ID# \_\_\_\_\_

Correction of PTO Error  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

Corrective Document  
Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

#### Conveyance Type

Assignment  Security Agreement

License  Change of Name

Merger  Other \_\_\_\_\_

**U.S. Government**  
(For Use ONLY by U.S. Government Agencies)

Departmental File  Secret File

#### Conveying Party(ies)

Mark if additional names of conveying parties attached

Name (line 1) Jeffrey M. Garibaldi Execution Date Month Day Year 04 26 00

Name (line 2) \_\_\_\_\_

#### Second Party

Name (line 1) Bevil J. Hogg Execution Date Month Day Year 04 26 00

Name (line 2) \_\_\_\_\_

#### Receiving Party

Mark if additional names of receiving parties attached

Name (line 1) Stereotaxis, Inc.  If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2) \_\_\_\_\_

Address (line 1) 4041 Forest Park Avenue

Address (line 2) \_\_\_\_\_

Address (line 3) St. Louis Missouri 63108  
City State/Country Zip Code

#### Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name \_\_\_\_\_

Address (line 1) \_\_\_\_\_

Address (line 2) \_\_\_\_\_

Address (line 3) \_\_\_\_\_

Address (line 4) \_\_\_\_\_

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05/11/2000 JSRBAZZ 00000304 09430200  
01 FC:581 40.00 OP

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:  
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PATENT  
REEL: 010772 FRAME: 0208

**Correspondent Name and Address**      **Area Code and Telephone Number**

**Name**

**Address (line 1)**

**Address (line 2)**

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**Address (line 4)**

**Pages**      Enter the total number of pages of the attached conveyance document including any attachments.      #

**Application Number(s) or Patent Number(s)**       Mark if additional numbers attached

*Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).*

Patent Application Number(s)			Patent Number(s)		
<input type="text" value="09/430,200"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.                 

**Patent Cooperation Treaty (PCT)**

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT       PCT       PCT

PCT       PCT       PCT

**Number of Properties**      Enter the total number of properties involved.      #

**Fee Amount**      Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:      Enclosed       Deposit Account


Deposit Account  
(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:      #

Authorization to charge additional fees:      Yes       No

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Name of Person Signing      Signature      Date

# RECORDATION FORM COVER SHEET CONTINUATION PATENTS ONLY

## Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

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Name (line 1)

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ASSIGNMENT

WHEREAS, we, Jeffrey M. Garibaldi of 3519 Burgundy Way Estates Drive, St. Louis, Missouri 63129, Bevil J. Hogg of 1008 Alsace Court, Town and Country, Missouri 63017, and Roger N. Hastings of 7013 Carey Lane, Maple Grove, Minnesota 55369, along with Brooke Ren of 13281 Yorktown Lane, Champlin, Minnesota 55316, have invented certain new and useful improvements for a *Methods Of And Compositions For Treating Vascular Defects* for which we executed an application for Letters Patent in the United States identifying us as the only inventors thereof said application being assigned serial number 09/430,200 by the U.S. Patent and Trademark Office and identified as Howell & Haferkamp, L.C., Docket 3176-1832; and

WHEREAS, Stereotaxis, Inc., a corporation of the State of Delaware having its principal office and place of business at 4041 Forest Park Avenue, St. Louis, Missouri 63108, is desirous of acquiring the entire right, title and interest in and to said invention and improvements as disclosed in said application, in and to said application, and in and to the patent to be obtained thereon.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt and adequacy of all of which are hereby acknowledged, we, Jeffrey M. Garibaldi, Bevil J. Hogg, Roger N. Hastings and Brooke Ren, have sold, assigned and transferred and do hereby sell, assign and transfer unto said Stereotaxis, Inc., its successors or assigns, the entire right, title and interest in and to said invention and improvements as the same are disclosed in said application which was executed by us, in and to said application, and in and to any Letters Patent, including divisionals, continuations, reissues, reexaminations and extensions to be obtained therefor in the United States and all foreign countries; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent as the assignee may desire to apply for, but at the expense of said assignee.

The Commissioner of Patents or other proper official is hereby authorized and requested to issue said Letters Patent to said Stereotaxis, Inc. in accordance with this Assignment.

  
Jeffrey M. Garibaldi

STATE OF Missouri  
COUNTY OF Jefferson

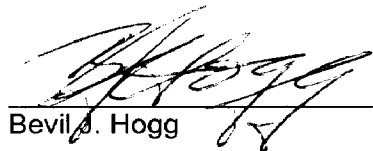
On this 26th day of April, 2000 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Jeffrey M. Garibaldi, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "  
Ann L. Stuart, Notary Public  
Jefferson County, State of Missouri  
My Commission Expires 12/14/2002

  
Notary Public

My Commission Expires: 12/14/02

  
Bevil J. Hogg

STATE OF Missouri  
COUNTY OF Jefferson

On this 26th day of April, 2000 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Bevil J. Hogg, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "  
Ann L. Stuart, Notary Public  
Jefferson County, State of Missouri  
My Commission Expires 12/14/2002

  
Notary Public

My Commission Expires: 12/14/02

Roger N. Hastings  
Roger N. Hastings

STATE OF Missouri :  
COUNTY OF Jefferson :

On this 26th day of April 2000 before me, a Notary Public, within and for the County of Jefferson, State of Missouri, personally appeared Roger N. Hastings, to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

" NOTARY SEAL "  
Ann L. Stuart, Notary Public  
Jefferson County, State of Missouri  
My Commission Expires 12/14/2002

Ann L. Stuart  
Notary Public

My Commission Expires: 12/14/02

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Month Day Year

Name (line 2)

**Second Party**

Name (line 1)  Execution Date  
Month Day Year

Name (line 2)

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Address (line 3)     
City State/Country Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number

314-727-5188

Name

Bryan K. Wheelock

Address (line 1)

Howell & Haferkamp, L.C.

Address (line 2)

7733 Forsyth Blvd., Suite 1400

Address (line 3)

St. Louis, Missouri 63105

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 3

**Application Number(s) or Patent Number(s)**

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PCT		PCT		PCT	
PCT		PCT		PCT	

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Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

40.00

Method of Payment:  
Deposit Account

Enclosed  Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 18-1829

Authorization to charge additional fees:

Yes  No

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Bryan K. Wheelock  
Name of Person Signing

  
Signature

26 APRIL 2000  
Date