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		NPO 20057/20056			
FORM PTO-1619A Expires 06/30/99 OMB 0651-0027	05-19-2000	U.S. Department of Commerce Patent and Trademark Office <b>PATENT</b>			
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Submission Type	Conveyance Type	inal document(s) or copy(les).			
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Resubmission (Non-Recordation) Document ID#	License Chan	ige of Name			
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Conveying Party(ies)	Mark if additional names of conv	eying parties attached Execution Date Month Day Year			
Name (line 1) California Insti	tute of Technology	10/08/99			
Name (line 2)		Execution Date			
Second Party Name (line 1) Robert L. Hartsf	iold	Month Day Year			
	1610				
Name (line 2)					
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Name (line 1) National Aeronautics and Space Administration If document to be recorded is an assignment and the					
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Address (line 1) 300 "E" Street,	SW., Code GP	representative is attached. (Designation must be a			
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Commissioner of Patents and Trademarks, Box Assignments , Washington, D.C. 20231 PATENT					

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FORM PTO-1619B Expires 06/30/99 OMB 0651-0027	Page 2	U.S. Department of Commerce Patent and Trademark Office PATENT	
Correspondent Name and Address	Area Code and Telephone Num	ber	
Name Kathy Bayer			
Address (line 1) National Aeronautic	cs and Space Admin		
Address (line 2) 300 "E" Street, SW	., Code GP		
Address (line 3) Washington, DC 20	546		
Address (line 4)			
Pages Enter the total number of pages including any attachments	ages of the attached conveyance do	pcument # 1	
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Enter either the Patent Application Number or the	Patent Number (DO NOT ENTER BOTH nun	nbers for the same property).	
Patent Application Number(s)	P	atent Number(s)	
08966104 08084039 60	030245		
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If this document is being filed together with a <u>new</u> . Pat signed by the first named executing Inventor.	tent Application, enter the date the patent app	Dication was <u>Month Day Year</u>	
Patent Cooperation Treaty (PCT)	[		
Enter PCT application number	РСТ	PCT	
only if a U.S. Application Number has not been assigned.	PCT PCT	РСТ	
Number of Properties Enter the to	otal number of properties involved.	# 1	
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(Enter for payment by deposit account or if ad	ditional fees can be charged to the account.) Deposit Account Number:	#	
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Statement and Signature			
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Kathy Bayer	1 achighter	, 05/11/00	
Name of Person Signing	Signature	Date	

PATENT REEL: 010788 FRAME: 0857



## License to the Government

In accordance with a contract set forth below between the Government of the United States of America, as represented by the Administrator of the National Aeronautics and Space Administration (NASA) and the below-named Contractor, the invention cited below is a SUBJECT INVENTION made in the performance of work under the contract and the  $\sqrt{}$ Contractor has elected to retain title to the SUBJECT INVENTION; or \_\_\_\_\_ the Contractor has elected not to retain title to the SUBJECT INVENTION; and NASA, after consultation with the Contractor, has granted the request for retention of rights by the Inventor, and employee of the Contractor, subject to the provisions of 35 U.S.C. § 202(d) and FAR 52.227-11, Patent Rights-Retention by the Contractor, but subject to a license to the Government, as described below.

NOW THEREFORE, pursuant to the terms and conditions of the contract, the Contractor/Inventor does hereby grant to the Government of the United States a non-exclusive, non-transferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States this SUBJECT INVENTION and any patents which may issue thereon throughout the world. At this time at least the following U.S. patent application has been filed on the SUBJECT INVENTION.

Title: High Mobility Vehicle

Patent Application Serial No.: 08/966,104		Contractor: California Institute of Technology	
1 atom Appin		Contract No.:	NAS7-1407
Filed:	11/7/97	Contractor Case No.:	2549
Patent No.:		NASA Case No.:	NPO 20057 & 20056
Issue Date:			

Inventor(s): Brian Wilcox and Annette K. Nasif

The Contractor/Inventor agrees to conclude, within the specification of any Unites States patent applications and any patents issuing thereon covering this SUBJECT INVENTION, the following statement as in FAR 52.227-11(f)(4):

"This invention was made with Government support under contract <u>NAS7-1407</u> awarded by NASA. The Government has certain rights in this invention"

The Contractor/Inventor further agrees to notify the NASA of any decision not to continue prosecution of the application(s), pay maintenance fees, or defend in a reexamination or opposition proceeding on a patent, in any country, not less than thirty days before the expiration period required by the U.S. Patent and Trademark Office.

Title to this Invention is subject to March-In-Rights by the NASA as stated in 35 U.S.C. § 203 and FAR 52.227-11(j).

The Contractor/Inventor shall furnish a copy of the patent application cited above and a listing of each country in which applications have been and are filed. Whenever a patent issues on the SUBJECT INVENTION, the patent number, the issue date, and the country shall be made known to the Government as soon as it is available to the Contractor/Inventor.

Adam Cochran The Intellectual Property Counsel

(Typed name and title of Corporate Officer)

(Signature and Date) October 8, 1999

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## License to the Government

In accordance with a contract set forth below between the Government of the United States of America, as represented by the Administrator of the National Aeronautics and Space Administration (NASA) and the below-named Contractor, the invention cited below is a SUBJECT INVENTION made in the performance of work under the contract and the  $\sqrt{}$ Contractor has elected to retain title to the SUBJECT INVENTION; or \_\_ the Contractor has elected not to retain title to the SUBJECT INVENTION; and NASA, after consultation with the Contractor, has granted the request for retention of rights by the Inventor, and employee of the Contractor, subject to the provisions of 35 U.S.C. § 202(d) and FAR 52.227-11, Patent Rights-Retention by the Contractor, but subject to a license to the Government, as described below.

NOW THEREFORE, pursuant to the terms and conditions of the contract, the Contractor/Inventor does hereby grant to the Government of the United States a non-exclusive, non-transferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States this SUBJECT INVENTION and any patents which may issue thereon throughout the world. At this time at least the following U.S. patent application has been filed on the SUBJECT INVENTION.

Title: Robotic Vehicle

		Contractor: California Institute of Technology		
Patent Application Serial No.: 29/084,039		Contract No.:	NAS7-1407	
		Contractor Case No.:	2549-1	
Filed:	1/28/98	NASA Case No.:	NPO 20056 &	
Patent No.:	413,551		20057	
Issue Date:	9/7/99			

Inventor(s): Brian Wilcox and Annette K. Nasif

The Contractor/Inventor agrees to conclude, within the specification of any Unites States patent applications and any patents issuing thereon covering this SUBJECT INVENTION, the following statement as in FAR 52.227-11(f)(4):

"This invention was made with Government support under contract <u>NAS7-1407</u> awarded by NASA. The Government has certain rights in this invention"

The Contractor/Inventor further agrees to notify the NASA of any decision not to continue prosecution of the application(s), pay maintenance fees, or defend in a reexamination or opposition proceeding on a patent, in any country, not less than thirty days before the expiration period required by the U.S. Patent and Trademark Office.

Title to this Invention is subject to March-In-Rights by the NASA as stated in 35 U.S.C. § 203 and FAR 52.227-11(j).

The Contractor/Inventor shall furnish a copy of the patent application cited above and a listing of each country in which applications have been and are filed. Whenever a patent issues on the SUBJECT INVENTION, the patent number, the issue date, and the country shall be made known to the Government as soon as it is available to the Contractor/Inventor.

Adam Cochran The Intellectual Property Counsel

(Typed name and title of Corporate Officer)

gnature and Date)

October 8, 1999

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PATENT REEL: 010788 FRAME: 0859



In accordance with a contract set forth below between the Government of the United States of America, as represented by the Administrator of the National Aeronautics and Space Administration (NASA) and the below named Contractor, the invention cited below is a SUBJECT INVENTION made in the performance of work under the contract, and  $\square$  the Contractor has elected to retain title to the SUBJECT INVENTION; or  $\square$  the Contractor has elected not to retain title to the SUBJECT INVENTION; or  $\square$  the Contractor, has granted the request for retention of rights by the Inventor, and employee of the Contractor, subject to the provisions of 35 U.S.C.  $\S 202(d)$  and FAR 52.227.11, Patent Rights-Retention by the Contractor, but subject to a license to the Government, as described below.

NOW THEREFORE, pursuant to the terms and conditions of the contract, the Contractor/Inventor does hereby grant to the Government of the United States a non-exclusive, non-transferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States this SUBJECT INVENTION and any patents which may issue thereon throughout the world. At this time at least the following U.S. patent application has been filed on the SUBJECT INVENTION.

Title: A Self-Righting All-Terrain Vehicle with Enhanced Mobility and Body Pose Control

Contractor: California Institute of Technology

Patent Application Serial No.: 60/030,245

Filed: 11/7/96 Patent No.: Issue Date: Contract No.: NAS7-1260 Contractor Case No.: CIT 2549 NASA Case No.: NPO 20056 20057

Inventor(s): Brian Wilcox and Annette K. Nasif

The Contractor/Inventor agrees to include, within the specification of any United States patent applications and any patents issuing thereon covering this SUBJECT INVENTION, the following statement as in FAR 52.227-11(f)(4):

"This invention was made with Government support under contract \_\_\_\_\_NAS7-1260 \_\_\_\_\_\_ awarded by NASA. The Government has certain rights in this invention."

The Contractor/Inventor further agrees to notify the NASA of any decision not to continue prosecution of the application(s), pay maintenance fees, or defend in a reexamination or opposition proceeding on a patent, in any country, not less than thirty days before the expiration period required by the U.S. Patent and Trademark Office.

Title to this Invention is subject to the March-In-Rights by the NASA as stated in 35 U.S.C. § 203 and FAR 52.227-11(j).

The Contractor/Inventor shall furnish a copy of the patent application cited above and a listing of each country in which applications have been and are filed. Whenever a patent issues on the SUBJECT INVENTION, the patent number, the issue date, and the country shall be made known to the Government as soon as it is available to the Contractor/Inventor.

ROBERT L. HARTSFIELD Director of Finance/Assistant Controller

ITyped name and title of Corporate Officer)

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(Signature and date)

PATENT REEL: 010788 FRAME: 0860

**RECORDED: 05/07/2000**