

05-22-2000

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

5.8.00

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101363773

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

TRINH D. PHUNG, THOMAS E. MARTIN,  
MATTHEW L. PARSONS, AND MICHAEL A.  
VALERIOAdditional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other \_\_\_\_\_

Execution Date: APRIL 18, 2000

## 2. Name and address of receiving party(ies):

Name: GENZYME CORPORATION

Internal Address: \_\_\_\_\_

Street Address: ONE KENDALL SQUARECity: CAMBRIDGE State: MA ZIP: 02139Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

09/491,999

## B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GENZYME CORPORATIONInternal Address: LEGAL DEPARTMENTStreet Address: 15 PLEASANT ST. CONNECTORCity: FRAMINGHAM State: MA ZIP: 017016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- ☒ Authorized to be charged to deposit account

## 8. Deposit account number:

07-1074

05/19/2000 DNGUYEN 00000172 071074 09491999

DO NOT USE THIS SPACE

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40.00 CH

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard D. Allison, Reg. No. 31,584

Name of Person Signing

Signature

MAY 4, 2000

Date

Total number of pages including cover sheet, attachments, and document: 5

PATENT

REEL: 010791 FRAME: 0761

**ASSIGNMENT**

WHEREAS, We, **TRINH D. PHUNG, THOMAS E. MARTIN, MATTHEW L. PARSONS, and MICHAEL A. VALERIO** residing respectively at Attleboro, MA 02703, Riverside, RI 02915, Randolph, MA 02368, and Wrentham, MA 02093 have made a new and useful invention described in a national application for Letters Patent of the United States, entitled

**SUTURE ORGANIZING DEVICE FOR SURGICAL RETRACTOR  
AND METHODS RELATED THERETO**

filed on January 26, 2000 in the United States Patent and Trademark Office and having Serial No. 09/491,999 , the filing date and serial number of which, when known, may be inserted herein; and

WHEREAS, Genzyme Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Massachusetts, and having a usual place of business at One Kendall Square, Cambridge, Massachusetts 02139, U.S.A., desires to acquire our entire right, title and interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories, and all foreign countries, including but not limited to Canada, in and to any and all said inventions as described in said national application, together with our entire right, title and interest in and to the said national application and all U.S. and foreign applications which correspond to or claim priority therefrom (including any provisional, substitution, divisional, continuation, continuing prosecution or continuation-in-part applications and the like), and all Letters Patent which issue from any of the above, including re-issues, re-examinations, and extensions thereof; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world, including but not limited to Canada, any and all Letters Patent by attorneys and agents of ASSIGNEE'S selection and the right to procure the grant of, license, and enforce all such Letters Patent to ASSIGNEE for its own name as ASSIGNEE of our entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid inventions, applications and Letters Patent to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, including but not limited to Canada, and the execution of said aforementioned provisional, substitution, divisional, continuation, continuing prosecution or continuation-in-part applications, and all Letters Patent which issue from any of the above, including re-issues, re-examinations, and extensions thereof, and preliminary or other statements and the giving of testimony in any interference or any other legal proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives;

AND, we hereby further Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.

Signature  
Inventor

Trinh D. Phung  
TRINH D. PHUNG

Date

4/18/00

State of MASS)

County of BRISTOL) SS:

Then personally appeared before me the above-named Trinh D. Phung and acknowledged that he executed the foregoing instrument as his free act and deed this 18TH day of APRIL, 2000.

(SEAL)

James J. Lally Notary Public  
JAMES J. LALLY (print name)

My commission expires                     

James J. Lally  
Notary Public  
My Commission Expires May 21, 2004

Signature  
Inventor

  
THOMAS E. MARTIN

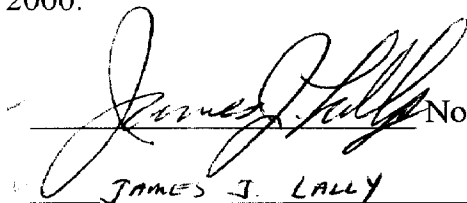
Date 4-18-2000

State of MASS )

County of BRISTOL ) SS:

Then personally appeared before me the above-named Thomas E. Martin and acknowledged that he executed the foregoing instrument as his free act and deed this 18<sup>TH</sup> day of APRIL, 2000.


(SEAL)

  
JAMES J. LALLY Notary Public  
(print name)

My commission expires \_\_\_\_\_

James J. Lally  
Notary Public  
My Commission Expires May 21, 2004

Signature  
Inventor

  
MATTHEW L. PARSONS

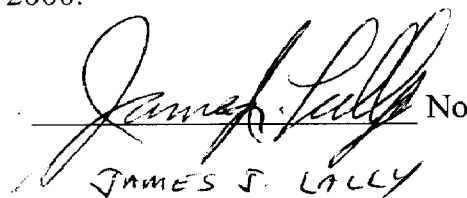
Date 4/18/00

State of MASS )

County of BRISTOL ) SS:

Then personally appeared before me the above-named Matthew L. Parsons and acknowledged that he executed the foregoing instrument as his free act and deed this 18<sup>TH</sup> day of APRIL, 2000.

(SEAL)

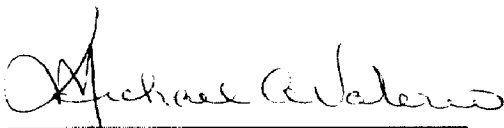
  
JAMES J. LALLY Notary Public  
(print name)

My commission expires \_\_\_\_\_

James J. Lally  
Notary Public  
My Commission Expires May 21, 2004

Signature

Inventor



**MICHAEL A. VALERIO**

Date

04/18/2000

State of

MASS

County of

BRISTOL

) SS:

Then personally appeared before me the above-named Thomas E. Martin and acknowledged that he executed the foregoing instrument as his free act and deed this

18<sup>TH</sup> day of APRIL, 2000.

(SEAL)



Notary Public

JAMES J. LALLY (print name)

My commission expires

James J. Lally  
Notary Public  
My Commission Expires May 21, 2004

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
**5003**

In Re Application Of:

**TRINH D. PHUNG ET AL.**

Serial No.  
**09/491,999**

Filing Date  
**JANUARY 26, 2000**

Examiner  
**UNASSIGNED**

Group Art Unit  
**3731**

Title:  
**SUTURE ORGANIZING DEVICE FOR SURGICAL RETRACTOR AND METHODS RELATED THERETO**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is:

- 1. RECORDATION FORM COVER SHEET; AND**
- 2. ASSIGNMENT**

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **07-1074** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$40.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

**RICHARD D. ALLISON, REG. NO. 31,584  
GENZYME CORPORATION  
LEGAL DEPARTMENT  
15 PLEASANT ST. CONNECTOR  
FRAMINGHAM, MA 01701-9322  
TEL.: (508) 270-2583  
FAX: (508) 872-5415**

Dated: **MAY 4, 2000**

I certify that this document and fee is being deposited on **MAY 5, 2000** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Michele Silver**

Typed or Printed Name of Person Mailing Correspondence

CC:

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
**5003**

In Re Application Of:

**TRINH D. PHUNG ET AL.**

Serial No.  
**09/491,999**

Filing Date  
**JANUARY 26, 2000**

Examiner  
**UNASSIGNED**

Group Art Unit  
**3731**

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Signature

**RICHARD D. ALLISON, REG. NO. 31,584  
GENZYME CORPORATION  
LEGAL DEPARTMENT  
15 PLEASANT ST. CONNECTOR  
FRAMINGHAM, MA 01701-9322  
TEL.: (508) 270-2583  
FAX: (508) 872-5415**

Dated: **MAY 4, 2000**

I certify that this document and fee is being deposited on MAY 5, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Michele Silver**

Typed or Printed Name of Person Mailing Correspondence

CC: