

05-16-2000

FILE NO. A31902

4/26/00

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademark

101357040

Documents or copy thereof.

1. Name of conveying party(ies): Faye Penina Wacholder

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name☐ Other _____Execution Date: April 6, 2000

2. Name and address of receiving party(ies)

Name: The Trustees of Columbia University in the City of New YorkAddress: 116th Street and BroadwayCity: New York State: NY Zip: 10027

Additional name(s) and address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

09/134,193

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Henry TangBAKER BOTTS L.L.P.Address: 30 Rockefeller Plaza - 44 Fl.City: New York State: NY Zip: 101126. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):

\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

02-4377

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature

To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.

Henry Tang

Name of Person Signing

Signature

April 26, 2000

Date

Total number of pages including cover sheet, attachments and document:

3

PATENT
REEL: 010779 FRAME: 0001

A31902 - 070050.0884
PATENT

ASSIGNMENT

WHEREAS, I, FAYE PENINA WACHOLDER, a citizen of the United States, residing in New York, whose post office address is 149 Elm Street, Roslyn Heights, New York 11577-1209, have made an invention entitled "METHOD AND SYSTEM FOR IDENTIFYING SIGNIFICANT TOPICS OF A DOCUMENT" as set forth and described in the application for Letters Patent of the United States, Serial No. 09/134,193, filed August 14, 1998; and

WHEREAS, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK (hereinafter "COLUMBIA"), having its principal place of business at 116th Street and Broadway, New York, New York 10027, is desirous of acquiring the whole right, title and interest in and to said application for Letters Patent, and any continuations, divisions, extensions, substitutions, reissues and reexaminations thereof;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the said FAYE PENINA WACHOLDER, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to me in hand paid by said COLUMBIA, and other valuable considerations unto me moving from said COLUMBIA, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said COLUMBIA, its successors and assigns, the entire right, title and interest in and to the said invention entitled "METHOD AND SYSTEM FOR IDENTIFYING SIGNIFICANT TOPICS OF A DOCUMENT", as set forth and described in application for Letters Patent, Serial No. 09/134,193, filed August 14, 2000, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND I, the said FAYE PENINA WACHOLDER, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said COLUMBIA, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said COLUMBIA, its successors and assigns;

AND I, the said FAYE PENINA WACHOLDER, for the considerations aforesaid, do hereby covenant and agree to and with said COLUMBIA, its successors and assigns, that I have the full power to make this assignment, and that the rights assigned are not encumbered by any

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PATENT
REEL: 010779 FRAME: 0002

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grant, license or right heretofore given, and that I, our executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said COLUMBIA, its successors and assigns, may be required or necessary more effectively to secure to and vest in said COLUMBIA, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred and conveyed, and that I will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, I, the said FAYE PENINA WACHOLDER, have hereunto set my hand and seal in New York, New York this 4 6 day of _____, 2000.

Faye Penina Wacholder
FAYE PENINA WACHOLDER

STATE OF NEW YORK)
)
COUNTY OF NEW YORK)

LISA DONADIO
Notary Public, State of New York
No. 01D06015254
Qualified in New York County
Commission Expires Oct. 26, 2000

BE IT KNOWN, that on this 2 day of April, 2000, before me personally came FAYE PENINA WACHOLDER, to me known and known to me to be the person mentioned in and who executed the foregoing assignment, and she acknowledged to me that she executed the same as her free act and deed for the use and purposes therein mentioned.

Notary Public

SEAL