FORM PTO-1619A

Expires 06/30/99 OMB 0651-0027

05-16-2000



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U.S. Department of Commerce Patent and Trademark Office

**PATENT** 

# RECORDATION FORM COVER SHEET

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TO: The Con	nmissioner of Patents and Trademarks:	Please record the attached or	riginal document(s) or copy(ies)	
Submission T	ype	Conveyance Type		
⊠ New		Assignment Sec	curity Agreement	
Resubmission	on (Non-Recordation)	License Ch	ange of Name	
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Reel	Frame #	Departmental	File Secret File	
Conveying Pa	arty(ies)	lark if additional names of conveying p	arties attached Execution Date  Month Day Year	
Name (line 1)	Malibu Networks, Inc.		040700	
Name (line 2)	a California corporation			
Second Party			Execution Date  Month Day Year	
Name (line 1)				
Name (line 2)				
Receiving Par	rty	Mark if additional names of receiv	ing parties attached	
Name (line 1)	MMC/GATX Partnership No. I		If document to be recorded is an assignment and the receiving	
Name (line 2)	c/o Meier Mitchell & Company		party is not domiciled in the United States, an appointment	
Address (line 1)	Four Orinda Way, Suite 200B		of a domestic representative is attached. (Designation must be a separate document from	
Address (line 2)			Assignment.)	
Address (line 3)	Orinda City	CA/USA State/Country	94563 Zip Code	
Domestic Representative Name and Address  Enter for the first Receiving Party only.				
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Address (line 1)				
Address (line 2)				
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5/15/2000 DCDATES (	00000132 09349477 \ FOR O	FFICE USE ONLY		
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Public burden reporting fo	or this collection of information is estimated to average approxi	mately 30 minutes per Cover Sheet to be record	ded, including time for reviewing the document and	

gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs. Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**PATENT** 

**REEL: 010779 FRAME: 0529** 

FORM PTO- Expires 06/30/99 OMB 0651-0027	-1619B	Pa	ge 2		U.S. Department of Commerce Patent and Trademark Office <b>PATENT</b>	
Corresponde	ent Name and Add	Iress Area Code and	Telephone Number	(415) 955-32	00	
Name	Meier Mitchell & Co	mpany				
Address (line 1)	c/o GATX Capital Corporation					
Address (line 2)	ATTN: Susan Clay					
Address (line 3)	Four Embarcadero Center, Suite 2200					
Address (line 4)	San Francisco, CA 94111					
Pages	Enter the total number of including any attachmen	of pages of the attached conts.	onveyance document	#	5	
Application I	Number(s) or Pate	nt Number(s)	$\boxtimes$	Mark if additiona	al numbers attached	
	ner the Patent Application		mber (DO NOT ENTE			<i>)</i> .
F	Patent Application Nu	mber(s)		Patent N	umber(s)	
09349477	09349480	()9350126				
09350118	09347856	09350150				
09350156	09349476	()9350170	anter the data the nate		Month Day	Voor
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Fee Amount	Payment:	Amount for Properties L	isted (37 CFR 3.41)	: \$ 840.	00	
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		Authorization	o charge additional	fees: Yes	No	
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	Person Signing		ru - C'hlill Signature	into -	Date Signed	

# FORM PTO-1619C Expires 06/30/99 OMB 0651-0027 Continuation PATENTS ONLY Mark if additional names of convergence of the conver

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OMB 0651-0027		PATENTS ONLY		PAICNI	
Conveying F Enter Additional	Party(ies) Conveying Parties	Mark if additional names of conveying parties attached		d Execution Date Month Day Year	
Name (line 1)					
Name (line 2)				Execution Date  Month Day Year	
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Name (line 2)					
Receiving P Enter Additional	arty(ies) Receiving Party(ies)	Mark if additional names of rec	ceiving parties attached		
Name (line 1)	Silicon Valley Bank			ocument to be recorded is an ignment and the receiving	
Name (line 2)	ATTN: General Counse		pari Unit	y is not domiciled in the led States, an appointment	
Address (line 1)	3003 Tasman Drive		atta	domestic representative is ched. (Designation must be aparate document from	
Address (line 2)				ignment.)	
Address (line 3)	Santa Clara	CA/USA	95054		
Nome (E d)	Compliance Inc.	State/Country	Zip Code		
Name (line 1)	Comdisco, Inc.		; —	ocument to be recorded is an ignment and the receiving	
Name (line 2)	ATTN: Legal Departmen	nt	Unit	y is not domiciled in the sed States, an appointment domestic representative is	
Address (line 1)	6111 North River Road		atta	ched. (Designation must be eparate document from	
Address (line 2)			Ass	ignment.)	
Address (line 3)	Rosemont City	IL/USA State/Country	60018 Zip Code		
Application Number(s)					
Enter eithe	r the Trademark Application N	umber or the Patent Number (DO NOT ENTE)	R BOTH numbers for	r the same property).	
	Patent Application Numb		Patent Number		
09349481	09350159	09347857			
09349475	09349483	09349479			
09350162	09349975	09350173			
09349482	09349478	09349474			

#### GRANT OF SECURITY INTEREST

#### **PATENTS**

THIS GRANT OF SECURITY INTEREST, dated as of April 7, 2000, is executed by MALIBU NETWORKS, INC., a California corporation ("Debtor"), in favor of MMC/GATX PARTNERSHIP NO. I, SILICON VALLEY BANK and COMDISCO, INC. (collectively, "Secured Parties").

- A Pursuant to a Loan and Security Agreement, dated as of April 7, 2000 (the "Agreement") by and between Debtor and the Secured Parties, the Secured Parties have agreed to extend certain credit facilities to Debtor upon the terms and subject to the conditions set forth therein:
- B. Debtor owns the letters patent and/or applications for letters patent, of the United States, more particularly described on <u>Schedules 1-A and 1-B</u> annexed hereto as part hereof (collectively, the "<u>Patents</u>");
- C. Pursuant to the Agreement, Debtor has granted to Secured Parties a security interest in all right, title and interest of Debtor in and to the Patents, together with any reissue, continuation, continuation-in-part or extension thereof, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof for the full term of the Agreement (the "Collateral"), to secure the prompt payment, performance and observance of the Obligations, as defined in the Agreement;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby grant to Secured Parties a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

Debtor does hereby further acknowledge and affirm that the rights and remedies of Secured Parties with respect to the security interest in the Collateral granted hereby are more fully set forth in the Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

Secured Parties' addresses are:

If to MMC/GATX Partnership No. I:

MMC/GATX Partnership No. I c/o Meier Mitchell & Company Four Orinda Way, Suite 200-B Orinda, California 94563 Attention: Contract Administration

Attention. Contract Administration

SAMDellinger\Execution Copies\Malibu Networks' grant of security interest in patents (Execution).DOC (5535)

With a copy to:

MMC/GATX Partnership No. I C/o GATX Capital Corporation

Four Embarcadero Center, Suite 2200

San Francisco, CA 94111

Attention: Contract Administration

If to SVB:

Silicon Valley Bank 3003 Tasman Drive Santa Clara, CA 95054

Attention: General Counsel

With a copy to:

Silicon Valley Bank

340 N. Westlake Blvd., Suite 150 Westlake Village, CA 91362

Attention: John China

If to Comdisco:

Comdisco, Inc. Legal Department

Attention: General Counsel 6111 North River Road Rosemont, IL 60018

With copies to:

Comdisco, Inc./Comdisco Ventures

6111 North River Road Rosemont, IL 60018

With copies to:

Murphy Sheneman Julian & Rogers Attention: Jane K. Springwater, Esq. 101 California Street, 39<sup>th</sup> Floor

San Francisco, CA 94111

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first written above.

MALIBU NETWORKS, INC.

By:

Name: SHAUN MANESIN

Title: COC

### SCHEDULE 1-A TO GRANT OF SECURITY INTEREST

### **PATENTS**

Patent Registration Number NONE

**Registration Date** 

#### SCHEDULE 1-B TO GRANT OF SECURITY INTEREST

## **PATENT APPLICATIONS**

Patent Application	Application Number	Application Date
Transmission Control Protocol/Internet Protocol (TCP/IP) Packet-Centric Wireless Point to Multi-Point (PtMP) Transmission System Architecture	09/349,477	July 9. 1 <b>999</b>
Quality of Service (QoS) Aware Wireless Point to Multi-Point (PtMP) Transmission System Architecture	09/349,480	July 9, 1999
Method for Providing Dynamic Bandwidth Allocation Based on IP-Flow Characteristics in a Wireless Point to Multi-Point (PtMP) Transmission System	09/350,126	July 9, 1999
Method for Providing for Quality of Service (QoS) Based Handling of IP-Flows in a Wireless Point to Multi-Point Transmission System	09/350,118	July 9, 1999
IP-Flow Identification in a Wireless Point to Multi-Point Transmission System	09/347,856	July 9, 1999
IP-Flow Characterization in a Wireless Point to Multi- Point (PtMP) Transmission System	09/350,150	July 9, 1999
IP-Flow Classification in a Wireless Point to Multi-Point (PtMP) Transmission System	09/350,156	July 9, 1999
IP-Flow Prioritization in a Wireless Point to Multi-Point (PtMP) Transmission System	09/349,476	July 9, 1999
Method of Operation for Providing for Service Level Agreement (SLA) Based Prioritization in a Wireless Point to Multi-Point (PtMP) Transmission System	09/350,170	July 9, 1 <b>9</b> 99
Method for Transmission Control Protocol (TCP) Rate Control With Link-Layer Acknowledgments in a Wireless Point to Multi-Point (PtMP) Transmission System	09/349,481	July 9, 1 <b>9</b> 99

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Transmission Control Protocol/Internet Protocol (TCP/IP) Centric QoS Aware Media Access Control (MAC) Layer in a Wireless Point to Multi-Point (PtMP) Transmission System	09/350,159	July 9. 1999
Use of Priority-Based Scheduling for the Optimization of Latency and Jitter Sensitive IP Flows in a Wireless Point to Multi-Point Transmission System	09/347,857	July 9, 1999
Time Division Multiple Access/Time Division Duplex (TDMA/TDD) Access Method for a Wireless Point to Multi-Point Transmission System	09/349,475	July 9. 1999
Reservation Based Prioritization Method for Wireless Transmission of Latency and Jitter Sensitive IP-Flows in a Wireless Point to Multi-Point Transmission System	09/349,483	July 9, 1999
Translation of Internet-Prioritized Internet Protocol (IP) Flows into Wireless System Resource Allocations in a Wireless Point to Multi-Point (PtMP) Transmission System	09/349,479	July 9. 1999
Method of Operation for the Integration of Differentiated Services (Diff-serv) Marked IP-Flows into Quality of Service (QoS) Priorities in a Wireless Point to Multi-Point (PtMP) Transmission System	09/350,162	July 9, 1999
Method for the Recognition and Operation of Virtual Private Networks (VPNs) over a Wireless Point to Multi-Point (PtMP) Transmission System	09/349,975	July 9. 1999
Time Division Multiple Access/Time Division Duplex (TDMA/TDD) Media Access Control (MAC) Transmission Air Frame	09/350,173	July 9. 1999
Application – Aware, Quality of Service (QoS) Sensitive, Media Access Control (MAC) Layer	09/349,482	July 9. 1999
Transmission Control Protocol/Internet Protocol (TCP/IP) Packet-Centric Wireless Point to Point (PtP) Transmission System Architecture	09/349,478	July 9. 1999
Transmission Control Protocol/Internet Protocol (TCP/IP) Packet-Centric Cable Point to Multi-Point (PtMP) Transmission System Architecture	09/349,474	July 9. 1999

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