

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark office

	360956 NTS ONLY	
To the Honorable Director of Patents and Trademarks. Pleas	se record the attached of	riginal documents or copy thereof.
1. Name of Conveying Party(ies): Name 1: Francis E. Nano Name 2: University of Victoria	2. Name and address	ss of receiving party(ies):
Additional name(s) of conveying party(ies) attached?   YES NO	Name 1: University of Victoria Name 2: University of Victoria Innovatio Name: Development Corporation	
3. Nature of Conveyance:   Assignment Merger	Internal Address:	
Security Agreement Change of Name		
Other	Street Address:	P.O. Box 3075, R Hut, McKenzie Avenue, Victoria BC V8W 3W2, Canada
Execution Date: March 14, 2000		
	City	State ZI P
4. Application number(s) or patent number(s):	Additional name(s) & a	ddress(es) attached?  Yes No
of the application is:  A Patent Application No.(8)		B. Patent No.(s)
09/477,135		B. Patent No.(S)
Additional numbers at	ttached? 🗌 Yes 🔯 No	1
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of	applications and patents involved: 1
Name: Paula A DeGrandis Klarquist Sparkman Campbell Leigh & Whinston, LLP	7. Total for (27.C.)	F.R. § 3.41): \$40.00 Enclosed
Internal Address:	7. Total fee (37 C.F	.K. § 5.41). <u>540.00</u> Enclosed
Internal Address: One World Trade Center, Suite 1600 Street Address: 121 S.W. Salmon Street City Portland State Oregon ZIP 9720-2988	8. Any deficience	cy/overpayment is authorized to be account 02-4550
Internal Address: One World Trade Center, Suite 1600 Street Address: 121 S.W. Salmon Street City Portland State Oregon ZIP 97204-2988	8. 🔀 Any deficience charged to depos	cy/overpayment is authorized to be
Internal Address: One World Trade Center, Suite 1600 Street Address: 121 S.W. Salmon Street City Portland State Oregon ZIP 97204-2988	8. Any deficience charged to depose THIS SPACE	cy/overpayment is authorized to be it account 02-4550
Internal Address: One World Trade Center, Suite 1600 Street Address: 121 S.W. Salmon Street City Portland State Oregon ZIP 9720 2988 2000 DNGUYEN 00000235 09477135 DO NOT USE 581Statement and signature. 40.00 OP To the best of my knowledge and belief, the foregoing inform	8. Any deficience charged to depose THIS SPACE	cy/overpayment is authorized to be it account 02-4550
Internal Address: One World Trade Center, Suite 1600 Street Address: 121 S.W. Salmon Street City Portland State Oregon ZIP 9720-2988 2000 DNGUYEN 00000235 09477135 DO NOT USE 561Statement and signature. To the best of my knowledge and belief, the foregoing inform of the original document. Paula A DeGrandis	8. Any deficience charged to depose THIS SPACE	cy/overpayment is authorized to be a count 02-4550

## ASSIGNMENT

This is an assignment of rights from Francis E. Nano to the University of Victoria, British Columbia, and from the University of Victoria to the University of Victoria Innovation and Development Corporation.

WHEREAS, Francis E. Nano has invented an invention entitled MYCOBACTERIUM TUBERCULOSIS DNA SEQUENCES ENCODING IMMUNOSTIMULATORY PEPTIDES AND METHODS FOR USING SAME, for which he is making application for Letters Patent of the United States, said application having been executed by him on the H day of March, 2000; and

WHEREAS, University of Victoria has certain rights in this invention and desires to assign its rights in the invention to the University of Victoria Innovation and Development Corporation;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged:

1. Francis E. Nano, hereby sells, assigns, and transfers to the University of Victoria, the full and exclusive right, title, and interest in and to said invention, patent application and patent rights throughout the world, including patent priority rights, the right to file and prosecute International Applications under the Patent Cooperation Treaty, and the right to file and prosecute applications under the European Patent Convention; said invention, application and all resulting letters patent in the U.S., Canada or any other country, and all division, continuations, substitutes, reissues, reexaminations, and extensions thereof, to be held and enjoyed by the University of Victoria, for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in the U.S. or any other country, as fully and entirely as the same would have been held by him had this assignment and sale not been made, and covenant that he has full right to do

ASSIGNMENT - PAGE 1 OF 4

so, and agrees that he will communicate to the University of Victoria, or its successors or assigns, any facts known to him respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid the University of Victoria, its successors and assigns to obtain and enforce proper patent protection for said invention in this or any other country.

- 2. The University of Victoria hereby accepts the assignments of rights in this invention from Francis E. Nano.
- 3. The University of Victoria hereby assigns and transfers all rights in and to said invention application, patent rights and letters patent, including those rights acquired from Francis E. Nano by this Assignment, to the University of Victoria Innovation and Development Corporation.
- 4. The University of Victoria Innovation and Development
  Corporation hereby accepts the assignment of rights in this invention from the
  University of Victoria.

	Executed at 1/10/10	OKIA, B.	/?, Canada, this	14
day of	1 1-6 CH	, 2000.	11	
		Flamo	Slas	
		Francis E. Nano		
Witnessed	by flanton			
Name (Print	t) Acisons to an	-		
Date	" Mack of sale			

ASSIGNMENT - PAGE 2 OF 4

Date Z APR 00

	Executed at VICTOR APRIL- , 2000.	W. BC.	Canada, this 12 day of
		UNIVERSITY	OF VICTORIA
		_/ w	Jengh-
		Martin Taylor	
		(Title) Vice	PRESIDENT (RESEARCH)
Witnessed by	471Kinnon		
Name (Print)	JANET MKINN	′o~′	

ASSIGNMENT - PAGE 3 OF 4

**RECORDED: 04/28/2000** 

	Executed at	VICTORIA	BC.	Canada, this 🗷 🗡
day of	APRIL	,	2000.	

The University of Victoria Innovation and Development Corporation hereby accepts the assignment of rights in this invention.

UNIVERSITY OF VICTORIA INNOVATION
AND DEVELOPMENT CORPORATION

Tim Walzak, President

Witnessed by Elizabe & a li Kouf	
Name (Print) ELIZABETH F WHIFEE	
Date 17 April 200	

**ASSIGNMENT - PAGE 4 OF 4**