

RECC



SHEET

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies) 4.21.00  
 Ayusman Sen and Shengsheng Liu

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)

Name: Penn State Research Foundation

Internal Address: \_\_\_\_\_

Street Address: 304 Old Main

City: University Park State: PA ZIP: 16802

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: March 1, 2000

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09.428,608

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Carolyn S. Elmore

Internal Address: \_\_\_\_\_

Hamilton, Brook, Smith & Reynolds, P.C.

Street Address: Two Militia Drive

City: Lexington State: MA ZIP: 02421-4799

6. Total number of applications and patents involved:  1

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

Enclosed

Authorized to charge any deficiencies or credit any overpayment to deposit account

Authorized to be charged to deposit account

8. Deposit account number: 08-0380

(Attach duplicate copy of this page if paying by deposit account)

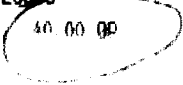
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9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document*

Robert H. Underwood Robert H. Underwood April 18, 2000  
 Name of Person Signing Signature Date

Reg. NO. 45,170

Total number of pages including cover sheet, attachments, and document: [ 4 ]



JointASSIGNMENT

WHEREAS, we, **Ayusman Sen** and **Shengsheng Liu**, have invented a certain improvement in **PROCESS FOR POLYMERIZATION OF ALLYLIC COMPOUNDS** described in an application for Letters Patent of the United States, the specification of which:

- is being executed on even date herewith and is about to be filed in the United States Patent Office;
- was filed on October 27, 1999 as Application No. 09/428,608 ;
- was patented under U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_

WHEREAS, **Penn State Research Foundation** (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the **Commonwealth of Pennsylvania**, and having a usual place of business at **304 Old Main, University Park, Pennsylvania 16802** desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made: we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

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Inventor's Signature: Ayusman Sen  
Ayusman Sen  
State/Commonwealth of Pennsylvania  
County of Centre

Then personally appeared before me the above-named **Ayusman Sen** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 01 day of March, 2000

(SEAL) Ruth A. Harpster Notary Public  
(print name)

Nctarial Seal  
Ruth A. Harpster, Notary Public  
State College Boro, Centre County  
My Commission Expires July 10, 2003

My Commission expires \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_

*Shengsheng Liu*  
Shengsheng Liu

State/Commonwealth

of Pennsylvania

County of Centre

Then personally appeared before me the above-named **Shengsheng Liu** and acknowledged that he/she executed the foregoing instrument as his/her free act and deed this 01 day of March, 2000.

(SEAL)

*Ruth A. Harpster*

Notary Public

(print name)

My Commission expires \_\_\_\_/\_\_\_\_/\_\_\_\_

Notarial Seal  
Ruth A. Harpster, Notary Public  
State College Boro, Centre County  
My Commission Expires July 10, 2003