

05-17-2000

Form PTO-1595

1-3-92

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HEET

101359646

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name and address of conveying party(ies):

Michael Patrick Dillon
 Anthony P.D.W. Ford
 Timothy James Williams

2. Name and address of receiving party(ies):

SYNTEX (U.S.A.) INC.
 3401 HILLVIEW AVENUE
 PALO ALTO, CALIFORNIA 94304

RECEIVED
 APR 19 AM 10:33
 OP/FINANCE

3. Nature of conveyance:

[X] ASSIGNMENT I

Execution Dates of Assignment:

1) March 22, 2000, 2) April 3, 2000, 3) March 29, 2000

4. Application number(s) or patent number(s): R0055C-REG

[] This document is being filed together with a new application; the execution date of the application is _____

[X] Patent Application No.(s) 09/521,185, filed March 8, 2000

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sheela Mohan-Peterson

Address:

ROCHE BIOSCIENCE
 NEUROBIOLOGY BUSINESS UNIT
 INTELLECTUAL PROPERTY LAW DEPT., MS A2-250
 3401 HILLVIEW AVENUE/P. O. Box 10850
 PALO ALTO, CALIFORNIA 94304

6. Total number of applications and patents involved:

ONE (1)

7. Total fee (37 CFR 3.41): \$40.00

[] Enclosed

[X] Authorized to be charged to Deposit Account No.
 50-0564 and to charge any additional fees which may
 be required, or to credit any overpayment, to Deposit
 Account No. 50-0564.

8. Deposit Account Number: 50-0564

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
 copy of the original document.

Sheela Mohan-Peterson, REG. No. 41,201

Name of Person Signing

Signature

April 12, 2000

Date

05/16/2000 DNGUYEN 00000269 500564 09521185

01 FC:581 40.00 CH

Total number of pages including cover sheet, attachments and document: [8]

ASSIGNMENT I

WHEREAS, I/we Michael Patrick Dillon, Anthony P. D. W. Ford, and Timothy James Williams, the undersigned, have jointly invented with David Llewellyn Coffen and Zhe Li a new and useful improvement in "**OXAZOLONE DERIVATIVES AND USES THEREOF**" ("Invention") for which I/we have made application for Letters Patent of the United States of America;

AND WHEREAS, said application was filed in the United States Patent and Trademark Office on March 8, 2000 and was assigned U.S. Patent Application Serial No. 09/521,185 ("Application"), for which I/we authorize and direct SYNTEX or its designee to insert the Application Serial No. when received;

AND WHEREAS, Syntex (U.S.A.) Inc., a corporation of the State of Delaware, having an address at 3401 Hillview Avenue, P.O. Box 10850, Palo Alto, California 94303 ("SYNTEX") desires to perfect its right to the Invention, the Application, and in the Letters Patent(s) to be obtained therefore from the United States of America and all countries foreign thereto;

NOW, THEREFORE, in furtherance of my/each of our obligations as an employee of SYNTEX and in exchange for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I/we do hereby sell, assign and transfer to SYNTEX, its successors, assignees and legal representatives, the entire right, title and interest in the United States and in all foreign countries, including all rights to claim priority, in and to:

(1) the Invention in the United States and in all countries throughout the world,

ASSIGNMENT I

(2) the Application and any and all continuation, divisional, continuation-in-part, renewal, substitute, reissue, or foreign application(s) thereof which have been or may be subsequently filed, in the United States and/or in any and all other countries foreign thereto, on the Invention,

(3) any and all patent(s), including any reissue, reexamination, or extension thereof, which may be issued in the United States or in any foreign country on the Invention;

(4) and specifically including the right to file foreign application(s) under the provisions of any and all conventions or treaties and claim priority based on the Application in the United States.

AND I/we hereby represent, warrant, and covenant that I/we have full right to convey the entire interest herein assigned, and that I/we have not executed and shall not execute any agreement in conflict herewith, and that I/we have not and shall not assign or grant to third parties the rights and property herein conveyed to SYNTEX, nor mortgage, license, or encumber the rights and property herein conveyed to SYNTEX;

AND I/we further represent, warrant, and covenant that I/we shall, upon request and without undue delay, execute and deliver any and all such instrument(s) as may be necessary or desirable to carry this Assignment into effect and perfect the title to the Invention, said application(s) and said Letters Patent(s), to SYNTEX, its successors, assigns, or legal representatives, or to prosecute any and all of said United States or foreign applications;


AND I/we agree to communicate to SYNTEX, or to its designee, any and all known facts respecting the Invention, said application(s), and said Letters Patent(s), to


ASSIGNMENT I

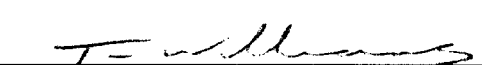
cooperate, assist and participate in any and administrative or legal proceedings, to sign any and all lawful papers, to execute any and all disclaimers and divisional, continuation, continuation-in-part, reissue, and foreign applications, to make any and all rightful oaths, declarations, and powers of attorney, and generally to do everything reasonably possible to aid SYNTEX, its successors, assigns, and legal representatives to obtain, maintain, and enforce, for its own benefit, appropriate patent protection for the Invention in any and all countries, provided the expenses which may be incurred by me/us in providing such cooperation, assistance, and participation are paid by SYNTEX;

AND I/we hereby authorize and request the Commissioner of Patents and Trademarks for the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on said application(s), to issue to SYNTEX, as assignee of the entire right, title and interest, any and all Letters Patent(s) of the United States or countries foreign thereto which may be issued and granted on said Invention or said application(s).

TO BE BINDING on my/our heirs, assigns, representatives, and successors and to extend to and in favor of SYNTEX, its successors, assigns, and legal representatives, I/we have read and understand this Assignment and agree to the terms contained herein and consent to the recordal of this Assignment or a Notarial True Copy thereof, as indicated by my/our signature(s) as set forth below.

Inventor's Name:	Michael Patrick Dillon
Inventor's Signature:	
Date:	<u>3/22/00</u>
Residence (Street, City, State, Zip):	1349 Rosewood Avenue, San Carlos, CA 94070

Inventor's Name:	Anthony P.D.W. Ford
Inventor's Signature:	
Date:	<u>04-02-00</u>
Residence (Street, City, State, Zip):	709 Tiana Lane, Mountain View, CA 94041

Inventor's Name:	Timothy James Williams
Inventor's Signature:	
Date:	<u>3-29-00</u>
Residence (Street, City, State, Zip):	510 Castlerock Terrace, Sunnyvale, CA 94087

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

SS.

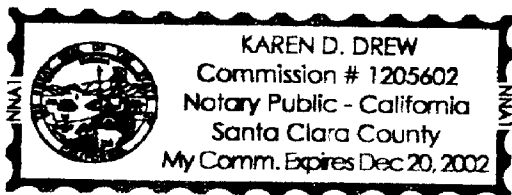
On April 3 2000, before me, Karen D. Drew, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Anthony P.D.W. Ford
Name(s) of Signer(s)

☒ personally known to me

☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Karen D. Drew
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: R0055C-REG Assignment I

Document Date: _____ Number of Pages: 4

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

☒ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

SS.

On March 29, 2000, before me, Karen D. Drew, Notary Public

Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

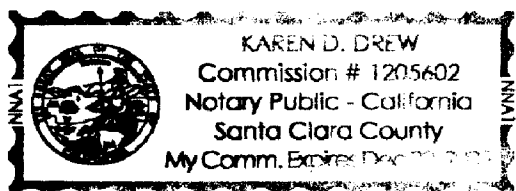
personally appeared Timothy James Williams

Name(s) of Signer(s)

☒ personally known to me

☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

Karen D. Drew

Signature of Notary Public

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Capacity(ies) Claimed by Signer

Signer's Name: _____

XXX Individual

- ☐ Corporate Officer — Title(s): _____
- ☐ Partner — ☐ Limited ☐ General
- ☐ Attorney in Fact
- ☐ Trustee
- ☐ Guardian or Conservator
- ☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

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State of California

County of Santa Clara

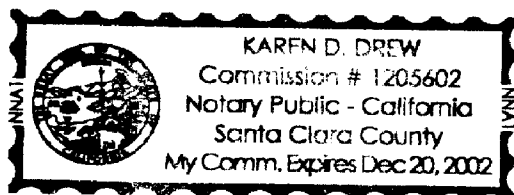
ss.

On March 22, 2000, before me, Karen D. Drew, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Michael Patrick Dillon
Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



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☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: himself

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here