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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Docket No. 239/019

101361670

To the Honorable Commissioner of Patents

Shed original documents or copy thereof.

1. Name of conveying party(ies): Denise R. Barbut and Russel H. Patterson Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party: Name: <u>CoAxia, Inc.</u> Internal Address: _____ Street Address: <u>70 East 77th Street</u> City: <u>New York</u> State: <u>NY</u> Zip: <u>10021-1811</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>April 5, 2000</u>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No(s): <u>09/483,370</u> B. Patent No(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>John Kappos</u> Internal Address: <u>LYON &amp; LYON LLP</u> <u>633 West Fifth Street, Suite 4700</u> <u>Los Angeles, CA 90071-2066</u>		6. Total number of applications and patents involved: <u>one (1)</u> 7. Total fee (37 CFR 3.41): \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge this Deposit Account if any additional fee is required 8. Deposit Account Number: <u>12-2475</u>	

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9. Statement and signature:  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  <u>John Kappos</u> John Kappos, Reg No. 37,861  Date: <u>May 8, 2000</u>  Total number of pages including cover sheet: <u>03</u>	
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OMB No. 0651-0011 (exp. 4/94)

<p><b>Do not detach this portion</b></p> <p>Mail documents to be recorded with required cover sheet information to:</p> <p><b>Commissioner of Patents and Trademarks</b></p> <p><b>Box Assignments</b></p> <p><b>Washington, D.C. 20231</b></p> <p>Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503</p>
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PATENT  
REEL: 010788 FRAME: 0773

## **ASSIGNMENT OF PATENT APPLICATION**

WHEREAS, WE, DENISE R. BARBUT, a citizen of the United Kingdom, and RUSSEL H. PATTERSON, a citizen of the United States of America (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled INTRAVASCULAR METHODS AND APPARATUS FOR ISOLATION AND SELECTIVE COOLING OF THE CEREBRAL VASCULATURE DURING SURGICAL PROCEDURES for which application for Letters Patent of the United States of America has been filed on January 14, 2000, assigned Serial No. 09/483,370]; and

WHEREAS, COAXIA, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having its principal place of business at 70 East 77<sup>th</sup> Street, New York, New York 10021-1811 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under any Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or application or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said invention by said application, and to any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in the United States or a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent or any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Agreement;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents in the United States or in any foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at New York, New York, this 5 day of April, 2000.

  
DENISE R. BARBUT

WITNESS my hand at New York, New York, this 5 day of April, 2000.

  
RUSSEL H. PATTERSON