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05-25-2000

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To the Honorable Commissioner of

the attached original documents or copy thereof.

1. Name of conveying party(ies):
Combustion Engineering, Inc.

101367040

1 address of receiving party(ies):
ABB ALSTOM POWER Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 2000 Day Hill Road

City: Windsor State: CT ZIP: 06095

Execution Date: May 6, 2000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

SEE ATTACHED SCHEDULE

B. Patent No.(s)

SEE ATTACHED SCHEDULE

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur E. Fournier, Jr.

Internal Address: Intellectual Property Law
Dept.

ABB Alstom Power Inc.

Street Address: 2000 Day Hill Road

City: Windsor State: CT ZIP: 06095

6. Total number of applications and patents involved: 198

7. Total fee (37 CFR 3.41): \$ 7,920.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

03-2578

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Russell W. Warnock

Name of Person Signing

Russell W. Warnock

Signature

May 9, 2000

Date

Total number of pages comprising cover sheet:

1

SCHEDULE

Patent Applications

DOCKET	APPLICATION	NUMBER	APP	DATE
-----	-----	-----	---	-----
C961270		08/ 22702	12FE	1998
C961210		08/ 54662	03AP	1998
C960300		08/ 69405	29AP	1998
C951181		08/ 74904	08MY	1998
C970201		08/ 74905	08MY	1998
C951431		08/ 178802	26OC	1998
C980340		08/ 217104	21DE	1998
C980910		08/ 217210	21DE	1998
C970460		08/ 221743	28DE	1998
C941001		07/ 912903	15AU	1997
C950481		07/ 928311	12SE	1997
C951340		07/ 953454	17OC	1997
C961260		07/ 986675	08DE	1997
C970059		09/229363	13JA	1999
C970052		09/229364	13JA	1999
C97005A		09/229365	13JA	1999
C960820		09/229366	13JA	1999
C950140		09/229367	13JA	1999
C970058		09/229368	13JA	1999
C970054		09/229629	13JA	1999
C970055		09/229630	13JA	1999
C970056		09/229631	13JA	1999
C970057		09/229632	13JA	1999
C961130		09/231163	13JA	1999
C960040		09/231164	13JA	1999
C970050		09/231165	13JA	1999
C970053		09/231166	13JA	1999
C980030		09/231167	13JA	1999
C980040		09/231169	13JA	1999
C960210		09/231170	13JA	1999
C970051		09/231171	13JA	1999
C961520		09/252155	18FE	1999
C980200		09/260584	01MR	1999
C970580		09/313909	18MY	1999
C981070		09/339532	24JE	1999
C990210		09/351059	09JL	1999
C950380		09/364160	30JL	1999
C990240		09/364580	30JL	1999
C981130		09/371281	10AU	1999
C961510		09/371453	10AU	1999
C990620		09/376834	18AU	1999
C950690		09/401357	21SE	1999
C990070		09/416519	08OC	1999
C971180		09/416975	13OC	1999

Patents

DOCKET -----	APPLICATION -----	NUMBER -----	APP DATE -----	PATENT -----	NUMBER -----	GRANT DT -----
C800060		185031	08SE1980		4303424	01DE1981
C800260		176224	07AU1980		4309948	12JA1982
C790500		202264	30OC1980		4332207	01JE1982
C800090		186924	12SE1980		4335662	22JE1982
C790330		194360	06OC1980		4336102	22JE1982
C790620		206584	13NO1980		4336469	22JE1982
C800680		210899	28NO1980		4343627	10AU1982
C791040		150834	19MY1980		4346316	24AU1982
C800290		179326	18AU1980		4346317	24AU1982
C800330		163836	27JE1980		4347220	31AU1982
C800360		181323	25AU1980		4354354	19OC1982
C810230		268616	01JE1981		4366133	28DE1982
C791210		192774	01OC1980		4372496	08FE1983
C800950		296384	26AU1981		4380491	19AP1983
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C800320		214847	10DE1980		4389767	28JE1983
C790581		312037	16OC1981		4398504	16AU1983
C810160		327844	07DE1981		4411204	25OC1983
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C820250		477103	21MR1983		4502870	05MR1985
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C811600		555462	12JL1982		4520739	04JE1985
C821340		447916	08DE1982		4523721	18JE1985
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C840580		703160	19FE1985		4604781	12AU1986
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C851480		759389	26JL1985		4637556	20JA1987
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C850450		765976	15AU1985		4706900	17NO1987
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C830080		23349	09MR1987		4748882	07JE1988
C870110		33314	01AP1987		4752037	21JE1988
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C730262		134959	18DE1987		4843981	04JL1989
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C730253		324990	15MR1989		4908124	13MR1990
C860722		472687	31JA1990		4963395	16OC1990
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C730241		831884	06FE1992		5190451	02MR1993
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Patents (cont'd)

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C851211		269734	01JL1994		5425791	20JE1995
C920301		148242	08NO1993		5425850	20JE1995
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C930100		173563	27DE1993		5442919	22AU1995
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C970740		217102	21DE1998		6024311	15FE2000
C970670		09/231168	13JA1999		6035642	14MR2000
C961360		09/241158	01FE1999		6039008	21MR2000
C961340		29/064352	30DE1996		D390940	17FE1998
C960900		64336	30DE1996		D391633	03MR1998
C960890		64353	30DE1996		D391634	03MR1998
C960370		64383	30DE1996		D392754	24MR1998
C960380		64351	30DE1996		D407804	06AP1999
C980930		29/097053	27NO1998		D414859	05OC1999

ASSIGNMENT

WHEREAS, COMBUSTION ENGINEERING, INC., a Delaware corporation, having a place of business at 2000 Day Hill Road, Windsor, Connecticut 06095, U.S.A., is the owner in the United States of America of the entire right, title and interest in and to the patents and applications for patents listed in the Schedule attached hereto, and to the inventions disclosed therein.

WHEREAS, ABB ALSTOM POWER Inc., a Delaware corporation, having a place of business at 2000 Day Hill Road, Windsor, Connecticut 06095, U.S.A., is desirous of acquiring the entire right, title and interest in and to said patents and applications for patents and to the inventions disclosed therein, including all rights of action and recovery for past infringement.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, COMBUSTION ENGINEERING, INC., does hereby sell, assign and transfer unto ABB ALSTOM POWER Inc., the entire right, title and interest in and to said patents and applications for patents and to the inventions disclosed therein and including any reissues, additions, and extensions thereof together with all rights of action and recovery for past infringement thereof which are owned in whole or in part by COMBUSTION ENGINEERING, INC., the same to be held and enjoyed by ABB ALSTOM POWER Inc., its successors and assigns or other legal representatives as fully and entirely as the same would have been held and enjoyed by COMBUSTION ENGINEERING, INC. had this assignment not been made.

IN WITNESS, WHEREOF, COMBUSTION ENGINEERING, INC. has caused this instrument to be executed by its duly authorized officer this 6 day of May, 2000.

COMBUSTION ENGINEERING, INC.

By: Arthur E. Fournier, Jr.
Assistant Secretary

State of Connecticut)
)
County of Hartford)

On this 6 day of May, 2000, before me, a notary public, personally appeared Arthur E. Fournier, Jr. to me known and known to me to be the person who signed the foregoing instrument as Assistant Secretary of COMBUSTION ENGINEERING, INC., a Delaware corporation of 2000 Day Hill Road, Windsor, Connecticut 06095, U.S.A. I further certify that said individual is duly authorized to sign the foregoing instrument in the name of said corporation.

SEAL

Traci U. Lemaire
Notary Public

My Commission Expires 3/31/2002

Accepted this 6 day of May, 2000,

ABB ALSTOM POWER Inc.

By: Thomas N. Sacco

Thomas N. Sacco
Vice President & Secretary

State of Connecticut)

)

County of Hartford)

On this 6 day of May, 2000, before me, a notary public, personally appeared Thomas N. Sacco to me known and known to me to be the person who signed the foregoing instrument as Vice President & Secretary of ABB ALSTOM POWER Inc., a Delaware corporation of 2000 Day Hill Road, Windsor, Connecticut 06095, U.S.A. I further certify that said individual is duly authorized to sign the foregoing instrument in the name of said corporation.

SEAL

Luigi V. Lomardi

Notary Public

My Commission Expires 3/31/2002