

4-26-00

05-15-2000

Atty Docket No.: 0107-0981-3

FORM PTO-1565  
1-31-92U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

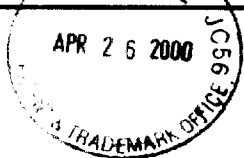
101356014

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

ASEA BROWN BOVERI AG

APR 26 2000



2. Name and address of receiving party(ies):

Name: ABB ALSTOM POWER (Switzerland) LTD

Address: Haselstrasse 16,  
CH-5401 Baden, SwitzerlandAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: April 17, 2000

Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/064,006

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

OBLON, SPIVAK, McCLELLAND, MAIER &  
NEUSTADT, P.C.Attorneys at Law  
Fourth Floor  
1755 Jefferson Davis Highway  
Arlington, Virginia 22202  
0107-0981-3:KLH

6. Total applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 15-0030

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Marvin J. Spivak

Name of Person Signing

Signature

Date

Registration Number: 24,913

Total number of pages including this cover sheet: 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

05/12/2000 DNGUYEN 00000097 09064006

01 FC:581

40.00 DP

PATENT  
REEL: 010777 FRAME: 0215

ASSIGNMENT

WHEREAS, ASEA BROWN BOVERI AG of CH-5401 Baden, Switzerland, a corporation organized and existing under the laws of the Country of Switzerland is the exclusive owner, by assignment, of the application for letters patent of the United States of America Serial No. 09/064,006, filed April 21, 1998; and

WHEREAS, ABB ALSTOM POWER (Switzerland) LTD. of Haselstrasse 16, CH-5401 Baden, Switzerland, a corporation organized and existing under the laws of the Country of Switzerland (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to said application for letters patent and the inventions described therein;

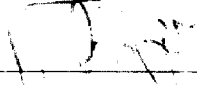
NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00) and other valuable consideration, the receipt of which is hereby acknowledged, ASEA BROWN BOVERI AG by these presents do sell, assign, and transfer unto said ASSIGNEE the entire right, title and interest for the territory of the United States of America in and to the aforesaid application for letters patent and the invention described therein and in and to any and all Letters Patent which may be granted therefor and in and to any and all divisions, continuations, substitutions and renewals thereof.

ASEA BROWN BOVERI AG hereby authorizes and requests the Patent Office in the United States and its territorial possessions to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of its entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its

successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by ASEA BROWN BOVERI AG had this Assignment and sale not been made.

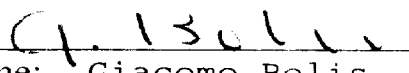
IN TESTIMONY WHEREOF, ASEA BROWN BOVERI AG, has caused these presents to be signed by its officer hereunto duly authorized.

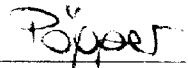
ASEA BROWN BOVERI AG

By:  Date: 2000-04-17  
Name: Dr. Helmut Kaiser  
Title: Authorized representative

By:  Date: 2000-04-17  
Name: Natalia Clerc  
Title: Authorized Representative

ABB ALSTOM POWER (Switzerland) LTD

By:  Date: 2000-04-17  
Name: Giacomo Bolis  
Title: Authorized representative

By:  Date: 2000-04-17  
Name: Dr. Evamaria Pöpper  
Title: Authorized representative