

05-24-2000

Docket No. 55144 USA5A
.002**PATENT ASSIGNMENT****COVER SHEET**To: The Commissioner of Patents
Please record the attached or

101366007

Submission Type:

- ☒ New
- ☐ Resubmission (Non-Recordation): Document ID#
- ☐ Correction of PTO Error: Reel # _____ Frame #
- ☐ Corrective Document: Reel # _____ Frame #

Conveyance Type:

- ☒ Assignment
- ☐ Other:

Name of conveying party(ies)**Execution Date**
(Month/Day/Year)

Nelson, James M. 02/15/2000

Cernohous, Jeffrey J. 02/15/2000

Annen, Michael J. 02/15/2000

McNerney, James R. 02/15/2000

Ferguson, Robert W. 02/15/2000

Heldman, Barry E. 02/15/2000

Higgins, James A. 02/15/2000

Additional names of conveying party(ies) attached?

- ☐ Yes ☒ No

Name and address of receiving party(ies):

3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427

Application number or patent number:

- ☐ This document is being filed with a new patent application on
- ☒ This document is to be recorded against the following patent application or patent: 09/500,155

Patent Cooperation Treaty (PCT):Enter PCT application number only if a U.S. Application Number has not been assigned:**Name and address of party to whom correspondence concerning document should be mailed:**

Name: Melanie Gover, Phone Number: 651-736-6432
 Office of Intellectual Property Counsel
 3M Innovative Properties Company
 P.O. Box 33427
 St. Paul, Minnesota 55133-3427

Number of Properties:

Enter the total number of properties involved

1

Fee Amount:

Fee Amount for Properties Listed (37 CFR 3.41)

X \$40.00

Method of Payment:

☒ Charge to Deposit Account No. 13-3723

Authorization to charge additional fees: ☒ Yes ☐ No

= \$40.00

DO NOT USE THIS SPACE**Statement and signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Melanie Gover 41,793

Printed Name of Attorney

Signature

Date

May 4, 2000

Pages:

Total number of pages of the attached conveyance document including any attachments

3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

q:\3mipco forms and samples\assignment recordation cover sheet.doc 4/28/99

05/22/2000 DWGUYEN 00000304 133723 09500155

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PATENT
REEL: 010798 FRAME: 0368

ASSIGNMENT

Whereas we, the below named inventors, with residences and citizenships as indicated below; have made an invention in

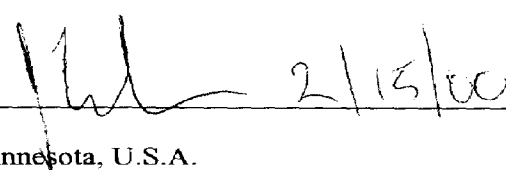
METHOD OF MAKING CONTROLLED ARCHITECTURE POLYMERS

and have contemporaneously executed an application for Letters Patent of the United States of America based thereon;

Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly owned subsidiary of MINNESOTA MINING AND MANUFACTURING COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by us or any of us or made jointly with others (provided any such improvement is made during, or within one year after the termination of, the employment by Minnesota Mining and Manufacturing Company and any of its existing or future subsidiaries of whichever of us, solely or jointly with one or more others, has made the same), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by us or any of us had this assignment and transfer not been made,

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as we lawfully may, that may be deemed necessary by the said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries;

And we do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to the said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.




 James Michael Nelson
 Residence: Roseville, Minnesota, U.S.A.
 Citizenship: Canadian

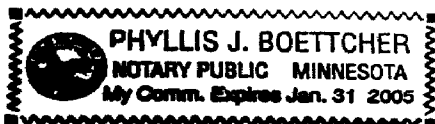
STATE OF MINNESOTA)
) ss.
 COUNTY OF RAMSEY

On this 15th day of February, 2000, before me personally appeared the above-named James Michael Nelson, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)



Notary Public



Jeffrey J. Cernohous
 Jeffrey J. Cernohous
 Residence: Plymouth, Minnesota, U.S.A.
 Citizenship: United States of America

STATE OF MINNESOTA)

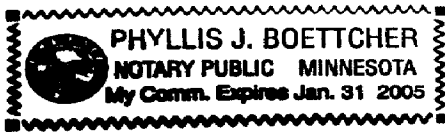
) ss.

COUNTY OF RAMSEY

On this 15th day of February, 2000 before me personally appeared the above-named Jeffrey J. Cernohous, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Phyllis J. Boettcher
 Notary Public



Michael J. Annen
 Michael J. Annen
 Residence: Hudson, Wisconsin, U.S.A.
 Citizenship: United States of America

STATE OF MINNESOTA)

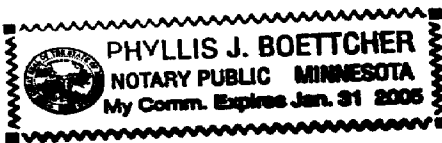
) ss.

COUNTY OF RAMSEY

On this 15th day of February, 2000 before me personally appeared the above-named Michael J. Annen, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Phyllis J. Boettcher
 Notary Public



James Robert McNerney
 James Robert McNerney
 Residence: Inver Grove Heights, Minnesota, U.S.A.
 Citizenship: United States of America

STATE OF MINNESOTA)

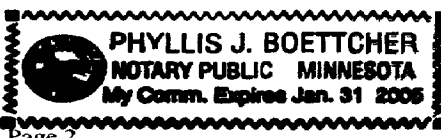
) ss.

COUNTY OF RAMSEY

On this 15th day of February, 2000 before me personally appeared the above-named James Robert McNerney, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)

Phyllis J. Boettcher
 Notary Public



Robert Wade Ferguson

Robert Wade Ferguson

Residence: St. Paul, Minnesota, U.S.A.

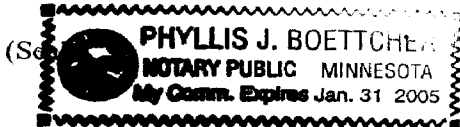
Citizenship: United States of America

STATE OF MINNESOTA)

) ss.

COUNTY OF RAMSEY

On this 15th day of February, 2000, before me personally appeared the above-named Robert Wade Ferguson, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.



Phyllis J. Boettcher

Notary Public

Barry C. Heldman

Barry Eugene Heldman

Residence: Cottage Grove, Minnesota, U.S.A.

Citizenship: United States of America

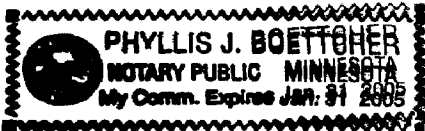
STATE OF MINNESOTA)

) ss.

COUNTY OF RAMSEY

On this 15th day of February, 2000, before me personally appeared the above-named Barry Eugene Heldman, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)



Phyllis J. Boettcher

Notary Public

James Alan Higgins

James Alan Higgins

Residence: River Falls, Wisconsin, U.S.A.

Citizenship: United States of America

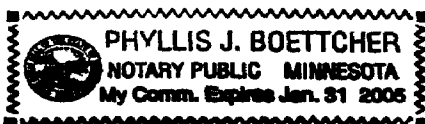
STATE OF MINNESOTA)

) ss.

COUNTY OF RAMSEY

On this 15th day of February, 2000, before me personally appeared the above-named James Alan Higgins, personally known by me, and known by me to be the person described in and who executed the foregoing instrument, and who acknowledged that said person executed the same as said person's free act and deed, on the day and year aforesaid.

(Seal)



Phyllis J. Boettcher

Notary Public