

Case Name: SP00-024 **DOCUMENT ID NO.: 101290676**

HEET 101364210 To the Honorable Commissioner of ruents and tragemarks: Please record the attached documents or copy thereof. (1) Conveying Party(ies): Lierka Ukrainczyk Title: Planar Waveguides With High Refractive Index Additional name(s) of conveying party(ies) attached? Yes \bigcap No \bigotimes (2) Receiving Party: Corning Incorporated Patent Department SP-TI-03-1 Corning, NY 14831 (3) Nature of conveyance: Assignment executed: January 25, 2000. (4) Application number(s) and/or patent number(s) against which this assignment is to be recorded: A. Patent Application No.(s): 09/499,954 B. Patent No.(s): Filing date: February 8, 2000 Filing date: If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on ______ (5) Name and address of party to whom correspondence concerning document should be mailed: Philip G. Alden Name: Reg. No. 32,189 Corning Incorporated Patent Department

SP-TI-03-1 Corning, NY 14831 Phone No.: (607) 974-8803

(6) Total Number of applications and/or patents involved: one

(40.00 E)

(7) Total Fee = \$40.00Enclosed (Check No.) Included with filing fee. Check No. ____. Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages comprising cover sheet: 3

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Case Name: SP00-024

101290676
To the Honorable Commissioner of Patents and Trademarks: Please record the unusued documents or copy thereof.

(1)	Conveying	g Party(ies):	Ljerka Ukraino	zyk				
	Title: Planar Waveguides With High Refractive Index							
	Additiona	al name(s) of conveying party(ies) attached? Yes \(\subseteq\) No \(\subseteq\)				No 🖂	U.S. P.	
• •	_	•	ing Incorporated				% C	
(3)	Nature of conveyance: Assignment executed: 1-25-00.							
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	Name:	Philip G. Ald Reg. No. 32, Corning Inco Patent Depar SP-TI-03-1 Corning, NY Phone No.: (189 orporated tment	,			9954 S	
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	Philip G. Alden					Date		
	Total number of pages comprising cover sheet: _3_							

00000307 033325 09499954 03/15/2000 DNGUYEN 01 FC:581

Attorney Docket No.: SP00-024

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Planar Waveguides With High Refractive Index**, such inventions being generally described in an application for Letters Patent of the United States executed on

respectively, and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

PATENT REEL: 010797 FRAME: 0194

Case Name: SP00-024

Signed at Corning, NY, this

2000

State of New York)

County of Steuben)

On the day of 1010 2000, before me personally came Ljerka Ukrainczyk known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

DEANNA M. KUWAL
Notary Public in the State of New York
Steuben County
Reg. No. 01K05066626
Commission Expires 9/30/2000 DEANNA M. KOWAL

> **PATENT REEL: 010797 FRAME: 0195**

Attorney Docket No.: SP00-024

ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **Planar Waveguides With High Refractive Index**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 1 75-00

respectively, and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

PATENT REEL: 010797 FRAME: 0196

Case Name: SP00-024

Signed at Corning, NY, this

2000

Ljerka Ukrainczyk

State of New York)

County of Steuben)

On the Stay of Junio 2000, before me personally came Ljerka Ukrainczyk known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Notary Public

DEANNA M. KOWAL
Notary Public in the State of New York
Steuben County
Reg. No. 01K05056626
Commission Expires 9/30/2000

PATENT REEL: 010797 FRAME: 0197

RECORDED: 05/17/2000