

05-22-2000

FORM PTO-1595  
(Rev. 6/93)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RECO

101362792

Attorney Docket No. 303/L087

OPR/FINANCE

To the Assistant Commissioner for Patents

1. Name of conveying party(ies)

5-1-00

James P. Gadberry and Michael Hoey

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of conveyance.

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: \_\_\_\_\_

Execution Date: 11/23/99

2. Name and address of receiving party(ies):

Name: Southern Clay Products, Inc.

Address: 1212 Church Street

Gonzales, Texas 78629

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

5,728,764

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stefan J. Klauber

Address: KLAUBER &amp; JACKSON

411 Hackensack Avenue 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account, if necessary for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Stefan J. Klauber  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

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(BDSM 8)  
(9-94)PATENT  
REEL: 010785 FRAME: 0695

**ASSIGNMENT OF ISSUED U.S. PATENT**

WHEREAS, A United States patent application for improvements in FORMULATIONS INCLUDING IMPROVED ORGANOCCLAY COMPOSITIONS was filed on September 7, 1995 under Serial No. 524,900, and subsequently issued on March 17, 1998 as United States Letters Patent No. 5,728,764, to the named co-inventors, Carl J. Bauer, John D. Boothe, Harry Ryan Dennis and Clois E. Powell; and

WHEREAS, said Carl J. Bauer, John D. Boothe, Harry Ryan Dennis and Clois E. Powell have each assigned his entire right, title and interest in and to said improvements, application, and in and to the said Letters Patent to SOUTHERN CLAY PRODUCTS, INC. (hereinafter "SCP"), a Texas Corporation, having its principal office at Gonzales, Texas, said Assignment having been recorded at the U.S. Patent and Trademark Office on November 16, 1995 at Reel 7726, Frame 0333; and

WHEREAS, the original identification of co-inventors for said invention, applications, and Letters Patent inadvertently omitted as co-inventors, James F. Gadberry ("Gadberry"), residing at 17 Chambers Road, Danbury, Connecticut 06811 and being a citizen of the United States, and Michael Hoey ("Hoey"), residing at 1016 Plaza Drive, Woodbridge, NJ 07095 and being a citizen of the United States; and

WHEREAS, a Petition under 37 CFR 1.324 is being filed at the United States Patent and Trademark Office to effect correction of the inventorship for said United States Letters Patent to add as inventors the said Gadberry and Hoey; and

WHEREAS, said Gadberry and Hoey are willing to assign their entire right, title and interest in and to the United States Letters Patent 5,728,764 to said SOUTHERN CLAY PRODUCTS, INC. ("SCP"); and

WHEREAS, said Gadberry and Hoey are each employees of Akzo Nobel Chemicals, Inc., a corporation of the State of Delaware ("Akzo"), having its principal place of business at 300 South River Side Plaza, Chicago, Illinois 60606, and Akzo has no objection to said Gadberry and Hoey effecting said Assignment in view of certain pre-existing agreements between SCP and Akzo pertaining to the subject matter of said patent;

NOW, THEREFORE, in consideration of the sum of \$1.00 to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said James F. Gadberry and Michael Hoey have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto SCP, their successors, legal representatives and assigns, our entire right,

title, and interest in, to and under the said improvements, and the said Letters Patent aforesaid and any reissue of said Letters Patent, the same to be held and enjoyed by the said SCP for its own use and enjoyment and the use and enjoyment of its successors, assigns or other legal representatives, to the end of the term for which said Letters Patent of the United States is granted or reissued, as fully and entirely as the same would have been held and enjoyed by said Gadberry and Hoey if this Assignment and sale had not been made, together with all claims for damages by reason of past infringement of said Letters Patent with the right to sue for and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives.

AND HEREBY covenant that we have full right to convey our entire interests herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND HEREBY further covenant and agree that we will communicate to said SCP, its successors, legal representatives and assigns, any facts known to us respecting said improvements and said Letters Patent, and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and generally do everything possible to aid SCP, its successors, legal representatives and assigns in enforcing said Letters Patent.

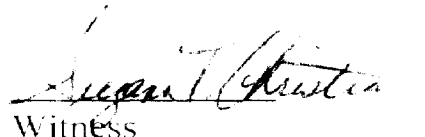
  
JAMES F. GADBERRY

Dated: 11/23/99

  
Witness

  
MICHAEL HOEY

Dated: 11/23/99

  
Witness